

Monthly Financial Report As of September 2017

General Fund (101)

	Original Budget	Amended Budget	Projected To Date	Actual	Dollar Variance	Percentage Variance
Revenues and other sources						
Income Tax	\$ 18,204,000	\$ 18,204,000	13,940,620	\$ 14,402,789	\$ 462,169	3.32%
Real & Personal Property Tax	5,843,100	5,843,100	5,843,100	5,920,993	77,893	1.33%
Local Government Fund	1,050,000	1,050,000	787,500	766,515	(20,985)	-2.66%
Property Tax Rollbacks	828,900	828,900	828,900	826,221	(2,679)	-0.32%
Licenses and Permits	1,000,000	1,100,000	911,749	966,311	54,562	5.98%
Charges for Services	1,000,000	1,100,000	957,070	997,231	40,161	4.20%
Fines, Costs & Forfeitures	325,000	325,000	246,493	240,460	(6,033)	-2.45%
Investment Earnings	425,000	425,000	425,000	425,678	678	0.16%
Cable TV Franchise Fees	590,000	590,000	441,533	441,533	-	0.00%
Miscellaneous Revenues	430,000	430,000	505,823	505,823	-	0.00%
Reimbursements/Recoveries	100,000	100,000	270,861	270,861	-	0.00%
IntraCity Reimbursements:						
Tax Incentive Review Fund	5,000	5,000	-	-	-	0.00%
Sanitary Sewer Fund-parts & fuel	26,000	26,000	18,279	18,279	-	0.00%
Water Surcharge Fund-parts & fuel	15,000	15,000	7,435	7,435	-	0.00%
Stormwater Management Fund-parts & fuel	20,000	20,000	11,483	11,483	-	0.00%
Total Revenue	29,862,000	30,062,000	25,195,846	25,801,612	605,766	2.40%
Transfers/Advances In						
Police and Fire Pension Funds	2,736,000	2,736,000	1,548,728	1,548,728	-	0.00%
Kingsdale Core TIF Fund	100,000	100,000	-	-	-	0.00%
Community Fiber Optic Fund	125,000	125,000	-	-	-	0.00%
Total Revenues and other sources	32,823,000	33,023,000	26,744,574	27,350,340	605,766	2.27%
Obligations:						
Police Division	8,060,600	8,066,600	6,128,067	5,625,504	502,563	8.20%
Fire Division	8,267,800	8,301,900	6,281,892	5,888,192	393,700	6.27%
Board of Health	282,000	282,000	274,420	274,420	-	0.00%
Parks and Recreation	3,441,050	3,462,050	2,813,035	2,733,717	79,318	2.82%
Community Development	894,500	899,500	728,741	691,884	36,857	5.06%
Public Services Administration	881,150	895,150	680,614	630,625	49,989	7.34%
Public Works	1,117,400	1,122,400	750,297	685,465	64,832	8.64%
City Manager	1,038,650	1,216,650	928,203	880,835	47,368	5.10%
City Attorney	770,100	770,100	546,731	512,069	34,662	6.34%
City Clerk	314,600	314,600	190,071	172,053	18,018	9.48%
City Council	149,200	149,200	112,522	112,140	382	0.34%
Clerk of Court	474,300	481,800	352,375	302,101	50,274	14.27%
Finance	1,188,100	1,022,100	707,277	652,690	54,587	7.72%
Facilities Maintenance	1,564,600	1,564,600	1,354,034	1,219,642	134,392	9.93%
Information Technology	1,163,400	1,163,400	944,849	909,094	35,755	3.78%
General Administration	2,357,700	3,606,175	3,017,117	2,734,507	282,610	9.37%
Total Operating Expenditures	31,965,150	33,318,225	25,810,245	24,024,938	1,785,307	6.92%
Transfers/Advances Out:						
Economic Development Fund	500,000	500,000	500,000	500,000	-	0.00%
Community Fiber Optic Fund	68,400	68,400	68,400	68,400	-	0.00%
Civil Service Commission	25,000	25,000	-	-	-	0.00%
Street Construction Maintenance & Repair Fund	21,800	21,800	-	-	-	0.00%
Infrastructure Fund	3,750,000	3,750,000	3,750,000	3,750,000	-	0.00%
Emergency Medical Services Billing Fund	200,000	460,000	260,000	260,000	-	0.00%
Total Obligations	36,530,350	38,143,425	30,388,645	28,603,338	1,785,307	5.87%
(215)						
Excess of Revenue and other resources over total obligations:	(3,707,350)	(5,120,425)	(3,644,071)	(1,252,998)		
Total Beginning Fund Balance	18,675,380	18,675,380	18,675,380	18,675,380		
Anticipated appropriation lapses (3%)	959,000	959,000	959,000	-		
Total Ending Fund Balance	15,927,030	14,513,955	15,990,309	17,422,382	1,432,073	8.96%

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Capital Equipment Fund (106)

	Original Budget	Current Budget	Projected To Date	Actual	Dollar Variance	Percentage Variance
<u>Revenues and other sources</u>						
Real & Personal Property Tax	\$ 749,300	\$ 749,300	749,300	\$ 751,683	\$ 2,383	0.32%
Property Tax Rollbacks	106,200	106,200	106,200	105,922	(278)	-0.26%
Total Revenues and other sources	855,500	855,500	855,500	857,605	2,105	0.25%
<u>Obligations</u>						
Capital Equipment	1,115,300	1,182,300	677,055	677,055	-	0.00%
Fleet Lease	40,000	-	-	-	-	0.00%
Total Obligations	1,155,300	1,182,300	677,055	677,055	-	0.00%
Excess of Revenue and other resources over total obligations:	(299,800)	(326,800)	178,445	180,550		
Beginning Fund Balance	354,212	354,212	354,212	354,212		
Ending Fund Balance	\$ 54,412	\$ 27,412	\$ 532,657	\$ 534,762	\$ 2,105	0.40%

Street Maintenance and Repair Fund (207)

	Original Budget	Amended Budget	Projected To Date	Actual	Dollar Variance	Percentage Variance
<u>Revenues and other sources</u>						
Gasoline Taxes	\$ 1,175,000	\$ 1,175,000	859,978	\$ 890,262	\$ 30,284	3.52%
Motor Vehicle License Taxes	450,000	450,000	342,351	342,656	305	0.09%
Investment Earnings	500	500	6,853	6,853	-	0.00%
Miscellaneous Revenues	8,000	8,000	9,685	9,685	-	0.00%
Total Revenue	1,633,500	1,633,500	1,218,867	1,249,456	30,589	2.51%
<u>Transfers In:</u>						
General Fund	21,800	21,800	-	-	-	0.00%
Total Revenues and other sources	1,655,300	1,655,300	1,218,867	1,249,456	30,589	2.51%
<u>Obligations</u>						
Public Services Administration - Operating	762,850	762,850	502,210	452,107	50,103	9.98%
Public Works Division - Operating	1,281,550	1,281,550	922,331	852,970	69,361	7.52%
Total Obligations	2,044,400	2,044,400	1,424,541	1,305,077	119,464	8.39%
Excess of Revenue and other resources over total obligations:	(389,100)	(389,100)	(205,674)	(55,621)		
Beginning Fund Balance	865,128	865,128	865,128	865,128		
Ending Fund Balance	\$ 476,028	\$ 476,028	\$ 659,454	\$ 809,507	150,053	22.75%

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Solid Waste Management Fund (710)

	Original Budget	Amended Budget	Projected To Date	Actual	Dollar Variance	Percentage Variance
<u>Revenues and other sources</u>						
Sale of stickers	\$ 1,450,000	\$ 1,450,000	1,145,411	\$ 1,216,035	\$ 70,624	6.17%
Annual service Fee	800,000	800,000	799,956	824,699	24,743	3.09%
Pass through premium fees	28,000	28,000	18,225	18,225	-	0.00%
Investment earnings	5,000	5,000	7,176	7,176	-	0.00%
Total Revenues and other sources	2,283,000	2,283,000	1,970,768	2,066,135	95,367	4.84%
<u>Obligations</u>						
Refuse and recycling collection contract	1,777,500	1,777,500	1,333,125	1,317,467	15,658	1.17%
Pass through premium fees	28,000	28,000	18,225	18,225	-	0.00%
Medical exemption fees	6,000	6,000	-	-	-	0.00%
Disposal fees	350,000	350,000	204,167	180,278	23,889	11.70%
Miscellaneous (billing fees & sticker printing)	15,000	15,000	5,671	5,671	-	0.00%
Total Obligations	2,176,500	2,176,500	1,561,188	1,521,641	39,547	2.53%
Excess of Revenue and other resources over total obligations:	106,500	106,500	409,580	544,494		
Beginning Fund Balance	436,875	436,875	436,875	436,875		
Ending Fund Balance	\$ 543,375	\$ 543,375	\$ 846,455	\$ 981,369	\$ 134,914	15.94%

Water Surcharge Fund (720)

	Original Budget	Amended Budget	Projected To Date	Actual	Dollar Variance	Percentage Variance
<u>Revenues and other sources</u>						
Water Surcharge	\$ 455,000	\$ 455,000	298,133	\$ 331,592	\$ 33,459	11.22%
Total Revenues and other sources	455,000	455,000	298,133	331,592	33,459	11.22%
<u>Obligations</u>						
Public Works Division-Operating	331,000	331,000	248,430	231,929	16,501	6.64%
Debt Service on Issue 2 Loans	18,870	18,870	18,869	18,869	-	0.00%
<u>Transfers to:</u>						
General Obligation Retirement	53,600	53,600	11,801	11,801	-	0.00%
General Fund-IntraCity Services	15,000	15,000	7,435	7,435	-	0.00%
Total Obligations	418,470	418,470	286,535	270,034	16,501	5.76%
Excess of Revenue and other resources over total obligations:	36,530	36,530	11,598	61,558		
Beginning Fund Balance	676,526	676,526	676,526	676,526	-	
Ending Fund Balance	\$ 713,056	\$ 713,056	\$ 688,124	\$ 738,084	49,960	7.26%

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Sanitary Sewer Surcharge Fund (730)

	Original Budget	Amended Budget	Projected To Date	Actual	Dollar Variance	Percentage Variance
Revenues and other sources						
Sanitary Sewer Surcharge	\$ 838,000	\$ 838,000	588,439	\$ 631,723	\$ 43,284	7.36%
Miscellaneous Revenues	1,500	1,500	1,374	1,374	-	0.00%
Total Revenue	839,500	839,500	589,813	633,097	43,284	7.34%
Obligations						
Public Works Division-Operating	786,000	786,000	446,470	416,048	30,422	6.81%
Transfers to:						
General Obligation Retirement	53,600	53,600	11,801	11,801	-	0.00%
General Fund-IntraCity Services	26,000	26,000	18,279	18,279	-	0.00%
Total Obligations	865,600	865,600	476,550	446,128	30,422	6.38%
Excess of Revenue and other resources over total obligations:	(26,100)	(26,100)	113,263	186,969		
Beginning Fund Balance	1,265,907	1,265,907	1,265,907	1,265,907		
Ending Fund Balance	\$ 1,239,807	\$ 1,239,807	\$ 1,379,170	\$ 1,452,876	73,706	5.34%

Stormwater Management Fund (740)

	Original Budget	Amended Budget	Projected To Date	Actual	Dollar Variance	Percentage Variance
Revenues and other sources						
Stormwater Management Fee	\$ 744,000	\$ 744,000	700,581	\$ 721,760	\$ 21,179	3.02%
Investment Earnings	20,000	20,000	17,742	17,742	-	0.00%
Miscellaneous income	-	-	-	932	932	100.00%
Total Revenues and other sources	764,000	764,000	718,323	740,434	22,111	3.08%
Obligations						
Public Works Division-Operating	415,800	415,800	308,406	299,767	8,639	2.80%
Transfers to:						
General Obligation Retirement	287,900	287,900	26,446	26,446	-	0.00%
General Fund-IntraCity Services	20,000	20,000	11,483	11,483	-	0.00%
Total Obligations	723,700	723,700	346,335	337,696	8,639	2.49%
Excess of Revenue and other resources over total obligations:	40,300	40,300	371,988	402,738		
Beginning Fund Balance	1,936,043	1,936,043	1,936,043	1,936,043		
Ending Fund Balance	\$ 1,976,343	\$ 1,976,343	\$ 2,308,031	\$ 2,338,781	\$ 30,750	1.33%

Swimming Pool Fund (750)

	Original Budget	Amended Budget	Projected To Date	Actual	Dollar Variance	Percentage Variance
Revenues and other sources						
Charges for Services	\$ 675,000	\$ 675,000	667,953	\$ 709,972	\$ 42,019	6.29%
Total Revenues and other sources	675,000	675,000	667,953	709,972	42,019	6.29%
Obligations						
Operating	672,300	672,300	589,847	573,591	16,256	2.76%
Capital Equipment	50,000	50,000	1,656	1,656	-	0.00%
Total Obligations	722,300	722,300	591,503	575,247	16,256	2.75%
Excess of Revenue and other resources over total obligations:	(47,300)	(47,300)	76,450	134,725		
Beginning Fund Balance	508,896	508,896	508,896	508,896		
Ending Fund Balance	\$ 461,596	\$ 461,596	\$ 585,346	\$ 643,621	\$ 58,275	9.96%

**Monthly Financial Report
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Statement of Receipts and Disbursements (cash basis)

<u>Fund</u>	<u>Beginning Balance</u>	<u>Year to Date Transfer In</u>	<u>Year to Date & Transfer Out</u>	<u>Ending Balance</u>	<u>Percentage Change</u>
General	\$ 19,391,335	\$ 27,350,340	\$ 28,237,394	\$ 18,504,281	-4.6%
Capital Asset Management	10,006,824	5,587,030	805,664	14,788,190	47.8%
Police Pension	225,935	514,586	296,769	443,752	96.4%
Fire Pension	225,935	514,586	296,769	443,752	96.4%
Self Insurance	772,673	35,047	10,674	797,046	3.2%
Capital Equipment	782,750	857,605	879,785	760,570	-2.8%
Police & Fire Pension	856,363	1,714,825	955,188	1,616,000	88.7%
Technology Fund	67,028	65,912	21,023	111,917	67.0%
Tax Incentive Review Fund	25,363	4,429	-	29,792	17.5%
Street Maintenance and Repair Fund	904,012	1,249,456	1,291,772	861,696	-4.7%
EMS Billing Fund	242,329	734,003	624,686	351,646	45.1%
Law Enforcement	878,019	52,441	145,022	785,438	-10.5%
Tree Planting Fund	75,913	22,389	10,095	88,207	16.2%
Enforcement Education	15,770	1,814	511	17,073	8.3%
Mayor's Court Computer	66,280	7,000	10,517	62,763	-5.3%
Mayor's Court Special Project	109,233	23,398	7,146	125,485	14.9%
Lifelong Learning and Leisure	112,701	118,822	102,976	128,547	14.1%
Economic Development	130,257	500,000	290,030	340,227	161.2%
Arlington Centre TIF Fund	181	-	-	181	100.0%
Tremont Road TIF Fund	5,088	-	-	5,088	100.0%
Lane Avenue Mixed Use TIF Fund	1,196,891	484,227	283,911	1,397,207	16.7%
Lane Avenue TIF Fund	190,595	71,928	814	261,709	37.3%
Arlington Crossing TIF Fund	305,516	163,109	3,495	465,130	52.2%
Horizon TIF Fund	1,425,671	352,757	10,106	1,768,322	24.0%
Kingsdale West TIF Fund	113,512	42,988	489	156,011	37.4%
Kingsdale CORE TIF Fund	555,247	492,417	317,163	730,501	31.6%
Civil Service	18,923	-	3,020	15,903	-16.0%
Riverside North TIF Fund	7,488	1,528	18	8,998	20.2%
Riverside South TIF Fund	16,901	16,732	190	33,443	97.9%
Neighborhood Lighting Utility	575,937	67,969	15,925	627,981	9.0%
Clerk of Courts Fund	47,827	7,000	7,143	47,684	-0.3%
UA Visitor's Bureau Fund	201,874	50,268	-	252,142	24.9%
General Bond Retirement	572,112	2,546,581	1,439,131	1,679,562	193.6%
Bonded Improvements	12,964,096	9,357,541	6,051,228	16,270,409	25.5%
Infrastructure Improvement Fund	4,375,294	3,750,000	1,330,056	6,795,238	55.3%
Estate Tax Fund	6,547,479	32,947	5,334,526	1,245,900	-81.0%
Community Fiber Optic Fund	889,659	263,916	169,498	984,077	10.6%
Employee Benefit	2,025,051	2,505,411	2,210,085	2,320,377	14.6%
BWC Administration Fund	488,102	188,124	135,627	540,599	10.8%
Solid Waste Management	491,326	2,066,135	1,521,551	1,035,910	110.8%
Water Surcharge	676,690	331,592	253,261	755,021	11.6%
Sanitary Sewer Surcharge	1,266,794	633,097	434,251	1,465,640	15.7%
Stormwater Management	1,962,191	740,434	322,039	2,380,586	21.3%
UA Swimming Pools	509,658	709,972	569,346	650,284	27.6%
Returnable Bonds	-	-	-	-	0.0%
Unclaimed Funds	3,204	1,124	-	4,328	35.1%
Revolving Fund	32,816	100,284	121,061	12,039	-63.3%
Construction Withholding	1,083,554	1,107,565	583,484	1,607,635	48.4%
Payroll Clearing Fund	444,026	17,192,433	17,206,330	430,129	-3.1%
Totals	\$ 73,882,423	\$ 82,631,762	\$ 72,309,769	\$ 84,204,416	

**Monthly Financial Report
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Income Tax Comparisons

	<u>Projections</u>	<u>Year to date</u>	<u>Difference</u>	<u>% Difference</u>
Withholdings	\$11,831,825	12,119,141	287,316	2.43%
Individuals	5,401,200	5,207,163	(194,037)	-3.59%
Net Profits	2,130,537	2,663,516	532,979	25.02%
Total	\$19,363,562	19,989,820	626,258	3.23%

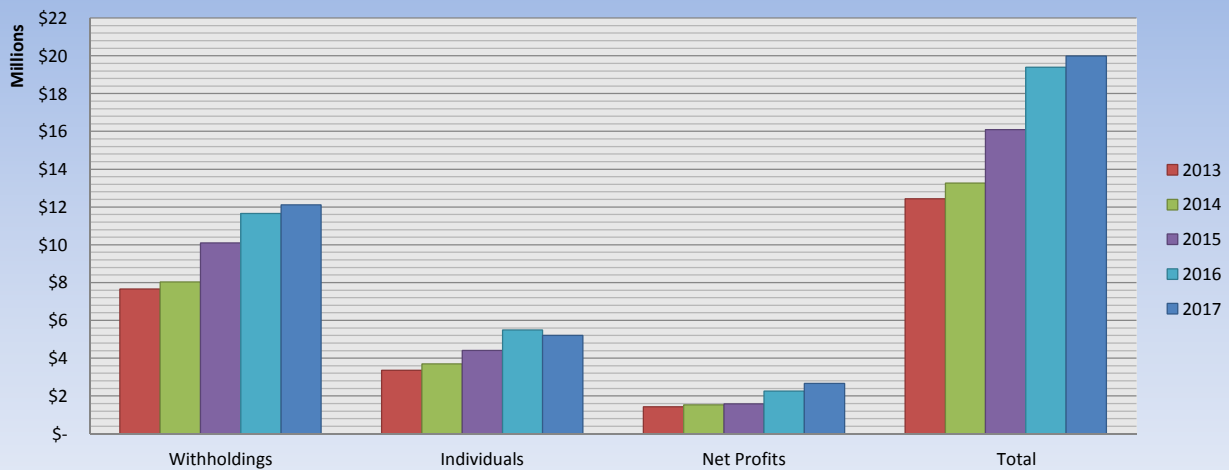
Income Tax Five Year Comparison

	<u>2013</u>	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2017</u>
Withholdings	7,655,289	8,033,593	10,103,310	11,652,998	12,119,141
Individuals	3,355,414	3,698,334	4,415,371	5,485,313	5,207,163
Net Profits	1,422,439	1,525,270	1,579,384	2,259,564	2,663,516
Total	12,433,142	13,257,197	16,098,065	19,397,875	19,989,820

Percentage Increase (Decrease) From Prior Year

	<u>2012-2013</u>	<u>2013-2014</u>	<u>2014-2015</u>	<u>2015-2016</u>	<u>2016-2017</u>
Withholdings	1.28%	4.94%	25.76%	15.34%	4.00%
Individuals	20.32%	10.22%	19.39%	24.23%	-5.07%
Net Profits	12.72%	7.23%	3.55%	43.07%	17.88%
Total	7.10%	6.63%	21.43%	20.50%	3.05%

Income Tax Five Year Trend



INTEREST AND INVESTMENT INCOME

Month of: **Sep** 2017

General Investments	Beginning Balance	Adjustments	Adjusted Beginning Balance	Principal			Interest			Ending Balance
				Purchased	Matured/Sold	Deposited/Withdrawn	Bank Account	Investment Acct.	Coupon	
Municipal Securities	\$ 250,000.00		250,000.00							\$ 250,000.00
United States Treas NTS	\$ 997,148.44		997,148.44							\$ 997,148.44
United States Treas SHORT TERM			-	998,745.83						\$ 998,745.83
Federal Agency - No Coupon (Callable)	\$ 41,454,852.50		41,454,852.50	398,000.00						\$ 41,852,852.50
Federal Agency - Coupon (Callable)	\$ 9,738,812.50		9,738,812.50	899,550.00						\$ 10,638,362.50
Federal Agency - No Coupon (NC)	\$ 4,542,057.50		4,542,057.50							\$ 4,542,057.50
Federal Agency - Discount Note	\$ -		-							\$ -
Bond \$ Investments:										
Series 2016 912796lu1-Treasury bill due 12/1/17	\$ 1,991,253.88		1,991,253.88		(1,991,253.88)					\$ -
Series 2016 912828M72 Treasury note due 11/30/2017	\$ 999,687.50		999,687.50							\$ 999,687.50
Series 2016 912828N55 Treasury note due 12/31/2017	\$ 1,000,000.00		1,000,000.00							\$ 1,000,000.00
Series 2016 912796LZ0 Treasury bill due 10/26/2017	\$ 995,248.75		995,248.75							\$ 995,248.75
Series 2016 912796kr9 Treasury Bill due 5/15/25	\$ 998,260.00		998,260.00							\$ 998,260.00
Series 2016 912796LV9 Treasury Bill due 9/28/2017	\$ 998,845.00		998,845.00		(998,845.00)					\$ -
Series 2016 912828UE8 Treasury Bill due 12/31/2017	\$ -		-	999,218.75						\$ 999,218.75
Series 2017-912796KL2 Treasury bill due 9/14/17	\$ 1,493,732.91		1,493,732.91		(1,493,732.91)					\$ -
Series 2017-912796LB3 Treasury bill due 12/7/17	\$ 1,490,175.00		1,490,175.00							\$ 1,490,175.00
Series 2017-912796LC1 Treasury bill due 1/4/18	\$ 1,985,650.00		1,985,650.00							\$ 1,985,650.00
Series 2017 912828H94 Treasury note due 2/15/2018	\$ 999,609.38		999,609.38							\$ 999,609.38
Series 2017 912796LZ0 Treasury bill due 10/26/2017	\$ 1,990,497.50		1,990,497.50							\$ 1,990,497.50
Series 2017 912796LW7 Treasury bill due 10/05/2017	\$ 499,361.25		499,361.25							\$ 499,361.25
Series 2017 912828UE8 Treasury N/B 12/31/17	\$ -		-	999,218.75						\$ 999,218.75
Series 2017 313313tk4 FFCB Discount Note due 2/22/18	\$ 497,153.35		497,153.35							\$ 497,153.35
Subtotal	\$ 72,922,345.46	\$ -	\$ 72,922,345.46							\$ 72,733,247.00
Certificate of Deposit	\$ 400,000.00		400,000.00							\$ 400,000.00
TOTAL INVESTMENTS	\$ 73,322,345.46		73,322,345.46							\$ 73,133,247.00
Arlington Bank CD interest	\$ -		-				-			\$ -
Money Market Fund (Trust Dept) - General	\$ 2,328,605.39	-	2,328,605.39	(998,745.83)	(1,297,550.00)			33,238.98		\$ 65,548.54
Money Market Fund (Trust Dept) - Bond 2016	\$ 787,870.05		787,870.05	(999,218.75)	2,990,098.88			9,284.81		\$ 2,788,034.99
Money Market Fund (Trust Dept) - Bond 2017	\$ 344,875.30		344,875.30	(999,218.75)	1,493,732.91			5,234.06		\$ 844,623.52
NACC Total	\$ 76,383,696.20		76,383,696.20				-	33,238.98	-	\$ 76,431,454.05
STAR Ohio	\$ 4,769,238.07	-	4,769,238.07	-	-		4,712.43			\$ 4,773,950.50
STAR Ohio (Bond Account - 2016 Series)	\$ 763,630.31	-	763,630.31				754.53			\$ 764,384.84
STAR Ohio (Bond Account - 2017 Series)	\$ (0.00)	-	(0.00)							\$ (0.00)
TOTALS	\$ 82,316,564.58		\$ 82,316,564.58	\$ -	\$ -	\$ -	\$ 5,466.96	\$ 33,238.98	\$ -	\$ 82,369,789.39

Huntington Bank **1,834,623.92**
 Total Cash & Investments **\$ 84,204,413.31**

**City of Upper Arlington
Project Expense Performance Report
As of September 2017**

Project/Description	Engineer Estimate	Approved Contracts/ In-house Estimate	Actual Expenditures	Engineer vs Actual Variance	Contracts vs Actual Variance	Status
2014/2015 SSES	1,000,000	1,012,938	672,420	(327,580)	(340,518)	Ongoing
Design/Inspection	1,000,000	1,012,938	672,420	(327,580)	(340,518)	
In-house		12,938	12,938			
Contract		1,000,000	659,482			
2015 Tremont Road Phase I (Lane-Zollinger)	8,678,964	9,653,718	9,155,997	477,033	(497,721)	Complete (pending final bills)
Design/Inspection	852,027	1,826,781	1,594,909	742,882	(231,872)	
In-house	-	115,438	115,438			
Contract (contract amount currently includes Phase I and Phase II)		1,711,343	1,479,471			
Construction	7,115,397	7,115,397	7,115,397	-	-	
Contingency	711,540	711,540	445,691	(265,849)	(265,849)	
2014/2015 Stormwater Projects	343,740	269,544	204,784	(138,956)	(64,760)	Complete (pending final bills)
Design/Inspection	81,940	71,667	49,128	(32,812)	(22,539)	
In-house		8,967	8,967			
Contract		62,700	40,161			
Construction	238,000	197,877	155,656	(82,344)	(42,221)	
Contingency	23,800	-	-	(23,800)	-	
2015 Fiber Optic Cable	1,764,000	2,833,729	2,712,190	948,190	(121,539)	Substantially Complete (pending final bills)
Design/Inspection	224,000	195,554	81,785	(142,215)	(113,769)	
In-house		-	-			
Contract		195,554	81,785			
Construction	1,400,000	2,620,691	2,630,405	1,230,405	9,714	
Contingency	140,000	17,484	-	(140,000)	(17,484)	
2016 Avalon WL	349,125	278,465	280,220	(68,905)	1,755	Complete (pending final bills)
Design/Inspection	60,375	35,468	37,224	(23,151)	1,756	
In-house		15,945	15,945			
Contract		19,523	21,279			
Construction	262,500	220,906	220,906	(41,594)	-	
Contingency	26,250	22,091	22,090	(4,160)	(1)	
2016 Mountview WL	155,610	268,611	270,366	114,756	1,755	Complete (pending final bills)
Design/Inspection	26,910	25,615	27,371	461	1,756	
In-house		6,092	6,092			
Contract		19,523	21,279			
Construction	117,000	220,905	220,905	103,905	-	
Contingency	11,700	22,091	22,090	10,390	(1)	
2016 Ridgeview WL	207,480	273,304	237,524	30,044	(35,780)	Complete (pending final bills)
Design/Inspection	35,880	30,308	31,672	(4,208)	1,364	
In-house		10,785	10,785			
Contract		19,523	20,887			
Construction	156,000	220,905	205,852	49,852	(15,053)	
Contingency	15,600	22,091	-	(15,600)	(22,091)	
Contingency	1,091,143	-	36,690	(1,054,453)	36,690	(water service permit)

**City of Upper Arlington
Project Expense Performance Report
As of September 2017**

Project/Description	Engineer Estimate	Approved Contracts/ In-house Estimate	Actual Expenditures	Engineer vs Actual Variance	Contracts vs Actual Variance	Status
2016 Street Repair Program (SRP)	1,749,425	1,509,452	1,137,288	(612,137)	(372,164)	Complete
Design/Inspection	165,425	198,181	219,275	53,850	21,094	(pending final bills)
In-house		46,686	46,686			
Contract		151,495	172,589			
Construction	1,440,000	1,192,064	915,502	(524,498)	(276,562)	
Contingency	144,000	119,207	2,511	(141,489)	(116,696)	
2016 Stormwater Projects	276,000	11,932	11,932	(264,068)	-	Design
Design/Inspection	56,000	11,932	11,932	(44,068)	-	
In-house		11,932	11,932			
Contract			-			
Construction	200,000	-	-	(200,000)	-	
Contingency	20,000	-	-	(20,000)	-	
2016 Tremont Road - Phase 2	6,114,563	7,623,487	7,602,505	1,487,942	(20,982)	Complete
Design/Inspection	763,063	107,271	107,271	(655,792)	-	(pending final bills)
In-house		107,271	107,271	107,271		
Contract (contract amount currently included in Phase I above)		-	-	-		
Construction	4,865,000	6,832,924	6,832,924	1,967,924	-	
Contingency	486,500	683,292	662,310	175,810	(20,982)	
2016 Park Improvements	436,000	452,103	446,428	10,428	(5,675)	Construction
Design/Inspection	10,000	2,263	2,263	(7,737)	-	
In-house		2,263	2,263			
Contract		-	-			
Construction	406,000	421,719	421,719	15,719	-	
Contingency	20,000	28,121	22,446	2,446	(5,675)	
2016 Traffic Signal Replacement	166,250	124,719	105,720	(60,530)	(18,999)	Construction
Design/Inspection	28,750	25,400	25,400	(3,350)	-	
In-house		4,952	4,952			
Contract		20,448	20,448			
Construction	125,000	90,290	80,320	(44,680)	(9,970)	
Contingency	12,500	9,029	-	(12,500)	(9,029)	
2016 Sanitary Sewer Repairs from Inspection and Emergency	150,000	134,290	94,201	(55,799)	(40,089)	Construction
Design/Inspection		-	-	-	-	
In-house		-	-			
Contract		-	-			
Construction	135,000	134,290	94,201	(40,799)	(40,089)	
Contingency	15,000	-	-	(15,000)	-	
2016/2017 Northam Park Phase II	7,250,000	7,841,052	7,640,548	390,548	(200,504)	Construction
Design/Inspection	1,420,000	1,542,421	1,323,159	(96,841)	(219,262)	
In-house		5,893	5,893			
Contract		1,536,528	1,317,266			
Construction	5,300,000	5,799,278	5,799,278	499,278	-	
Contingency	530,000	499,353	518,111	(11,889)	18,758	

**City of Upper Arlington
Project Expense Performance Report
As of September 2017**

Project/Description	Engineer Estimate	Approved Contracts/ In-house Estimate	Actual Expenditures	Engineer vs Actual Variance	Contracts vs Actual Variance	Status
2017 Waterline Projects (Asbury, Reed, Wyandotte)	466,830	1,553,057	1,431,198	964,368	(121,859)	Construction
<i>Design/Inspection</i>	80,730	171,799	168,172	87,442	(3,627)	
<i>In-house</i>		32,264	32,264			
<i>Contract</i>		139,535	135,908			
<i>Construction</i>	351,000	1,255,689	1,255,689	904,689	-	
<i>Contingency</i>	35,100	125,569	7,337	(27,763)	(118,232)	
2017 Reed Road Reconstruction	1,422,502	1,554,068	212,850	(1,209,652)	(1,341,218)	Construction
<i>Design/Inspection</i>	245,997	224,913	212,850	(33,147)	(12,063)	
<i>In-house</i>		17,150	17,150			
<i>Contract</i>		207,763	195,700			
<i>Construction</i>	1,069,550	1,208,323	-	(1,069,550)	(1,208,323)	
<i>Contingency</i>	106,955	120,832	-	(106,955)	(120,832)	
2017 Street Maintenance Program (SMP)	678,000	1,461,362	657,302	(20,698)	(804,060)	Construction
<i>Design/Inspection</i>	18,000	24,990	24,990	6,990	-	
<i>In-house</i>		24,990	24,990			
<i>Contract</i>		-	-			
<i>Construction</i>	600,000	1,305,793	632,312	32,312	(673,481)	
<i>Contingency</i>	60,000	130,579	-	(60,000)	(130,579)	
2017 Street Repair Program (SRP)	4,364,800	3,923,144	1,796,214	(2,568,586)	(2,126,930)	Construction
<i>Design/Inspection</i>	613,800	232,145	232,145	(381,655)	-	
<i>In-house</i>		164,295	164,295			
<i>Contract</i>		67,850	67,850			
<i>Construction</i>	3,410,000	3,355,454	1,564,069	(1,845,931)	(1,791,385)	
<i>Contingency</i>	341,000	335,545	-	(341,000)	(335,545)	
2017 Bridge Projects	975,660	1,019,610	421,884	(834,024)	(22,445)	Construction
<i>Design/Inspection</i>	197,960	164,081	161,388	(67,009)	(33,130)	
<i>In-house</i>		11,576	25,533	-	-	
<i>Contract</i>		152,505	135,855	-	-	
<i>Construction</i>	707,000	777,754	260,496	(696,315)	10,685	
<i>Contingency</i>	70,700	77,775	-	(70,700)	-	
2016/2017 Sanitary Sewer	2,520,858	2,831,249	581,436	(1,939,422)	(2,249,813)	Construction
<i>Design/Inspection</i>	310,000	37,373	37,373	(272,627)	-	
<i>In-house</i>		37,373	37,373			
<i>Contract</i>		-	-			
<i>Construction</i>	2,009,871	2,539,887	544,063	(1,465,808)	(1,995,824)	
<i>Contingency</i>	200,987	253,989	-	(200,987)	(253,989)	
2017 Southeast Drainage Improvements	276,000	60,460	42,764	(233,236)	(17,696)	Design
<i>Design/Inspection</i>	56,000	60,460	42,764	(13,236)	(17,696)	
<i>In-house</i>		11,960	11,960			
<i>Contract</i>		48,500	30,804			
<i>Construction</i>	200,000	-	-	(200,000)	-	
<i>Contingency</i>	20,000	-	-	(20,000)	-	

**City of Upper Arlington
Project Expense Performance Report
As of September 2017**

Project/Description	Engineer Estimate	Approved Contracts/ In-house Estimate	Actual Expenditures	Engineer vs Actual Variance	Contracts vs Actual Variance	Status
2017 West Fifth Ave Bike Path and Road Improvement	949,000	693,633	366,387	(582,613)	(327,246)	Construction
Design/Inspection	179,000	13,338	20,200	(158,800)	6,862	
In-house		13,338	13,338			
Contract		-	6,862			
Construction	700,000	618,450	346,187	(353,813)	(272,263)	
Contingency	70,000	61,845	-	(70,000)	(61,845)	
2017 Traffic Signal Replacement	171,250	215,077	27,872	(143,378)	(187,205)	Construction
Design/Inspection	33,750	25,428	18,443	(15,307)	(6,985)	
In-house		933	933			
Contract		24,495	17,510			
Construction	125,000	172,408	9,429	(115,571)	(162,979)	
Contingency	12,500	17,241	-	(12,500)	(17,241)	
2017 Park Improvements	2,819,700	759,536	622,134	(2,197,566)	(137,402)	Construction
Design/Inspection	434,700	91,814	110,985	(323,715)	19,171	
In-house		-	-			
Contract		91,814	110,985			
Construction	2,196,000	610,922	511,149	(1,684,851)	(99,773)	
Contingency	189,000	56,800	-	(189,000)	(56,800)	
2017 Wooden Street Light Pole Replacement	779,700	579	579	(779,121)	-	Design
Design/Inspection	20,700	579	579	(20,121)	-	
In-house		579	579			
Contract		-	-			
Construction	690,000	-	-	(690,000)	-	
Contingency	69,000	-	-	(69,000)	-	
2017 Sidewalk Connection Project	1,820,000	1,079,203	144,135	(1,675,865)	(935,068)	Construction
Design/Inspection	32,500	39,330	39,327	6,827	(3)	
In-house		35,430	35,430			
Contract		3,900	3,897			
Construction	1,625,000	945,339	104,808	(1,520,192)	(840,531)	
Contingency	162,500	94,534	-	(162,500)	(94,534)	
2018 Street Repair Program (SRP)	5,228,800	454,422	70,953	(5,157,847)	(383,469)	Design
Design/Inspection	735,300	454,422	70,953	(664,347)	(383,469)	
In-house		9,174	9,174			
Contract		445,248	61,779			
Construction	4,085,000	-	-	(4,085,000)	-	
Contingency	408,500	-	-	(408,500)	-	
2018 Bridge 8 Replacement (Dorset-Evans Run)	159,600	51,417	8,517	(151,083)	(42,900)	Design
Design/Inspection	27,600	51,417	8,517	(19,083)	(42,900)	
In-house		1,517	1,517			
Contract		49,900	7,000			
Construction	120,000	-	-	(120,000)	-	
Contingency	12,000	-	-	(12,000)	-	

**City of Upper Arlington
Project Expense Performance Report
As of September 2017**

Project/Description	Engineer Estimate	Approved Contracts/ In-house Estimate	Actual Expenditures	Engineer vs Actual Variance	Contracts vs Actual Variance	Status
2018 Waterlines	1,920,010	178,984	89,667	(1,830,343)	(89,317)	Design
Design/Inspection	222,010	178,984	89,667	(132,343)	(89,317)	
In-house		3,294	3,294			
Contract		175,690	86,373			
Construction	1,544,000	-	-	(1,544,000)	-	
Contingency	154,000	-	-	(154,000)	-	
2018 Sanitary Sewer Improvements	1,330,000	100,636	1,136	(1,328,864)	(99,500)	Design
Design/Inspection	230,000	100,636	1,136	(228,864)	(99,500)	
In-house		1,136	1,136			
Contract		99,500	-			
Construction	1,000,000	-	-	(1,000,000)	-	
Contingency	100,000	-	-	(100,000)	-	
2018 Traffic Signal Replacement	465,500	75	75	(465,425)	-	Design
Design/Inspection	80,500	75	75	(80,425)	-	
In-house		75	75			
Contract		-	-			
Construction	350,000	-	-	(350,000)	-	
Contingency	35,000	-	-	(35,000)	-	
2019 Street Repair Program (SRP)	4,162,900	67,143	7,943	(4,154,957)	(59,200)	Design
Design/Inspection	719,900	67,143	7,943	(711,957)	(59,200)	
In-house		7,943	7,943			
Contract		59,200	-			
Construction	3,130,000	-	-	(3,130,000)	-	
Contingency	313,000	-	-	(313,000)	-	
2019 Safe Routes to School	303,900	146,687	24,887	(279,013)	(121,800)	Design
Design/Inspection	44,058	146,687	24,887	(19,171)	(121,800)	
In-house		1,116	1,116			
Contract		145,571	23,771			
Construction	236,220	-	-	(236,220)	-	
Contingency	23,622	-	-	(23,622)	-	
2019 Henderson Road Improvements	2,660,000	1,186	1,186	(2,658,814)	-	Design
Design/Inspection	460,000	1,186	1,186	(458,814)	-	
In-house		1,186	1,186			
Contract		-	-			
Construction	2,000,000	-	-	(2,000,000)	-	
Contingency	200,000	-	-	(200,000)	-	

NOTIFICATION OF CHANGE ORDERS
NON-CONSTRUCTION, CITY MANAGER APPROVAL
3RD QUARTER 2017

<u>DATE</u>	<u>VENDOR</u>	<u>DESCRIPTION</u>	<u>AMOUNT</u>
7/21/2017	B-Level	Additional sidewalk panels for leveling	\$ 455.00
7/27/2017	Ohio Tree Care	Increase application to control clover (Reed Road Water Park)	\$ 75.00
7/28/2017	Integrated Building Systems	Additional amplifier at Northam park Pool	\$ 664.00
7/31/2017	Biometric Information	Web Check unit	\$ 6,000.00
8/11/2017	Leininger Enterprises	Addition sidewalk replacement & drain pipe	\$ 567.52

ITEMS PURCHASED BETWEEN \$15,000 & \$40,000
3RD QUARTER

<u>DATE</u>	<u>VENDOR</u>	<u>DESCRIPTION</u>	<u>AMOUNT</u>
7/11/2017	Byers Ford	Ford Explorer (Police)	\$ 27,928.00
7/11/2017	Excenture Business	Central hydraulics & 180 gal wetting systems	\$ 18,777.00
7/11/2017	Iron Armour	Detection System	\$ 19,800.00
7/12/2017	St Agatha's Church	Parking Lot	\$ 25,000.00
8/14/2017	Franklin Soil & Waste	2017 Intergovernmental Working Agreement	\$ 24,725.00
8/14/2017	Ohio Pool Equip & Supply	Pool deck furniture & liquid chlorine	\$ 27,255.60
8/18/2017	Leininger Enterprises	Sidewalk Replacement	\$ 16,637.44
9/13/2017	Contract Sweepers	Street Sweeping Services	\$ 28,500.00
9/19/2017	Pengwyn	(2) Hydraulic Systems	\$ 18,506.00
9/19/2017	Westnet, Inc	First-In Alerting Core Systems	\$ 19,987.59
9/19/2017	TriTech	Software	\$ 26,520.00

QUALITY BASED SELECTION CONSULTANTS - 3RD QUARTER 2017
(Over \$40,000 & Followed the QBS Process)

<u>DATE</u>	<u>PROJECT NAME</u>	<u>CONSULTANT</u>	<u>AMOUNT</u>
7/31/2017	2018 SRP Storm System Replacement - Design	TrCar, LTD	\$ 59,200.00
9/26/2017	2018 Traffic Signal - Design	DLZ	\$ 70,000.00

CAPITAL EQUIPMENT

		QUANTITY	N/R	AMOUNT	FUNDING SOURCE	ACTUAL
Information Technology						
Item Number	<u>Description</u>	<u>Quantity</u>	<u>New (N) or Replacement (R)</u>	<u>Amount</u>	<u>Funding Source</u>	
1	Mayor's Court/Probation software	1	R	\$ 30,000	Clerk of Courts Fund/ Mayor's Court Computer Fund	\$ 26,203.00
2	Parks and Recreation software upgrade	1	R	17,800	Capital Equipment Fund	
3	Tremont pool technology	1	N	50,000	Swimming Pool Fund	
4	Cradle points (Purchased - not capital)	13	N	15,600	Capital Equipment Fund	*****
5	Security camera replacement	14	R	42,000	Capital Equipment Fund	
5	Backup replacement appliance	1	R	63,500	Capital Equipment Fund	\$ 50,880.00
6	Various software upgrades	1	N/R	50,000	Capital Equipment Fund	\$ 49,465.00
7	Asset/infrastructure management system software	1	N	70,000	Capital Equipment Fund	
8	Development software	1	N	60,000	Capital Equipment Fund	\$ 60,000.00
9	Live Scan System	1	Add-On			\$ 6,000.00
10	Bluebeam software (engineering)	1	Add-On			\$ 7,182.00
Information Technology Total				\$ 398,900		\$ 199,730.00
Parks and Recreation						
Item Number	<u>Description</u>	<u>Quantity</u>	<u>New (N) or Replacement (R)</u>	<u>Amount</u>	<u>Funding Source</u>	
1	Pick-up truck (leased vehicle)	1	N	**	Capital Equipment Fund	*****
2	Tennis roller	1	R	\$ 11,000	Capital Equipment Fund	\$ 8,550.00
3	Forestry bucket truck	1	R	135,000	Capital Equipment Fund	\$ 146,830.00
4	Large area mower	1	R	89,000	Capital Equipment Fund	\$ 85,492.00
5	Trim mower	1	R	14,500	Capital Equipment Fund	\$ 12,627.00
Parks and Recreation Total				\$ 249,500		\$ 253,499.00
** This truck will be added into the fleet lease program, therefore no amount is listed.						
Police Division						
Item Number	<u>Description</u>	<u>Quantity</u>	<u>New (N) or Replacement (R)</u>	<u>Amount</u>	<u>Funding Source</u>	
1	Marked police cruiser (2) SUV's - Not complete	3	R	\$ 100,000	Capital Equipment Fund	\$ 57,906.00
2	Unmarked police vehicle	1	R	28,000	Law Enforcement Fund	\$ 27,928.00
Police Total				\$ 128,000		\$ 85,834.00
Public Service Administration						
Item Number	<u>Description</u>	<u>Quantity</u>	<u>New (N) or Replacement (R)</u>	<u>Amount</u>	<u>Funding Source</u>	
1	GPS pre-emption signal equipment	7	N	\$ 43,400	Capital Equipment Fund	\$ 47,739.00
2	Video detection equipment	5	R	39,500	Capital Equipment Fund	\$ 39,550.00
3	Rectangular rapid flash beacon equipment	1	N	20,000	Capital Equipment Fund	\$ 9,170.00
4	Global navigation satellite system receiver & controller	1	R	25,000	Capital Equipment Fund	\$ 20,174.00
Public Service Administration Total				\$ 127,900.00		\$ 116,633.00
Public Works						
Item Number	<u>Description</u>	<u>Quantity</u>	<u>New (N) or Replacement (R)</u>	<u>Amount</u>	<u>Funding Source</u>	
1	Single axle dump truck (Not complete)	1	R	\$ 150,000	Capital Equipment Fund	\$ 144,160.00
2	Leaf collection machine, plus modification to 2016 unit of \$1,160.	1	R	40,000	Capital Equipment Fund	\$ 39,530.00
3	Leaf collection boxes (staff planning to build boxes in-house)	3	R	16,000	Capital Equipment Fund	*****
4	Anti-icing slide in tank	1	N	12,000	Capital Equipment Fund	\$ 7,989.00
5	Retrofit 2008 dump trucks to current specifications (Not complete)	2	R	90,000	Capital Equipment Fund	\$ 64,558.00
6	Floor sweeper and scrubber (floor sweeper purchased \$645.00 - not a capital item)	1	N	11,000	Capital Equipment Fund	
Public Works Total				\$ 319,000		\$ 256,237.00