



CITY OF | **UPPER**
ARLINGTON
OHIO

MUNICIPAL PROGRAM OF SERVICES

PROPOSED BUDGET FOR 2017-2018

DRAFT



CITY OF | **UPPER
ARLINGTON**

November 4, 2016

President Deborah A. Johnson
And members of City Council
City of Upper Arlington
3600 Tremont Road
Upper Arlington, Ohio 43221

Dear Council President and Members of City Council:

In accordance with Section XI of the City of Upper Arlington Charter, it is my duty and honor as City Manager to present you with the 2017-2018 Municipal Services Program.

A quick review of proposed revenues and expenditures for 2017 shows an overall decrease in revenue from 2016, however this disparity can be attributed to grant funding received in 2016 for the Tremont Road construction project. The income tax line item—the City’s largest source of revenue at just under 50%—shows a different picture, with a projected increase in 2017 of approximately \$.6 million from 2016. Of note, the projected 2016 income tax revenues shows an increase of more than \$3 million from 2015. From an operations perspective this budget continues our impressive record of cost control from recent years. Our projected expenditures show a decrease of \$.7 million, primarily the result of a return to 26 pay periods instead of the 27 that occurred in 2016. Since actual operations budgets typically end each year below projections, we expect this savings to be greater by year’s end.

This budget provides the resources necessary for us to continue the high levels of services our citizens expect and deserve, and provides for reinvestments in our public infrastructure and parks. Over the longer term, five-year projections indicate that we will continue to have capacity for producing structurally sound budgets while keeping our 10-year Capital Improvement Program updated and financed. This is due to a number of interrelated factors that include: the leadership of present and past Council bodies that have guided reviews, updates and adherence to a strong set of fiscal policies and financial accountability practices; the cumulative effect of our proactive economic development program; voter support of an increase in the income tax rate to fund capital improvements; and an improving economy.

We are ever mindful of any challenges that may lie ahead, so that we are positioned to weather future revenue reductions that may occur. At this time the ramifications of House Bill 5—which made several adjustments to the Ohio income tax code—are still unknown, but we do anticipate some reduction in revenue will result. And while the nation has been on a slow and steady recovery from the recession of 2008, an eventual downturn is inevitable.

2016 Highlights & Accomplishments

We are confident that the City will retain its standing as a Triple A community, following recent visits with S & P Global and Moody's Investors Service ratings agencies in Chicago. If we are successful, it will mark the ninth consecutive year we have received the highest possible financial rating from both of these rating agencies, an accomplishment that speaks to exceptional fiscal policies and oversight and translates to significant interest rate savings when we issue bonds to support capital projects.

Seeking alternate funding sources continues to be a priority. In 2016, the City successfully secured two \$250,000 grants from the State to fund shared-use paths on Zollinger and Tremont roads as part of the second phase of Tremont reconstruction, and a shared-use path on Fifth Avenue that will support an extension of the Scioto Greenway Trail and is to be constructed through a partnership with Marble Cliff and Columbus. Additionally, a grant of \$250,000 was received from the Department of Natural Resources for the Northam Park Phase II improvements.

A recent summary from our Engineering Division highlights the City's proactive efforts to supplement funding for the Capital Improvement Program by seeking grants and loans. From 2014 through 2019, approximately \$7.5 million has been secured, with another \$6.6 million in grant requests pending. If our efforts are successful for the Fishinger Road reconstruction project (construction year to be determined) and funding for CoGo bike share stations in Upper Arlington, a combined total of just over \$14 million represents approximately 10% of the total costs for a typical 10-year plan.

The City's most significant reconstruction project to date reached a successful conclusion in 2016. The two-phase, two-year Tremont Road Reconstruction project has transformed UA's "main street" to a "complete street," from Kenny Road all the way south to Lane Avenue. Combined, the two phases totaled \$14.5 million, with approximately \$7 million in funding secured through grants or zero interest loans. Arguably the most transformative infrastructure project in Upper Arlington's recent history, improvements include enhanced pedestrian and bicyclist connectivity, a two-lane configuration with a middle turn lane and landscaped medians through the Kingsdale commercial district, a new roundabout at Redding and Abington, on street parking by the Tremont Elementary School, traffic signal and lighting improvements and extensive landscaping that includes a net increase of more than 220 street trees. We are also pleased to report that the project was completed ahead of schedule and for less than the approved contracts.

Other infrastructure improvements successfully completed in 2016 included waterline replacement projects on sections of Avalon, Mountview and Ridgeview roads, bridge replacements on Bridgeview Road and Glenrich Parkway, reconstruction projects for sections of Canterbury, Concord, Dorset, Lear and Welsford roads, and repaving projects on sections of another 22 streets.

Since the City expanded its Capital Improvement Program from seven-to-10-years, from 2014 through 2016 more than \$31 million has been invested in our streets, waterlines, bridges, sanitary sewer lines, streetlights and traffic signals. By the close of 2017, it will have been more than \$43 million. The positive results of this reinvestment are already being realized: The most recent street paving conditions rating exercise—a systematic process for measuring road conditions, which is conducted every two years to help the division prioritize projects—shows a marked improvement. For example, the average rating for pavement condition has shifted from 82.7 (out of 100) in 2012 to 88.17 in 2016. Curb conditions have also improved, from an average of 2.3 in 2012 to 2.1 in 2014 (on a scale of one to five, with one being the best condition).

A partnership project with the Upper Arlington Schools and Upper Arlington Library many years in the making was successfully completed and “went live” in 2016. The facilities of all three entities are now connected to a fiber optic network that has noticeably enhanced online services. At a cost of approximately \$2.5 million, the City received a \$500,000 grant from the State and a low interest loan of \$1 million from the Franklin County Infrastructure Loan Program to help fund this project, saving approximately \$64,000 over the life of the loan when compared to the City’s bond issuance interest rate. Agreements with the Schools and Library include annual lease payments equal to the fees each entity previously paid for leasing broadband from private providers until the City’s upfront investment is recouped, which will take approximately nine years.

The business and development arena continued to be strong in 2016 with several notable projects:

- The much-awaited OSU Wexner Medical Center Outpatient Care Upper Arlington facility was completed in the summer. At 103,000 square feet, the facility is on target to house 200 employees and generate approximately \$450,000 in income tax revenue for the City once fully occupied. This project represents a long-held goal for realizing a professional/medical office building at Kingsdale, on land that had been purchased and set aside by the City for that purpose. In the few months that the facility has been open, the City’s hopes for increased vibrancy at Kingsdale are being realized. Not only have residents gained convenient access to a range of world-class medical services, the surrounding shops, restaurants and services are experiencing increased patronage from the daily presence of employees and patients.
- The OhioHealth Neurological Surgery Rehabilitation Center is under construction at 3363 Tremont Road. An older office building was demolished and the site was combined with two adjacent, vacant parcels to create room for a 25,000 square foot two story medical office building. The facility will house 61 employees and generate approximately \$110,000 in annual income tax revenue for the City. A Tax Increment Financing (TIF) agreement was established to help support this project by providing funds to support demolition of the old office building and other public infrastructure costs.
- The recently opened, 20,000 square foot Columbus Aesthetic & Plastic Surgery (CAPS) facility at 5005 Arlington Centre Boulevard allowed the practice to expand and consolidate various office locations from around Central Ohio. Expected to generate approximately \$200,000 in annual income tax revenue for the City, the project was supported by the City with an economic development incentive of \$500,000. A Tax Increment Finance (TIF) agreement was also established to provide assistance with public improvements.

Over the years, the City's proactive economic development program has successfully attracted and secured new businesses and helped existing businesses expand. The positive, cumulative impact on income tax revenues is very evident. In fact, many of the economic development incentives used to support business expansion have already been paid back in full from the new revenues they helped generate. With projects like the three mentioned above, income projections look very strong moving forward. It will be possible to continue investing in economic development while operating in the black and maintaining an aggressive investment in capital improvements.

The strong reinvestment trends that have been evident for a number of years in both the commercial and residential arenas reached an all time high in 2015, with \$95 million in permitted construction value. We are on track to beat that record in 2016, with the total value through September already at an impressive \$78.6 million.

Looking Ahead

With construction of the new Tremont Pool at Northam Park in progress, the completion of other Phase II improvements in 2017 also are on the horizon, namely the new playground, a reading garden by the Tremont Library, and a park drop-off area by the new pool. We look forward to welcoming the community to a grand opening celebration for the pool at the start of the 2017 swim season.

Further discussion and Council direction will be necessary relative to the extent and timing of a review of the community's entire park system. This proposed process would include a detailed study of the condition and use of existing facilities and sports fields and would involve extensive community input. The goal for the end product would be to provide an overarching "roadmap" for future maintenance, upgrades and the possible addition of new facilities that would better meet the community's needs and help our park system adapt to changing trends. Funds to support this effort are not currently programmed into this budget document.

Our contract with Republic Services for solid waste services will expire in the spring of 2018. Since late 2015, Staff has been exploring options for a revised approach that would incorporate advanced technology applications to improve overall service delivery. Residents have already been given a number of opportunities to provide feedback on the current service—a process that will continue into 2017—to identify or affirm known issues and help guide adjustments to this critical municipal service.

With an additional \$1 million added to the 2017 capital program, the City's investment to address many years of deferred infrastructure maintenance will make significant progress. At an investment of \$3.8 million for the 2017 Street Reconstruction Program—approximately three times what has been spent in years past—sections of 18 streets are slated for improvements. Another \$1.6 million is earmarked for the Street Maintenance Program, paving the way for a impressive slate of resurfacing projects. Other 2017 projects include: Reed Road reconstruction from Lane Road to Henderson Road (80% of this project will be covered by federal funding); waterline replacement projects on Asbury Drive, and Reed and Wyandotte roads; bridge replacements and improvements on Abington, Brixton and Kenny roads; \$2 million in sanitary sewer

improvements; traffic signal upgrades at Grace Lane/Reed Road and Andover/Northam roads; street lighting improvements on Kioka Avenue from Zollinger Road to Fishinger Road, and on McCoy Road from Kenny Road to Reed Road; installation of a shared-use path on Fifth Avenue in partnership with Marble Cliff and Columbus, and with one third of the cost covered by a State grant.

Looking at 2017 and beyond, the latest version of the Capital Improvement Program—for years 2015-2024—includes funding to address all identified EPA mandated improvements to the City’s sanitary sewer system.

Consistent with recommendations from the Citizen Financial Review Task Force, it will be necessary to review the fees associated with the City’s Water Fund and Sewer Fund. Without action, planned capital improvements in both areas will deplete these two dedicated funds, in 2019 and 2020 respectively.

We hope to bring to a conclusion the extremely long and detailed review of options for 911 dispatching services, and to bring a final recommendation to Council for its consideration at some point in 2017. Staff has approached this issue through a slow and deliberate process over several years. Many factors are being considered, including 911 service limitations relative to cellular phones, technology advances, cost scenarios and changing regulations, as well as resident sentiment as more is learned about the factors driving this issue. No recommendation or decision will be made until we are absolutely sure we have the best solution for the provision of this critical service for our community.

A brief summary of important budget revenue and expenditure highlights follows.

Revenue Highlights

- Income tax receipts in 2016 have been strong, resulting in a mid-year, \$2 million increase in our year-end projection, to approximately \$24.7 million.
- Looking ahead, projections for 2017 continue to be strong, at approximately \$25.3 million.
- This upward trend is attributable in part to a continued growth in the economy but credit must also be given to the positive impacts of the City’s proactive economic development program, including some of the projects mentioned previously.
- Of this amount we estimate that approximately \$7 million in income tax collections will be dedicated to capital improvements—a combination of existing operating fund revenues that are set aside for capital needs and the new revenue source generated from the 2014 voter approved .5% increase in the income tax rate.
- Property tax revenues are expected to remain flat in 2017 but an approximate 5% increase in 2018 is projected as Franklin County will conduct the triennial valuation update. Offsetting the 2018 increase in revenue are the reduction of voted debt millage as the 1998 Infrastructure bonds mature and a lower revenue projection based upon a reduced renewal levy for Police and Fire pensions.

Expenditures

- The 2017 operating budget will be less than the previous year, primarily due to a return to the regular occurrence of 26 pay periods versus the 27 that occurred in 2016.
- We are proposing average wage increases of 2.75 % for general employees in 2017, depending on the outcome of merit-based performance appraisals. This is in line with the increase in the agreements with our Police union.
- With completion of salary and benefit negotiations with the Fire Division union still pending, the budget does not currently include funds to cover increases.
- Two new positions are proposed within the 2017 budget:
 - During the staffing cutbacks that followed the 2008 recession, the Public Service Department underwent several reductions, triggering a series of department reorganizations that reduced division-level supervisory staffing from four to two. The restoration of one supervisory position will help the department better manage long-term planning and day-to-day oversight of various in-field operations.
 - With a significant overhaul of the City's website pending, a desire to launch satellite websites for special events and projects such as the Labor Day Arts Festival and a visitors website, and a need for better oversight of the expansion of online services, Staff believes it will be cost effective to bring more of these operations in-house. A digital media/website position would save significant redesign dollars and provide an ongoing means for keeping pace with emerging online technologies and service opportunities. The proposed position would be housed in the Information Technology Department but would work closely with the Community Affairs Office relative to appropriate branding, content and timely updates. While this does represent a new addition to the Strength Table, creation of this position would enable Community Affairs to expand marketing activities focused on visitors to the community, with a portion of visitors bureau funds used to support those efforts.

Conclusion

As Upper Arlington prepares to celebrate its Centennial in 2018, it's fitting that the City, our community partners and citizens alike have a keen eye to the future, a shared belief that Upper Arlington will continue to go from strength to strength, and are committed to all do our part to take us to that future. The City's 2017-18 Municipal Program of Services and our 10-year Capital Improvement Program ensure that we are doing our part to carry the legacy of this great community forward.

Sincerely,



Theodore J. Staton
City Manager

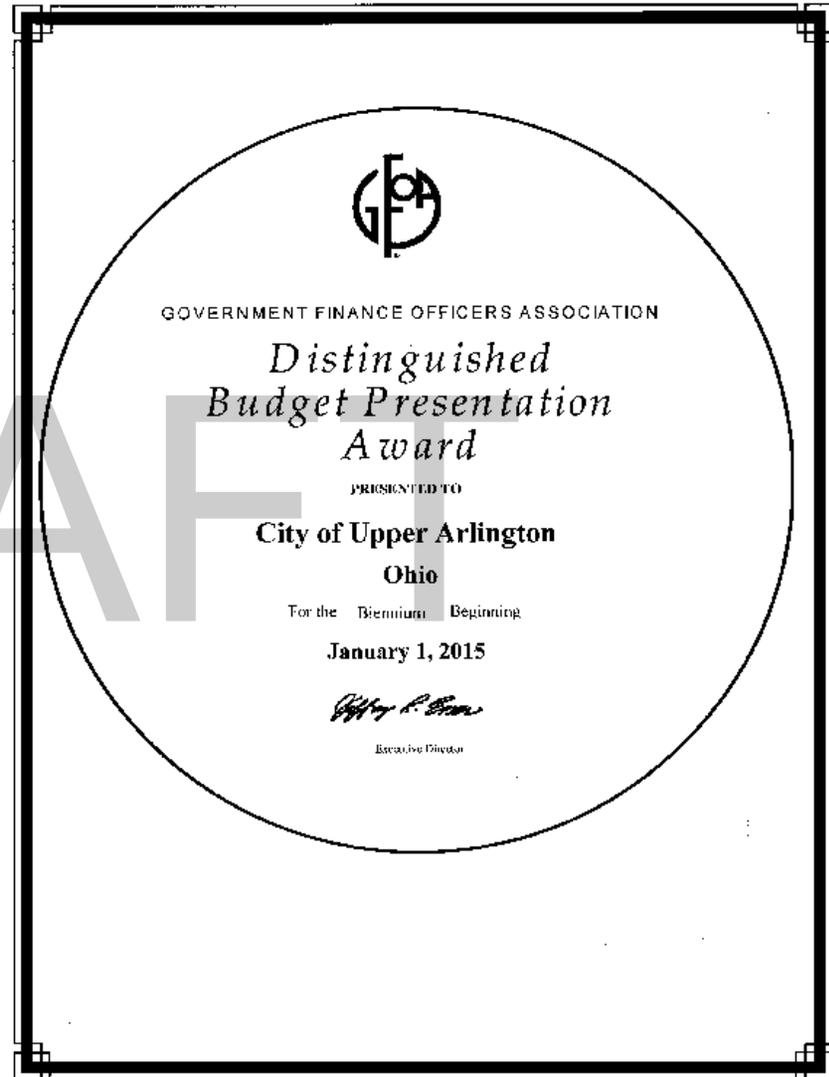
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BUDGET PRESENTATION AWARD

The Government Finance Officers Association of the United States and Canada (GFOA) presented a Distinguished Budget Presentation Award to the City of Upper Arlington, Ohio for its biennial budget for the biennium beginning January 1, 2015.

In order to receive this award, a governmental unit must publish a budget document that meets program criteria as a policy document, as an operations guide, as a financial plan, and as a communications medium.

The award is valid for a period of two years only. We believe that our current budget continues to conform to program requirements, and we are submitting it to GFOA to determine its eligibility for another award.



LIST OF ELECTED AND CITY OFFICIALS

CITY COUNCIL

President/Mayor	Deborah A. Johnson – <i>term expires 1/8/18</i>
Vice President/Vice Mayor	Kip Greenhill – <i>term expires 1/8/18</i>
Council Member	John C. Adams – <i>term expires 1/8/18</i>
Council Member	David DeCapua – <i>term expires 1/8/18</i>
Council Member	Brendan King – <i>term expires 1/13/20</i>
Council Member	Carolyn Casper – <i>term expires 1/13/20</i>
Council Member	Susan M. Ralph – <i>term expires 1/9/17</i>



(Back Row, Left to Right) David DeCapua, Brendan King, John C. Adams (Front Row, Left to Right) Susan M. Ralph, President Deborah A. Johnson, Kip Greenhill, Carolyn Casper

The City Council consists of seven members elected at large in odd-numbered years for four-year terms. Terms of Council are staggered so they do not expire at the same time. A Council Member is limited to two consecutive terms of office (eight years). The Council organizes every two years and elects a President and Vice President from its members. The President of Council is the presiding officer of the Council and serves as Mayor for ceremonial activities. The President of Council has no veto power, but has full voting and other privileges and rights of Council Membership.

City Council has full power to pass ordinances, adopt resolutions, and exercise all legislative powers and executive authorities vested in municipal officers under State statute and the City's Charter. As elected representatives, City Council is responsible to all residents of the City. Guided by the Upper Arlington Master Plan, Council is charged with policy-making decisions that provide the synergy and commitment to the City's prosperity both now and into the future.

LIST OF ELECTED AND CITY OFFICIALS

Members of City Council appoint the positions of City Manager, City Attorney, and City Clerk. The President of Council, who also serves as the Mayor, appoints the position of Clerk of Court who reports to the Director of Finance and Administrative Services. The conditions of employment for the City Manager, City Attorney, and City Clerk are subject to contract and reviewed on an annual basis. The conditions of employment for the Clerk of Court and the Executive staff are subject to the provisions of the general employee personnel rules.

The City Manager is the Chief Executive Officer for the City, and the Executive Staff reports directly to the City Manager. The City Manager is responsible for overseeing the administration of City services and implementation of policies as directed by City Council.

The City Attorney is the Prosecuting Attorney for the City and the in-house attorney for the Executive Staff. The City Attorney provides legal opinions and researches legal questions and issues for the City.

The City Clerk is the Secretary for the City. The City Clerk maintains the fiscal, legal, and historical records of the City Boards, Commissions, and City Council.

The Clerk of Court is the Secretary for the Judicial Branch of the City. The Clerk of Court maintains the court dockets and day-to-day operations of the court.

APPOINTED OFFICIALS

As of January 1, 2017

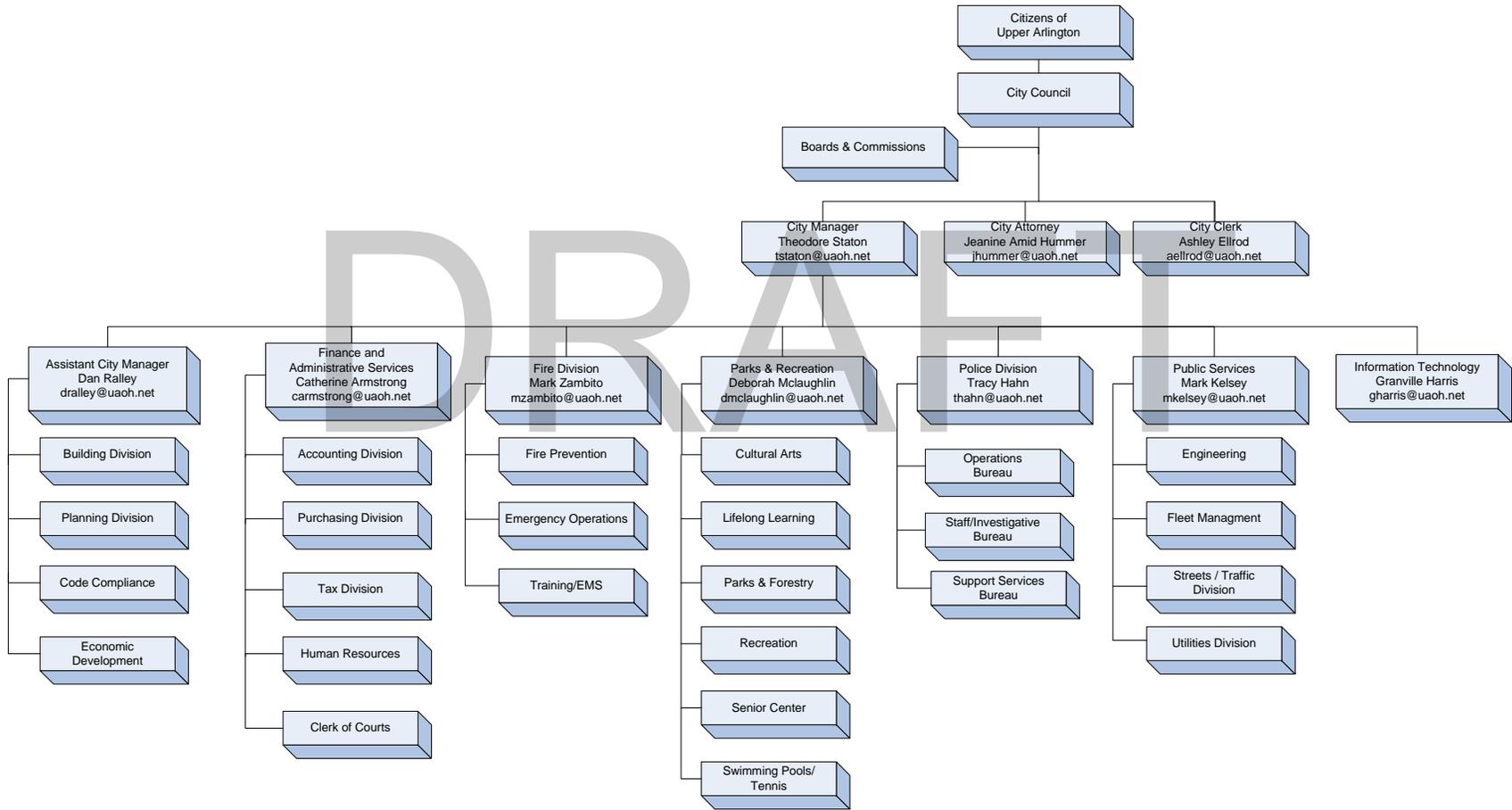
City Manager	Theodore Staton
City Attorney	Jeanine Amid Hummer
City Clerk	Ashley Ellrod
Clerk of Court	Melissa Kohler

EXECUTIVE STAFF

Assistant City Manager	Dan Ralley
Finance & Administrative Services Director	Catherine Armstrong
Fire Division Chief (Acting)	Mark Zambito
Information Technology Director	Granville Harris
Parks & Recreation Director	Deborah McLaughlin
Police Division Chief	Tracy Hahn
Public Services Director	Mark Kelsey



Organizational Chart



COMMUNITY PROFILE

The City of Upper Arlington is a premier, primarily residential community located in the heart of Central Ohio. Comprised of 9.8 square miles, it is situated just minutes from Downtown Columbus, the John Glenn Columbus International Airport and The Ohio State University (OSU) making it a convenient and vibrant place to live and raise a family. Marble Cliff and Grandview border the community to the south, the Scioto River is to the west, Columbus to the east and north, and OSU's main campus to the southeast.



Recognized as a *Tree City USA* since 1990, mature tree-lined streets compliment a range of housing sizes and styles from historic to contemporary, condominiums to single-family homes, catering to many income levels. Owning a home in Upper Arlington is a sound financial investment, and property values remain consistently above average for the region.

When asked why they choose to live in Upper Arlington, residents frequently cite its location, excellent school system, first-rate city and safety services, beautiful homes and neighborhoods and a strong sense of community. In July 2016 *Niche.com* ranked Upper Arlington as the “Best Place to Live in Ohio and the Best Central Ohio Suburb.” With multiple generations of families living here, residents understand the importance of reinvesting in their homes and community for future generations to enjoy.

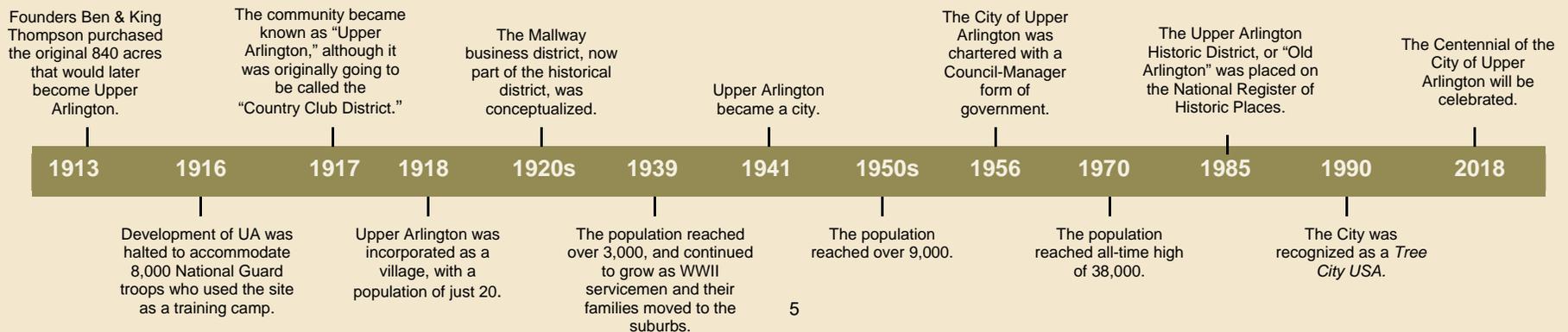
MUNICIPAL GOVERNMENT

The City of Upper Arlington was first incorporated as a village in 1918. It wasn't until 1941 that Upper Arlington grew large enough to be considered a city. In March of 1956, Upper Arlington was officially chartered with the Council-Manager form of government that exists today.



The Municipal Services Center

Municipal Timeline



COMMUNITY PROFILE

The City is proud to support residents with a full array of services, which include:

- **Police Safety Services:** patrol, emergency dispatching, detective bureau, community relations/education



Youth participating in the Upper Arlington Police Division's annual Safety Town.

- **Fire Safety Services:** fire, EMS, fire prevention and inspections, community relations/education
- **Public Service:** engineering and infrastructure, streets maintenance and signage, snow removal, leaf/solid waste collections, storm and sanitary sewer maintenance
- **Parks & Recreation:** youth, adult and senior programming, special events, cultural arts, aquatics, tennis, parks and forestry, community beautification



The Amelita Mirolo Barn, rentable through Parks & Recreation.

- **Community Development:** planning and zoning, building inspections, code compliance

• MUNICIPAL GOVERNMENT QUICK FACTS •

General City Information:

Date of Incorporation	February 8, 1941
Form of Government	Council-City Manager
Area	9.8 Square Miles

Parks and Recreation:

Parks	28 (180 Total Acres)
Swimming Pools	3 (1 being rebuilt)
Baseball/Softball Fields	16
Turf Sports Fields	22
Playgrounds	9
Hard Surface Courts	23
Boulder Gardens	1
Shuffleboard Courts	8
Senior Centers	1
Shelter Structures	9

Public Safety:

Fire Stations	2
Police Stations	1

Public Service:

Paved Lane Miles	346
Signalized Intersections	51
Street Lights	1,491
Public Service Buildings	1

General Administration:

Municipal Buildings	1
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COMMUNITY PROFILE

POPULATION

General Population

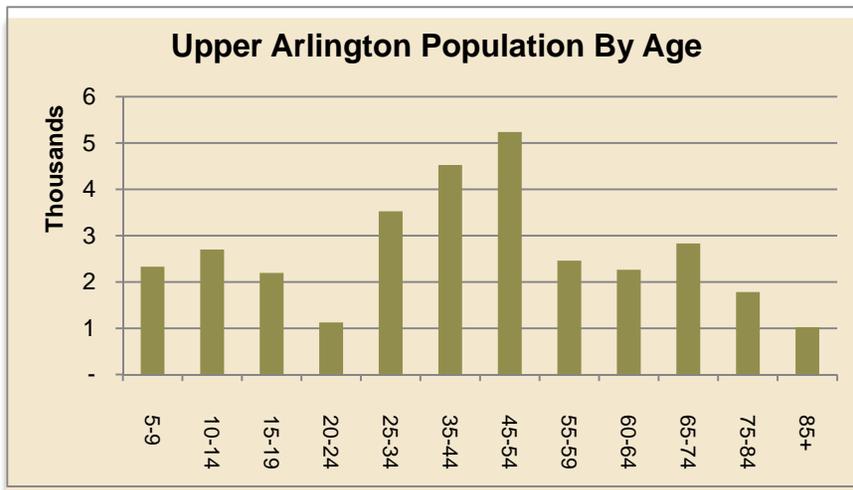
Upper Arlington's population decreased after its peak of 38,000 in the 1970s; however, population has increased moderately since 2010 by about 3.6% (or 1,225 residents). Total population was estimated to be 34,907 in 2015 (U.S. Census Bureau estimate) and comprised of a slightly higher percentage of females than males.

Male	16,686	47.8%
Female	18,221	52.2%
Total (2015)	34,907	100.0%

While surrounding Central Ohio communities, such as Hilliard, Powell and Dublin, have seen increased growth over the last two decades, Upper Arlington is landlocked and has very little ability to increase housing units. Therefore little population growth is expected into the future.

Population Over 65

Upper Arlington has a relatively high percentage, (17%) of residents falling into the 65+ age bracket. Furthermore, as seen in the graph below, it is apparent that within the next 10-15 years the City will see a significant increase in the number of residents moving into this age bracket.



SCHOOLS & EDUCATION

Upper Arlington Schools are consistently rated among the best in the nation. To this point, *Newsweek* ranks Upper Arlington High School in the top 10% of high schools nationally. While Upper Arlington Schools serve over 5,900 students annually, the community is also home to parochial and private schools.

Schools in Upper Arlington:

Public-Elementary Schools	5
Public-Middle Schools	2
Public- High School	1
<i>Total Public Enrollment</i>	<i>5,920</i>
Parochial Schools	2
Private Schools	1



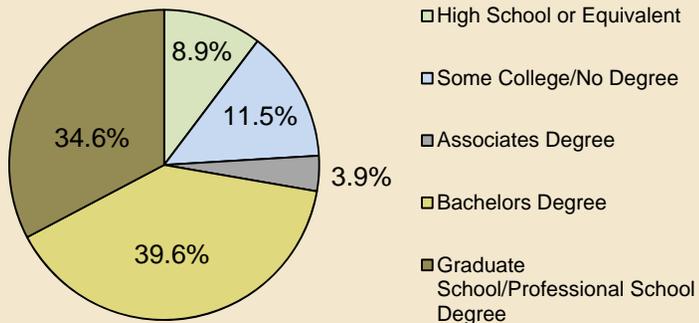
Jones Middle School located in Old Arlington.

Educational Attainment

In addition to excellent primary and secondary schools, Upper Arlington residents also enjoy access to a variety of institutions of higher education, including The Ohio State University. Perhaps it is this access that led to Upper Arlington being ranked as among the top five most educated communities in Central Ohio by *Business First* in 2015.

COMMUNITY PROFILE

**Educational Attainment in Upper Arlington
2014 Estimates**



The percentage of the City's population which has attained a bachelor's degree or higher, 74.2%, is more than double the state and national averages of 25.6% and 29.3% respectively.

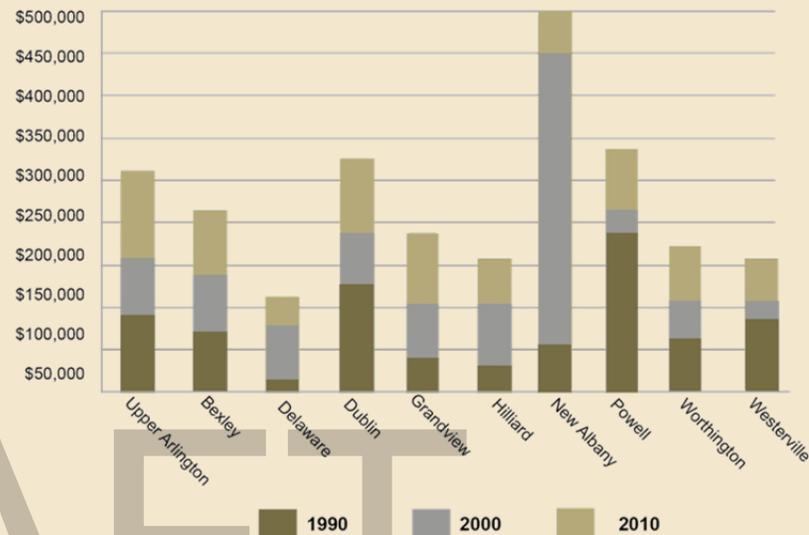
HOME VALUES AND OWNERSHIP

Upper Arlington is a primarily residential community, with a housing stock of 14,096 units; approximately 94.8% of those units are occupied.

Purchasing a home in Upper Arlington continues to be an attractive prospect. Upper Arlington homes have the one shortest average number of days on the market in the region (approximately 50 days).

In 2012, the average home sales price was \$338,000 and current data shows it has increased by 6% to \$359,000. In comparison, several other Central Ohio communities saw declines in home values during the same time period.

Area Median Home Values, 1990-2010



Data shows that 1,813 homes in Upper Arlington (approximately 18%) are valued at \$500,000 or greater. Of this number, 183 homes are valued at \$1 million or greater.



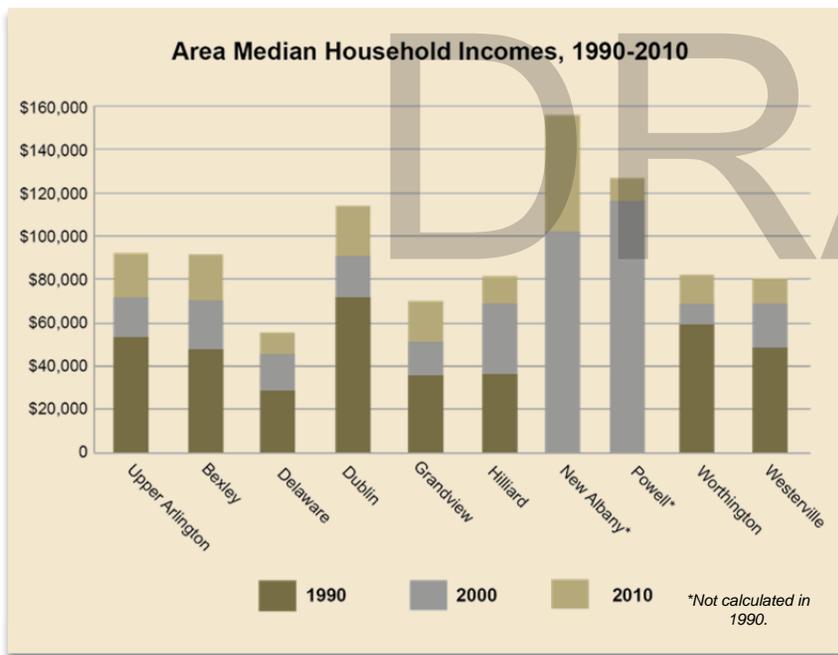
Historic homes in Upper Arlington.

COMMUNITY PROFILE

Even with high home values and a favorable housing market, there are challenges facing the housing inventory in Upper Arlington. As an example, 80% of the City's housing stock was built prior to 1970, which creates challenges in the maintenance and upkeep of these residences.

ECONOMICS

The current median household income estimate for Upper Arlington is \$100,736, which is significantly higher than the State of Ohio average of \$48,849. As of the 2010 U.S. Census, the City's median household income is also one of the highest in the area, as seen in the graph below.



Resident Occupations

The following occupations are the most prominent among residents as of the 2010 U.S. Census:

- Management/Professional 65%
- Sales/Office 22%
- Service 7%
- Transportation/Production 3%
- Construction 3%

Unemployment

In 2015, Upper Arlington's average unemployment rate for the year was 4.4% compared to an average rate of 5.6% for the State of Ohio. Data from previous years indicates that the local rate typically runs approximately 1% below the State of Ohio averages.

GUIDING POLICIES

Master Plan

Upper Arlington's Master Plan serves as the community's guiding document functioning as a primary resource for City Council, Boards and Commissions, and the Administration when addressing growth and development issues. It is the broadest and most comprehensive policy document for a community.

The Upper Arlington Master Plan was adopted by City Council on March 26, 2001, following an extensive three-year community process, replacing the Comprehensive Master Plan adopted in 1962. As indicated in the plan, an update of the Master Plan is recommended every ten years. The first update was completed by seven Committees appointed by City Council consisting of Council Members, Community Members, Staff and in some instances members of Boards and Commissions. City Council adopted the updated plan in 2013.

Eight primary goals developed by the community form the policy direction around the Master Plan. Each goal represents an element in the Master Plan and is supported by objectives, which have underlying strategies. The goals of the Master Plan are:

- ✓ Community Appearance – Enhance the beauty of our neighborhoods, natural surroundings, and architectural amenities. Preserve these qualities within the residential and commercial settings along lighted sidewalks, streets, parks, and in other gathering places.
- ✓ Economic Development (Economy) – Emphasize high quality jobs and businesses, collaborative partnerships, and enhancement of the local tax base, while respecting the residential character of the community and creating a stronger and more diverse economy.
- ✓ Implementation – Emphasize accountability, monitor fiscal soundness and appropriate regulations, and foster the involvement of citizens, civic organizations, institutions and the business sector in the effective implementation of the Master Plan.
- ✓ Housing – Facilitate the provision of a full range of housing that is well built and well maintained and that utilizes old and new housing stock to accommodate people of all ages in a setting convenient to their needs.
- ✓ Community Facilities – Maintain and develop existing and proposed community facilities that meet the population's health, recreation, social, cultural, and other needs. Additionally, they should be safe, attractive, well-maintained, and emphasize integration and accessibility to all ages.
- ✓ Community Services – Provide high quality, cost effective community services – from infrastructure maintenance to leisure opportunities – that are responsive to the needs of a diverse, multigenerational population, and delivered within a safe environment.
- ✓ Land Use – Recognize the City's residential character while enhancing community redevelopment and revitalization, including town centers, community focal points, mixed housing, open/green space, and quality commercial development that serves the community's needs.
- ✓ Transportation - Provide a comprehensive network that is safe, convenient, and accessible to the entire community.

GUIDING POLICIES

The goals and objectives of each of the City's departments support the Master Plan goals. The entire Master Plan is available to the public on the City's website at www.uaoh.net. An "Executive Summary" excerpt from the original Master Plan document can be found in the appendix of this budget book.

FINANCIAL POLICIES:

These Financial Policies of the City of Upper Arlington, Ohio, are adopted by City Council to provide a framework within which the City is to conduct its fiscal operations. It is the anticipation of Council that the effect of fluctuations in the national, state and local economy on City services is to be smoothed through the creation and use of appropriate reserve funds.

Operating Management Policies

1. The City will develop budgets in which current revenues equal or exceed current expenditures and current expenditures, including transfers, cannot exceed current revenue and available resources. The City will avoid budgetary procedures that balance the budget at the expense of meeting future years' expenses, such as: postponing expenditures, accruing future years' revenues or funding current services with debt and/or drawing down the fund balance.
2. All appropriations that have not been expended or encumbered shall lapse at the end of the fiscal year. The City shall not increase appropriations for prior year encumbrances. Appropriations shall be for the current year only. An estimate of "lapsed" encumbrances should be included in the 5 year operating forecast.
3. The operating budget will be compiled in a manner to maintain as close as possible the existing level of services to the City.
4. The General Fund undesignated fund balance cannot be used to fund newly created operating expenditures or projects that are ongoing in nature.
5. The City shall set fees and user charges for each enterprise fund at a level to support the direct and appropriate indirect costs of the activity unless by affirmative action, Council directs a transfer from the General Fund sufficient to support the costs of the activity. Indirect costs shall include the cost of annual depreciation of capital assets and the cost of debt service to procure capital assets, unless directed by Council.
6. Charges for services shall reflect the full cost of providing a specific service unless designated otherwise by Council. The cost of providing specific services shall be recalculated periodically and the fees shall be adjusted accordingly.
7. Although the City's budget may be prepared on an annual or biennial basis, revenue and expenditure forecasts will be prepared for five years and will be updated annually to spot developing trends and provide early warning of future financial difficulties.
8. Alternative service delivery methods will be reviewed periodically to ensure that quality services are being provided at the most reasonable costs.
9. The City shall develop a program to integrate performance measurement and productivity indicators with the budget. Where appropriate, comparisons with comparable cities may be made to ensure that quality services are provided at competitive and economical costs.
10. City funds will be managed in a prudent and diligent manner with an emphasis on safety on principal and financial return on principal ensuring adequate liquidity to meet all necessary obligations.

GUIDING POLICIES

11. Prior to applying for and accepting intergovernmental aid, the City shall examine the program to determine if it is consistent with the City's mission and financial policies.
12. Grants that require a matching commitment of City funds shall be evaluated on the availability of funding sources and on the merit of the grant program.
13. License and permit fees shall be reviewed at least annually to ensure that they match related processing and inspection services.
14. Vehicles, technology equipment, and other operating equipment replacement schedules shall be developed and updated annually, including proposed funding sources.
15. Fiscal impact analysis will be conducted when considering economic development incentives before a recommendation is brought to City Council.

Reserve Policies

1. A Facilities Maintenance Reserve shall be established to ensure adequate funding for operating equipment replacement of City facilities (HVAC, roofing, etc).
2. Self-insurance reserves will be maintained at a level which, together with purchased insurance policies, will adequately indemnify the City's property and liability risk. A qualified risk management advisor will be retained on an annual basis in order to recommend appropriate funding levels.

3. Contingency reserves to be determined annually will be maintained to offset unanticipated revenue shortfalls and/or unexpected expenditure increases. Contingency reserves may also be used for unanticipated and/or inadequately budgeted events threatening the public health or safety. A reserve will be maintained in the General Fund. The reserve shall be equal to thirty percent of the current year General Fund operating budget.

Capital Improvement Program Management Policies

1. A ten-year capital improvement plan shall be developed and updated annually, with the goal of achieving the annual replacement cost of the infrastructure.
2. Capital improvement life cycle costs will be coordinated with the development of the operating budget. Future operating, maintenance, and replacement costs associated with new capital improvements shall be estimated for inclusion in the operating budget.
3. An infrastructure replacement program shall be developed based on the useful life of each infrastructure category (i.e., street repaving, street replacement, water lines, etc.).
4. The long-term financing of capital improvements or equipment shall not exceed the useful life.

Financial Accounting and Reporting Policies

1. The City's financial reporting systems shall be maintained in conformity with generally accepted accounting principles (GAAP), and the standards of the Governmental Accounting Standards Board (GASB).

GUIDING POLICIES

2. An annual audit will be performed by the Auditor of State or an independent public accounting firm with an audit opinion to be included in the City's published Comprehensive Annual Financial Report (CAFR).
3. The City's CAFR shall be submitted to the Government Finance Officers Association (GFOA) Certificate of Excellence in Financial Reporting Program. The CAFR should satisfy the criteria established by the GFOA.
4. The City's budget shall be submitted to the GFOA Distinguished Budget Presentation Program. The budget should satisfy the criteria established by the GFOA.
5. Financial systems shall be maintained to monitor revenues, expenditures, and program performance on an ongoing basis.
6. Financial reporting to Council shall include the monthly budget reports as well as special reports as deemed appropriate by Council, the Finance Director, or the City Manager.

Debt Policies

The primary objective of the Debt Policy is to establish conditions for the use of debt and to create policies that minimize the City's debt service and issuance costs, retain the highest credit rating, and maintain full and complete financial disclosure and reporting.

1. Cash funding is appropriate and encouraged under the following circumstances:
 - a) To finance purchases of assets whose lives are shorter than five years.

- b) To finance recurring maintenance expenditures (i.e. street repair vs. street reconstruction).
 - c) When market conditions are unstable or present difficulties in achieving acceptable interest rates.
2. Short-term debt is appropriate under the following conditions:
 - a) Pay As You Go: Certain projects in the capital plan are best suited to cash funding. For example, projects with useful lives of less than ten years. For purposes of this policy, Pay-As-You-Go financing includes selling short-term notes with the expectation of paying the notes off in full within one year of initial issuance.
 - b) Notes are used as a temporary funding source prior to and in anticipation of the completion of a bond sale.
 - c) The immediate need for financing is less than \$5 million.
3. Long-term financing is appropriate under the parameters set forth below. No single parameter stands alone; all must all be considered under the then current circumstances and in relation to the others. The parameters are as follows:
 - a) Variable rate bonds or short-term notes are suitable as long term financing tools designed to manage interest costs. When either is used for long-term financing, the City must schedule annual principal payments similar to a fixed rate bond issue. In addition, to minimize overall interest rate risk, the amount of variable rate financing shall not exceed 20% of the City's outstanding debt.

GUIDING POLICIES

- b) Long-term bonds are recommended for projects with useful lives of ten years or longer and for amounts of \$5 million or greater.
- c) Debt is acceptable as long as the ratio projected forward seven years of available capital fund dollars to income tax-supported debt payments does not fall below a ratio of 2 to 1.
- d) Long-term bonds are considered especially appropriate when average long-term interest rates, as indicated by the Bond Buyer General Obligation 20 Bond Index, are at or below 85% of the index's twenty-year average. Long-term bonds are considered less appropriate when average rates for the index are at or above 115% of the index's twenty-year average. The City will make every effort to structure the terms of its bonds to match the status of the market at the time.
- e) Long-term general obligation bonds will not be issued if the issuance is expected to cause debt and credit metrics to be inconsistent with a Moody's "Aa" rating. Long-term special revenue bonds should not be issued unless the bonds achieve at least a Moody's or S & P Global rating of Single A or higher.
- f) Debt funding is recommended for projects where the burden of payment rests more directly on a selected group of taxpayers or beneficiaries, such as for project revenue bonds, special assessment projects, tax increment financings, or economic development projects. Any financing of this type must receive a rating in the single A category or higher to receive City approval.

The debt policy was adopted by City Council on September 8, 2003. The Debt Policy along with the recommended

administrative procedures and Financial Policies were most recently reviewed in 2016.

City Council Review

The subcommittee will conduct a review of all financial policies and the debt policy. Modifications adopted by majority vote of the subcommittee will be recommended to the full Council for consideration and adoption.

At all other times, the financial policies and the debt policy of the City may be modified by a majority vote of Council.

NON-FINANCIAL POLICIES:

Community Policies

1. A community bulletin board has been established for limited government business only, including school and library announcements. These announcements include special events, board/commission meeting dates, construction updates, important income tax and/or stormwater fee information, weekly solid waste pick-up changes, application deadlines for Parks and Recreation programs, safety tips for inclement weather, emergencies, and other information deemed pertinent by department directors.

Personnel Policies

1. The Personnel Rules handbook are the policies and procedures of the City designed to maintain a fair and efficient system of personnel administration. They are designed to deal with a majority of topics an employee needs or wants to know about his or her employment. However, they are not to be taken as a comprehensive

GUIDING POLICIES

document, but instead as a distillation of Federal Laws, Ohio Civil Service Laws, City of Upper Arlington Ordinances, and City of Upper Arlington Civil Service Commission Rules.

confidential information for employees and customers of the City.

2. The Personnel Rules include such policies as: cell phone use policy, smoking policy; wage continuation policy, drug policy, sexual harassment policy, whistle blower's policy, and Health Insurance Portability and Accountability Act (HIPAA).
3. Employees who are members of bargaining units covered by collective bargaining agreements need to familiarize themselves with the provisions of such agreements.
4. Administrative Memorandums are issued by the City Manager's Office. These administrative memorandums cover policies related to credit card usage, telephone usage, and travel guidelines.

Technology Policies

1. City technology system resources are intended to support City objectives. All technology systems equipment, software, and any consultant services that impact the technology systems must be approved, requisitioned, and implemented by the Information Technology Division.
2. The City provides use of technology, e-mail, networks and networking, and Internet access to assist employees in conducting of City business. The City monitors the usage of technology.
3. Employees are asked to sign a document certifying they have received a copy of the Information Technology Use Policy.
4. The City adopted a "Red Flag" policy in 2010. The sensitive information policy outlines procedures to protect

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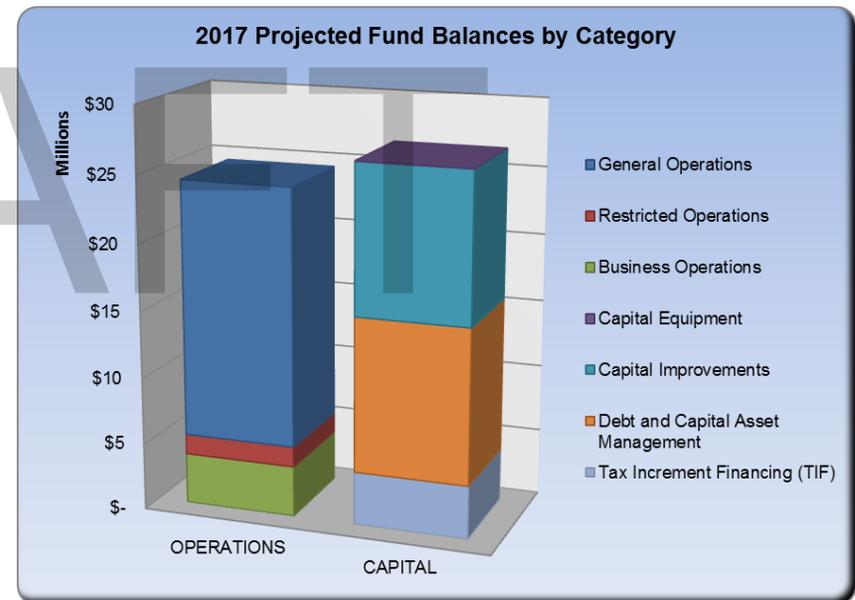
OVERVIEW SUMMARY

Consolidated Financial Presentation

The financial activity of the City is undertaken in accounting entities called funds. The City has a number of funds that have been classified in accordance with standards established by the Governmental Accounting Standards Board (GASB). Nine years of financial information is displayed for each of those funds. In addition to the individual fund displays, financial information has been consolidated and presented in two different formats. The first presentation, located on page 40, is formatted in a traditional operating statement format and displays nine years of financial information. The second format, located on page 41, consolidates the 2017 financial information and allocates the revenue and expenditures between the categories of operations and capital to show each category's level of sufficiency. These two categories are further broken down into subcategories, which are described further in the fund section of this budget document.

Regardless of how the presentation is formatted, it is important to point out that the consolidated financial information is not considered appropriate for governmental accounting purposes because the revenues and balances of some funds have restricted purposes. An example is the Street Maintenance and Repair Fund, which receives money from the State's gasoline taxes and motor vehicle license fees. The Ohio Constitution provides that any money from these sources can only be used for highway purposes. Additionally, City Council has internally designated some funds for special uses. This designation provides the community with an understanding of the intended use of the funds. One such fund is the Neighborhood Lighting Utility Fund.

The ending fund balance, regardless of fund type or presentation, is the excess of revenue and other sources over the expenditures and other uses at the end of the year. In both presentations of the consolidated financial information, the ending fund balance is segregated into operations and capital categories. The operations category is comprised of general, restricted, and business operations. The capital category consists of capital equipment and improvements, debt and capital asset management, and tax increment financing (TIF).



OVERVIEW SUMMARY

As mentioned previously, the operations category is segregated into three categories: general, restricted, and business. The funds included in each of these categories are described on pages 44, 52-53, and 62 of this document. A brief description of each category is provided below.

- *General Operations* – The general operations category includes funds whose resources are either unrestricted (available for use of any City activity deemed appropriate by Council) or are directly related to the City's general operations. This category is dominated by the City's General Fund, whose fund balance consists of both unrestricted balances and a reserve (restricted funds), set by the City's financial policies at 30% of the annual expenditures. The overall fund balance is projected to increase each year, primarily due to projected income tax increases exceeding projected expenditure increases. In addition to General Fund annual operating expenditures, the fund balance is being utilized to fund other operations and capital investments in other funds. Two such uses included in this biennial budget are an annual transfer of \$750,000 to the Infrastructure Fund for capital improvements, and \$500,000 to be set aside for economic development. In addition, the General Fund provides funding for the Civil Service Commission Fund and subsidy to other funds, when needed. For example, it is anticipated in this biennial budget that the Street Maintenance and Repair Fund will require subsidies from the General Fund.
- *Restricted Operations* – The restricted operations category includes funds whose resources are derived from specific taxes, grants, or other restricted or committed resources. The use and limitation of these funds is specified by City ordinance or federal or state statutes. Due to the nature of these funds (expenditures being directly tied to restricted source of revenue), most of the funds within restricted operations maintain a consistent balance each year. However, in 2017, the overall fund balance is projected to decrease

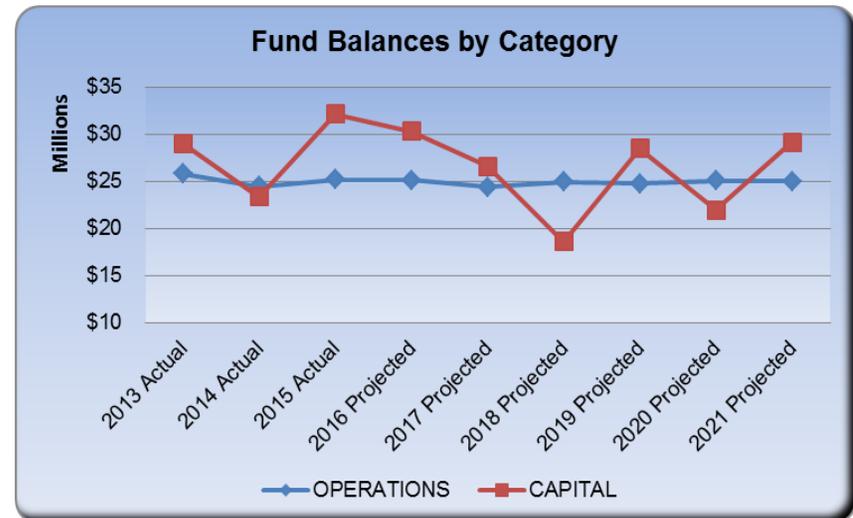
approximately \$650,000 primarily due to expenditures exceeding revenues in the Street Maintenance and Repair Fund and Law Enforcement Fund. The Street Maintenance and Repair Fund has had to be subsidized by the General Fund for several years due its revenue source (gasoline and motor vehicle license fees) not being able to cover the expenditures. A surplus is expected in this fund at December 31, 2016, which will result in spending down the fund balance and a smaller subsidy from the General Fund. The decreasing fund balance in the Law Enforcement Fund is the result of steady expenditures being offset by conservative revenue estimates (due its inconsistency). After 2018, the fund balance is projected to level off, with nominal declines each year.

- *Business Operations* – The business operations category consists of funds which are maintained in a manner similar to private business enterprises. The intent of the City is that the cost of providing goods or services to the general public on a continuing basis be financed or recovered primarily through user charges. The overall fund balance in this category is projected to steadily decline through 2021 as debt payments come due for infrastructure. User fees are set at rates to cover the cost of operations and/or maintenance of the intended services. However, when those fees are insufficient, the General Fund is required to subsidize operation. The Water Surcharge and Sanitary Sewer Surcharge Funds are projected to have a negative balance beginning in 2019 and 2020, respectively. For these operations to remain self-sustaining, the user fees will need to be analyzed in the upcoming years. The user fees are described in further detail on page 29.

OVERVIEW SUMMARY

The capital category consists of capital equipment and improvements, debt service and capital asset management, and tax increment financing (TIF). The funds included in each of these categories are described pages 70 and 76-77 of this document. A brief description of each category is provided below.

- *Capital Equipment and Improvements* – The capital equipment and improvement category includes funds whose resources are used solely for the purchase of capital related items. The overall fund balance in this category is projected to fluctuate over the next several years as a result of the receipt and disbursement of bond funds issued to finance a portion of the City’s ten-year Capital Improvement Program (CIP). Both components of this category (capital equipment and capital improvements) are further described in the Capital Section starting on page 191.
- *Debt and Capital Asset Management* – The debt and capital asset management category includes funds whose resources are used to account for the financing and payment of general obligation bonds and capital projects. The overall fund balance is projected to increase over the next several years due to anticipated increases in the income tax, allocated for capital purposes, being projected to exceed future debt payments. This projected excess, however, is designated for future capital projects.
- *Tax Increment Financing* – The tax increment financing category includes funds which are used to account for established TIF districts within the City. The overall fund balance in this category is projected to increase over the next several years as a result of resources continuing to accumulate and limited specific capital projects being allocated to these funds at this time.



Allocation of Resources

The total resources of the City are used for two broad purposes: 1) to provide for the day-to-day operations of the City and, 2) to address the City’s long-term needs. Both purposes are vitally important to quality of life in Upper Arlington. Resources for operations ensure that the streets are adequately patrolled, emergency medical services respond quickly to calls, solid waste is collected, potholes are filled, snow is removed on a timely basis, employees are paid, parks are mowed, sewers are cleaned, curbs and gutters are patched, and that the City continues to provide the many other services that residents have come to expect.

OVERVIEW SUMMARY

Resources for long-term needs are used for infrastructure reconstruction and the maintenance/rehabilitation of the City's facilities. These investments are commonly referred to as *capital improvements*. Resources for long-term needs can be used in one of two ways. The first method is to pay for projects with cash on a pay-as-you-go basis. This generally places a limit on the volume of projects that can be undertaken during the year. The second method is to leverage the available funds by borrowing money and retiring the debt over time. The method of borrowing is typically the issuance of general obligation municipal bonds.

The City utilizes a debt policy adopted by City Council to guide the decisions of when borrowing for capital improvements is more appropriate than using cash. The debt policy can be located in the introductory section of the budget document.

The proposed financing of the current Capital Improvement Plan (CIP) is a mixture of both methods. Approximately \$73.8 million of the \$104.5 million plan is eligible and appropriate for debt financing. With the passage of Issue 23, a permanent source of revenue (.5% income tax) is dedicated for the CIP. It is anticipated that the majority of this revenue stream will be used for annual debt payments for financed improvements with the balance used for cash funding of capital projects.

Other resources used for repayment of debt include payment-in-lieu of taxes (PILOT) received from increased property valuation within Tax Increment Financing (TIF) districts. The debt issued for infrastructure improvements made to benefit the TIF area are repaid with the PILOT payments. Additionally, user fees for water, sewer, and stormwater projects in Enterprise (Business Operations) Funds may also pay a portion of debt. In accordance with the City's debt policy, cash is appropriate to finance recurring maintenance expenditures such as street repairs rather than street reconstruction, and to pay for purchases of assets having useful lives shorter than five years. Historically, estate taxes had contributed to a healthy fund balance, allowing the cash to be available for capital funding. Legislation at the state level

abolished the estate tax, effective January 1, 2013, causing the City to research future alternative funding sources for the CIP. With the suggestion of a Citizen Financial Review Task Force, the City placed an additional 0.5% income tax on the ballot. Passage of the tax in November 2014 provides a replacement source for funding the CIP beginning January 1, 2015.

Budget Format

The City Manager's budget message provides an overview of the budget and includes all programs proposed to City Council to be incorporated in the budget.

Following the table of contents is the Distinguished Budget Presentation Award for the 2015 - 2016 budget document. The award was presented to the City by the Government Finance Officers Association (GFOA) and is the highest form of recognition in governmental budgeting. To receive the award, a panel of reviewers evaluated the effectiveness of the budget as a policy document, a financial plan, an operations guide, and a communications device. The document must be rated *proficient* in all four categories. The City has received the award for every biennial budget since 1990.

Following the award, a listing of elected and key City officials, an organization chart, and a community profile are provided. The City's guiding policies are also included in the introduction section. The financial and debt policies are adopted to guide Council in their financial decisions. The Master Plan serves as the official policy document for the City.

The overview summary begins with the description of the budgetary presentation and the different categories of funds. The 2017 projected fund balance by category and nine years of ending balances are displayed and discussed for each of the categories. The overview summary includes the pertinent information regarding the budget process and, after adoption, will include action taken by City Council including the public meeting dates and participation of the public. The basis of accounting used in the budget document along with the budget calendar is included in this section.

OVERVIEW SUMMARY

In the pages following the overview summary, significant revenues, expenditures, and debt are discussed in detail. Fund summaries follow the revenue and expenditures. The fund summaries include actual information for fiscal years 2013, 2014, 2015 followed by 2016 anticipated results, proposed appropriations and revenue estimates for 2017 and 2018, and future projections for 2019 through 2021. The projections are intended to provide the long term planning perspective needed to properly evaluate funding and allocation decisions for 2019-2021.

The City's fund structure consists of the following fund types: the General Fund, special revenue funds, debt service funds, capital projects funds, enterprise funds, and internal service funds.

The General Fund accounts for most general operations of the City. In 2017, the City estimates approximately 62% of the revenues and 79% of the operating expenditures, or 49% of overall expenditures, to be captured through this fund.

Except for the 30% contingency, the General Fund balance is unrestricted and available for use for any City activity deemed appropriate by Council.

The revenues and fund balances of the special revenue funds are either internally or externally restricted. This means that they can only be used for their intended purpose. An example of an externally restricted fund is the Street Maintenance and Repair Fund, whereby the State of Ohio legally restricts gasoline tax for the maintenance and repair of the streets. An example of an internally designated fund is the Technology Fund. City Council established this fund and designated certain cellular tower rental fees and cable franchise fees for the purchase of technology equipment.

The General Bond Retirement Fund is a debt service fund for the payment of debt. The debt service revenue is property taxes collected for the payment of voted bonds and special assessments. The remainder of resources consists of cash transfers from the Capital Asset Management Fund and various enterprise funds. A percentage of the City's income tax is set aside in the Capital Asset Management Fund for the payment of debt. The enterprise funds pay principal and interest on debt issued for enterprise projects.

Capital Projects funds are used to account for monies committed for capital equipment, capital improvements, and PILOT payments received to support infrastructure improvements within the TIF districts.

The enterprise funds are used to account for operations that are financed and operated in a manner similar to business enterprises. The fees are based on the cost to carry out these activities.

The City maintains two internal service funds. The Employee Benefit Fund and the BWC Administration Funds account for the payment of the City's health and dental insurance plans, and workers compensation claims, respectively. The funds receive proportional receipts from departments.

There is a section for each of the departments of the City. Each department section includes: an organizational chart and staffing table showing authorized personnel, workload measures, and accomplishments for 2015-2016; and four years of financial information.

The capital section includes a list of the 2017 capital equipment purchases with the funding sources and the most recent version of the ten-year capital improvement program to be adopted in 2017 with a funding proposal for 2017-2026.

OVERVIEW SUMMARY

Capital equipment purchases are budgeted annually. Therefore, the department pages include only the funding for 2017 purchases. However, for budget purposes, future capital funding amounts are included in the Capital Equipment Fund for the decision making process.

The appendix contains: tables of expenditure summaries by line item; an employment summary, glossary, excerpt of the Master Plan, and contact information for the City of Upper Arlington.

Budget Process

The process used by the City to adopt the budget and appropriation ordinance is prescribed in the City's Charter and ordinances and the Ohio Revised Code. On a particular subject, the City's Charter and ordinances apply; if they are silent, Ohio law applies. Article XII of the City Charter requires the City Manager, in conjunction with the Finance Director, to prepare and submit a tentative budget to City Council.

City laws are silent on the format that is to be used for the budget. Chapter 5705 of the Ohio Revised Code provides direction as to minimal requirements for the appropriation ordinance, but not the budget. At a minimum, the appropriation ordinance is by fund, department, and line items referred to as: *personal services, other than personal services, and capital outlay.*

In accordance with O.R.C. Chapter 5705, the process begins in June with the adoption of the tax budget by City Council. The tax budget is primarily used to set forth the amount the City needs from the real estate tax for the coming year. After receiving the tax budget, the County Budget Commission determines the property tax rates needed to generate the amount requested or, in the case of operating levies, estimates the amount that will be generated by the levy.

The County Budget Commission, which certifies the rates to the City and City Council, is required to pass a resolution adopting the rates prior to October 1.

City Council is required by State law to adopt a final budget by April 1. An interim budget can be adopted that covers the period of January 1 to March 31. Once adopted, copies of the appropriation ordinance, whether interim or final, must be filed with the Franklin County Budget Commission.

The final budget is updated to reflect City Council action with respect to revenue and expenditure recommendations. The results of 2016 operations replace the projected revenues and expenditure columns as originally presented to City Council for the budget hearings.

City Council Action on the Budget

Three public meetings are scheduled to be held for the budget.

- November 7, 2016
- November 14, 2016
- December 5, 2016

The first meeting will provide a financial overview for the two-year budget along with proposals for policy decisions regarding the operating budget. The second meeting is scheduled to focus on the operating section of the 2017-2018 budget as well as capital equipment purchases. The third meeting is scheduled to be devoted to the capital improvement program.

Budget Execution

Once the Finance Department enters the adopted budget into the City's computer system, the original budget document is no longer updated for any future changes. Any and all future changes to these original amounts will be accounted for as budget amendments.

OVERVIEW SUMMARY

The appropriation ordinance sets spending limitations by category: personal services, other than personal services, and capital outlay within each fund and department. City Council, in the appropriation ordinance, gives the Finance Director the authority to make transfers up to \$10,000 between appropriation line items within a department and fund. Any requests in excess of \$10,000, or any requests to transfer between funds or departments, must be approved by City Council. Transfers between the General Fund and the Capital Equipment Fund are exempted from the exclusion applying to fund transfers. Monthly financial reports are made to City Council by the Finance Director and include comparisons of actual revenues to estimates and actual expenditures to estimates for the major operating funds of the City. A fund status report on a cash basis is also provided for all funds.

Budget Basis of Accounting

The budget basis of accounting, or budgetary basis, refers to the type of accounting used to estimate financing sources (revenues) and financing uses (expenditures) used in the budget. The four different bases of accounting that can be used are: cash basis, accrual basis, modified accrual basis, and modified cash basis.

Cash basis accounting indicates transactions that are only recognized when cash is increased or decreased. Accrual basis accounting indicates revenues are recognized when they are earned (whether or not cash has been received), and expenditures are recognized when goods and services are received (whether or not cash has been disbursed).

Modified accrual basis, as its name indicates, modifies the accrual basis by recognizing expenditures when goods and services are received except for long-term expenditures (like accrued interest on general long-term debt), and uses cash basis revenue except for material and/or available revenues, which are accrued to properly reflect the taxes levied and revenue earned.

State law establishes the budget basis of accounting for all entities in Ohio. The City of Upper Arlington utilizes a modified cash basis for its budgetary basis of accounting. Revenues are recognized when the cash is received while expenditures include cash payments for goods and services as well as encumbrances. An encumbrance is a commitment to purchase goods and services, and includes one or more year's payments depending on the relationship between the services rendered and the stream of payments. For example, if an order for police uniforms is placed, an encumbrance is established to reserve those funds necessary to pay for the purchase. Payment is made after the clothing is received.

If the City enters into a two-year contract guaranteeing prices of the clothing, the amount encumbered for the first year would be based on the amount ordered prior to December 31 of that year. However, if the City purchased a computer system and paid for it over a five-year period, the entire amount would be encumbered and expended in the first year. The stream of payments continues to take place over five years since the total liability was incurred when the goods were received. In this instance, the appropriation would have to be sufficient enough to cover the entire purchase price of the computer.

The financial activity of the City is undertaken in accounting entities called funds. The operations of each fund are accounted for with a separate set of self-balancing accounts that comprise its assets, liabilities, fund balance, revenues, and expenditures.

The General Fund is the City's primary operating fund. It accounts for all the financial activity of the general government, except for those required to be accounted for in another fund. Special revenue funds are used to account for revenues derived from specific taxes, grants, or other restricted revenue sources. City ordinances, or federal and state statutes, specify the use and limitation of the special revenue funds. Capital project funds are used to account for the acquisition or construction of major capital investments.

OVERVIEW SUMMARY

The debt service fund is used to account for the accumulation of resources to pay the general obligation long-term debt principal, interest, and related costs. The internal service funds are used to report self-insured health, dental, and workers' compensation services within the City departments, on a cost-reimbursement basis.

Proprietary funds are maintained in a manner similar to private business enterprises. The intent of the City is that the cost of providing goods or services to the general public on a continuing basis be financed or recovered primarily through user charges.

The financial records are maintained through the year in the budgetary basis. The basis of budgeting (modified cash) used for each fund in the biennial budget is not the same as the basis of accounting used in the financial statements prepared at year-end for external reporting purposes.

The financial statements are prepared on the basis of "generally accepted accounting principles" (GAAP) prescribed by Government Accounting Standards Board (GASB).

The City produces a Comprehensive Annual Financial Report (CAFR), in conformance with the guidelines prescribed by the Government Financial Officers Association (GFOA). The City has received the Certificate of Achievement for Financial Reporting for every year since 1987. A copy of the CAFR may be obtained from the Finance Department or on the City's website at www.uaoh.net.

The City complies with state law for external reporting purposes. An independent auditor performs an annual financial audit. In accordance with State law, all funds and accounts must be appropriated, excluding agency funds.

The City of Upper Arlington does not include all funds subject to appropriation in its biennial budget document. The funds not included are the agency funds which are: Returnable Bonds, Unclaimed Funds, Rotary, Construction Withholdings, Mayor's Court Collection, and Payroll Clearing.

Some revenues, such as grants or loans, are received after the biennial budget document is prepared, as are some unplanned expenditures. The appropriation of these monies is handled by budgetary amendments during the year.

The City's budget process spans a period of nearly six months. The following calendar highlights key dates of that process.

<i>Budget Calendar</i>	
June 2016	
	<ul style="list-style-type: none"> June 30 – Finance begins midyear review of 2016 operating budget
August 2016	
	<ul style="list-style-type: none"> August 15 – Adoption of Tax Budget August 19 – Finance initial projections complete for 2017-2018 budget August 26 – Distribute Finance initial projections along with capital request forms to departments
September 2016	
	<ul style="list-style-type: none"> September 8 – Departments return operating and capital requests to Finance September 12 – Midyear 2016 Budget Review September 29-October 10 – City Manager/Department head budget meetings
November 2016	
	<ul style="list-style-type: none"> November 7 – 1st Council budget hearing November 14 – 2nd Council budget hearing
December 2016	
	<ul style="list-style-type: none"> December 5 – 3rd Council budget hearing December 12 – Passage of 2017-2018 budget & appropriation ordinance and 2016 final appropriate and transfer ordinance

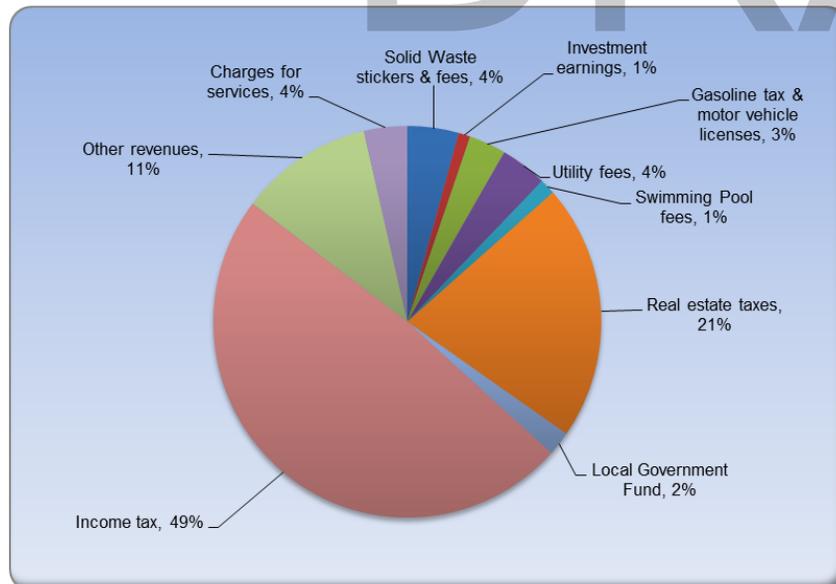
REVENUE SUMMARY

The City has limits on the resources that are needed to provide the services that enhance the quality of life of its residents. The limits are affected by a number of factors including:

- Appropriate City and State laws
- Rates
- Demographics
- Local and regional economic conditions

The following pages provide estimates of the major revenue sources of the City. Actual data is presented for 2013 through 2015. Projections are provided for the years 2016 through 2021.

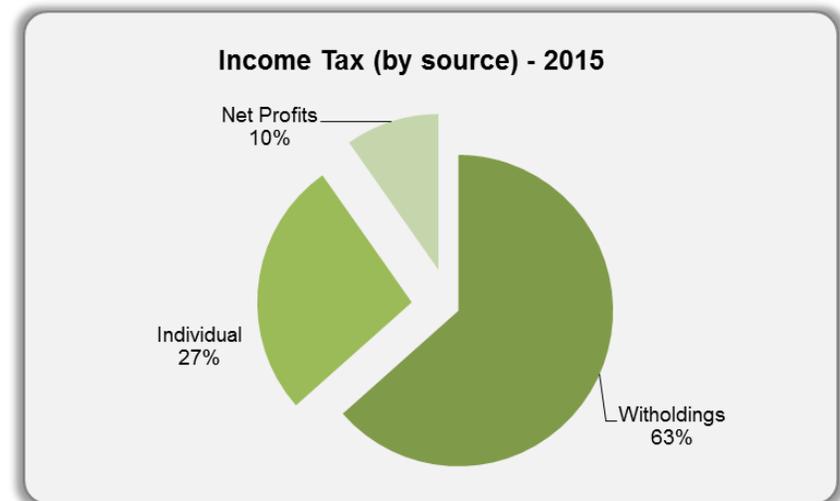
The City uses trend analysis to estimate various sources of revenue. Estimates for property tax and the Local Government Fund are provided by the County. The City's estimated average receipts for 2017-2018 are broken out into the following major categories:



Income Tax

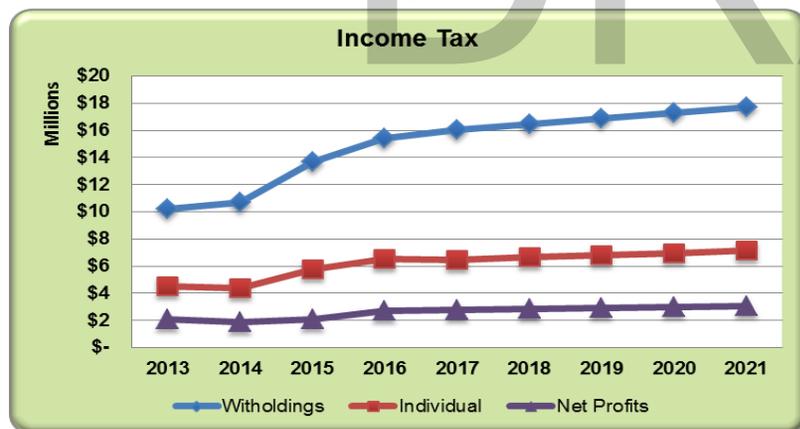
Effective January 1, 2015, the City's income tax rate became 2.5%. This was an increase of .5% over the previous 2% rate that was in effect since 1983. The additional .5% increase is dedicated solely for capital improvements. On an individual level, the income tax applies to earned income and gambling/lottery winnings. Residents who work in communities other than Upper Arlington are given full credit (up to a maximum of 2.5%) for taxes paid to the communities in which they work. Businesses are also subject to income tax on their net profits.

The income tax is the largest revenue source for the City representing approximately 49% of the overall revenue and 55% of the General Fund revenue. Based upon a percentage of earned income, the income tax is one source of revenue that traditionally increases each year. There are three components to the income tax: withholdings remitted by employers, filings by individual residents of the City, and the net profits of businesses located in or doing business in the City.



REVENUE SUMMARY

Since the income tax is a percentage of income, historically, the income tax increases as salaries increase. This trend reverses during economic downturns as was the case during the last recession (2007-2010). Prior to the passage of Issue 23 in November 2014, increases in income tax receipts could be attributed to the improvement of general economic conditions for Upper Arlington employers and residents. This includes the addition of jobs throughout the community, especially in the Kingsdale and Lane Avenue corridors. With the passage of Issue 23, the City has seen significant growth in income tax receipts due to the increased rate; however, estimates continue to exceed expectations due to improvement of general economic conditions and the City's proactive economic development program, which has successfully attracted and secured new businesses and helped existing businesses expand. The positive, cumulative impact on income tax revenues is very evident. In fact, many of the economic development incentives used to support business expansion have already been paid back in full from the new revenues they helped generate.



With the economy still growing and the passage of Issue 23, the projected revenue for 2017 is now \$25.3 million. The following years, 2018 – 2021, include conservative annual increases of 2.5% per year.

These projections are subject to Ohio municipal tax legislation, Amended Substitute HB5. Several provisions will begin to take effect this budget cycle and they are expected to have a negative impact on the income tax receipts by eliminating certain taxable income sources and allowing net losses to be carried forward into future years. Due to some of the complicated components to this bill, the actual impact is still being analyzed and cannot be determined at this time.

Income tax receipts are deposited into two City funds, with 70% going to the General Fund for operations, and 28% going to the Capital Asset Management Fund (CAM) for capital improvements or the payment of debt issued for the purposes of capital improvements. The 28% allocated to the CAM encompasses the additional .5% dedicated solely for capital improvements (Issue 23) plus an amount to cover previously issued capital-related debt. This allocation allows the City to stay in line with the City's debt policy, which recommends a level of resources in the CAM Fund equal to twice the annual debt payment. Maintaining this level assures the residents and the bondholders that in years of economic downturn, funds will be sufficient to pay the principal and interest due on bonds.

Property Tax

Because the City is nearly fully developed, there is virtually no growth in the tax base other than as a result of reappraisals and reinvestment in existing residential and commercial properties. The appraisals occur every six years, with an update three years following the appraisal. The last appraisal was conducted in 2014 and established a new valuation of \$1,707,205,670, which represents a 9.2% increase in the last valuation. Since the last evaluation, the total valuation has decreased slightly (.4%), to \$1,700,403,220 as a result of changes in property use and additional exemptions.

REVENUE SUMMARY

The 2017 property tax millage is listed below with the various funds that receive the income:

- 3.90 – General Fund
- 0.50 – General Obligation Bond Retirement Fund (voted)
- 0.30 – Police Pension Fund
- 0.30 – Fire Pension Fund
- 1.08 – Police and Fire Pension Fund (voted)
- 0.50 – Capital Equipment Fund

The General Obligation Bond Retirement Fund millage is restricted to pay both a voted bond issue and special assessment street light bonds. The Capital Equipment Fund millage is restricted to pay for capital expenditures and the Pension Fund millages are restricted to pay for the related pension costs. The 1.08 mills for the Police and Fire Pension Fund levy are subject to the property tax rollback. That means that once a levy is approved, the amount of tax collected becomes fixed for all years of the levy. Since 2013, the first year of the levy, this millage has generated \$1.685 million. This levy is set to expire in 2017, and thus has been placed on the November 8, 2016 ballot for renewal at .97 mills. If passed, the new levy is expected to generate approximately \$1.5 million. In addition to the voted levy, .3 mills for police and .3 mills for fire are permanently levied to fund the pension of the safety forces.

The projected total property tax receipts for 2017 and 2018 are approximately \$11.3 million. Though increases are expected due to upcoming revaluations, these are offset with anticipated reductions in the voted debt and police and fire pension millage.

While a very important revenue stream to the City, only 9% of the total property taxes paid by residents fund the City's services. Property taxes collected also support operations of the UA Schools, Franklin County and the Upper Arlington Library.



Gas Tax and Motor Vehicle License Fees

The gas tax and motor vehicle license fees are based on the number of vehicles registered in the City. The number of vehicles registered in the City does not fluctuate much from year to year. Currently, there are approximately 30,000 passenger vehicles registered within the City and 3,700 other types of vehicles registered. State law has levied a tax of \$0.28 per gallon of gas with approximately 12% of total collections being allocated for cities. The City receives this distribution on a monthly basis.

Motor vehicle license fees are allocated to the City on a different basis. For each passenger vehicle registered in the City, the State levies a fee of \$20.00, of which \$6.80 is remitted to the City and the remainder is retained by the State. The County and the City have levied an additional \$20.00. Of this, the City receives \$15.00 directly and the remaining \$5.00 is collected and retained by the County on behalf of the City to be used for improvements on certain City streets. The City is required to apply to the County for this money after the improvement is completed. The average annual collection for the \$5.00 portion retained by the County is approximately \$140,000.

REVENUE SUMMARY

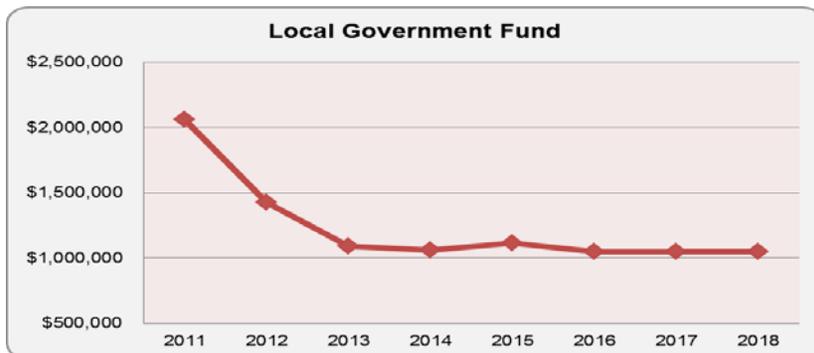
All gasoline tax and motor vehicle license fees are deposited in the Street Construction Maintenance and Repair Fund. The projected receipts for 2017 and 2018 are approximately \$1.6 million per year.

Estate Tax

The Ohio legislature eliminated the estate tax effective January 1, 2013. The City is not projecting any additional estate tax receipts going forward.

Local Government Fund

This is the State's revenue sharing program, whereby local units of government share a portion of total state General Revenue Fund tax revenues, based on an alternative formula adopted by Franklin County. Effective July 1, 2011, the State reduced the amount of income shared with local governments by 25%. This amount was further reduced by an additional 25% effective July 2012. Since the reductions in 2011 and 2012, the level of funding has held steady at approximately \$1 million, or around 2.5% of the amount allocated for Franklin County. This holds pattern holds true for the 2017 estimate of \$1.05 million, or 2.36% of the amount allocated for Franklin County.



Water/Sewer Surcharge and Stormwater Fees

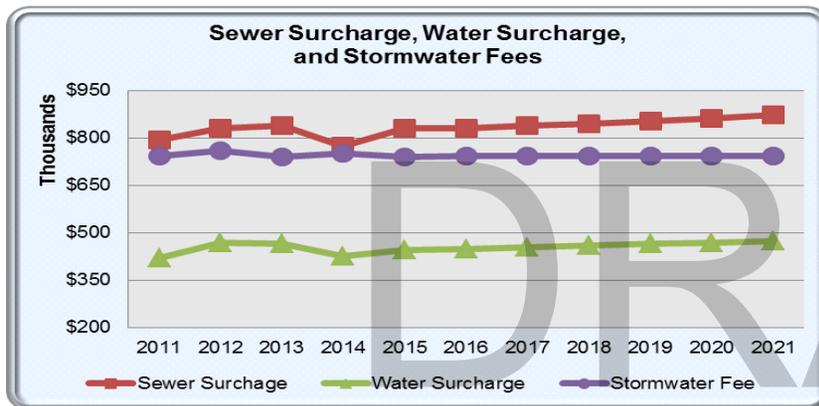
The City charges utility surcharges based on the consumption of water. The water and sewer surcharges are currently 10% and 18% of the commodity billing, respectively. The projected water and sewer surcharge receipts for 2017 are \$455,000 and \$838,000, respectively. Additional increases are expected in 2018 and beyond based on projected increases in the water and sewer rates by the City of Columbus.

The surcharges, which are deposited into the corresponding Water Surcharge and Sanitary Sewer Surcharge Funds, are used for the maintenance of water and sewer lines. While the surcharges are currently sufficient to fund annual maintenance and debt service from previous water and sewer projects, neither surcharge is sufficient to fund the replacement of all water and sewer lines slated in the ten-year capital improvement plan. These surcharges must be reviewed in upcoming years or the General Fund will be required to subsidize the operations.

The City legislated stormwater fees in 1993. One and two family dwellings are charged the same flat fee. Commercial and other properties rates are based on a pro-rata basis of total property area and land use. The annual stormwater fee was increased in 2011 to \$45 for residential property. This increase (from \$33) was the first increase since inception of the fee. The increase was made to accommodate Federal mandates (NPDES) and an agreement with the Ohio EPA to study water infiltration into the sanitary sewer system. The studies are now completed and the City's plan to correct infiltration has been accepted by the Ohio EPA. The funding for this plan is included in the ten-year CIP.

REVENUE SUMMARY

Stormwater fees are deposited in the Stormwater Management Fund. The stormwater fees, like the water and sewer fees, are used for the maintenance of the system as well as for capital improvements. At this time, the current fee structure is sufficient to fund annual maintenance and debt service from previous and planned stormwater projects. Based on the nature of fee and the community, the stormwater fee receipts remain relatively consistent on a yearly basis. Thus, \$744,000 will continue to be the estimate for 2017 and beyond.



Solid Waste Stickers and Annual Service Fee

Solid waste removal is primarily funded through an annual fee charged to residents and the sale of trash stickers, which are affixed to solid waste and yard waste containers. The City collects additional revenues by offering a premium service option (\$150 annual fee), whereas residents can elect garage-side pick-up. Recycled materials are collected at no additional charge.

The current fee structure was implemented in April 2008, in conjunction with the privatization of the refuse collection service. The initial fees, which were anticipated to sustain the cost of operations for three years, were increased in 2011 due to the collapse of the resale paper market. Up until that time, the City received approximately \$240,000 annually from the sale of paper. Currently, the City pays a \$20 per ton fee for each ton of paper and recyclable material taken to the recycling facility.

In general, as the amount of recycled material continues to increase, the amount of refuse taken to the landfill continues to decrease. In addition, weakened economic conditions decreased the volume of refuse, and in turn, sticker revenue. This decrease in volume has prompted the land fill to continually increase tipping fees at a greater rate than the current fee structure can handle. The disposal fee for 2016 was \$42.75/ton; however, the City was recently notified that fee will be reduced by \$3 for 2017, resulting in a savings of approximately \$17,000.

In response to the increasing costs described above, the City took two actions to make the Solid Waste Fund self-sufficient. First, Council approved increasing the annual fee to \$64 effective January 1, 2016. The increased fee is expected to generate an additional \$300,000. Additionally, in 2016, the City launched an initiative to inform residents about what items could be recycled. This initiative has led to an increase in the amount of refuse and the number of sticker sales. With these two actions, the Solid Waste Fund is expected to be sufficient to cover costs through the end of the current hauling contract which expires in April 2018.

During upcoming years, Council will continue to review the current trash collection methodology and corresponding fee structure to determine if additional changes need to be made.

REVENUE SUMMARY

Investment Earnings

Investment earnings are a function of the cash available for investments and the market interest rates. The City invests its monies in accordance with Section 221 of the Codified Ordinance, and this policy is fairly conservative. The majority of the portfolio is invested in government securities and federal agency debt securities. The average weighted yield, as of September 2016, was approximately 1.06%. Short-term monies are invested in STAR Ohio, the State Treasurer's repository, which was yielding approximately .62% as of the end of September 2016. The investments of Upper Arlington are secure with 100% of portfolio funds invested in U.S. Federal Agency obligations and US Treasuries. None of these agency issues are asset-backed, but instead are debt-backed securities. The portfolio's average maturity is approximately 2.17 years and is balanced between callable and non-callable securities. The City's investment strategy is to hold these securities to maturity.

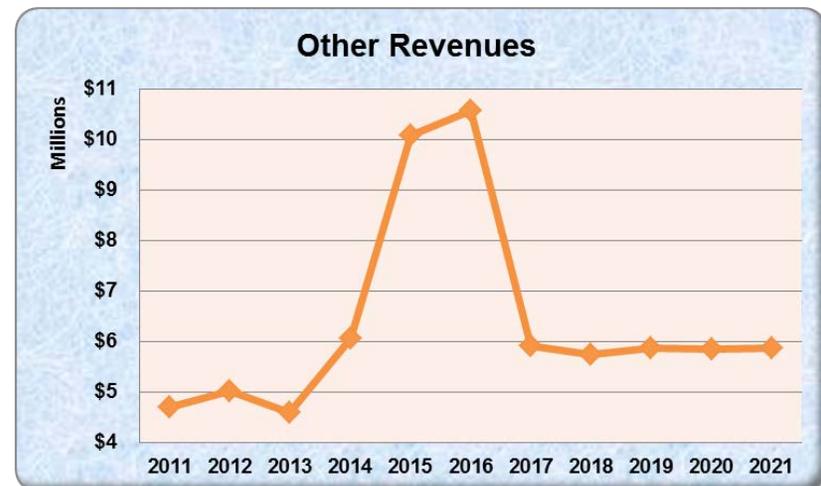
Investment earnings have remained constant over the past couple of years, but are still far lower than the amount received in 2011. Though the investment market continues to be volatile, the City has implemented investment strategies to help ensure that a steady stream of investment earnings will continue.



Other Revenue Items

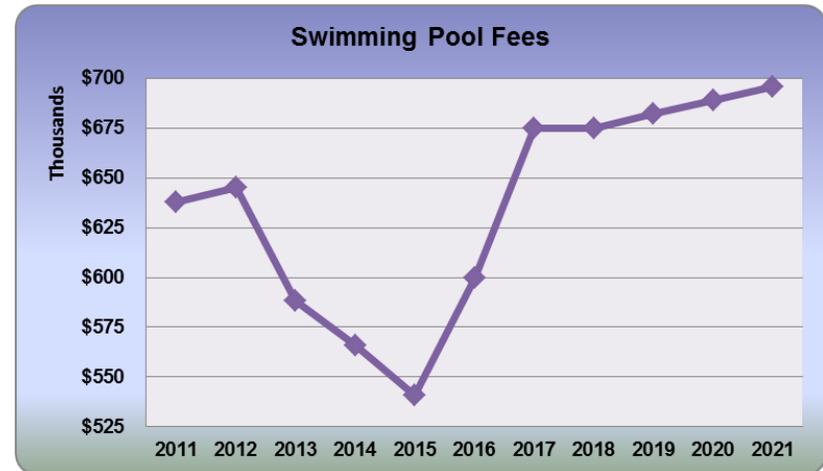
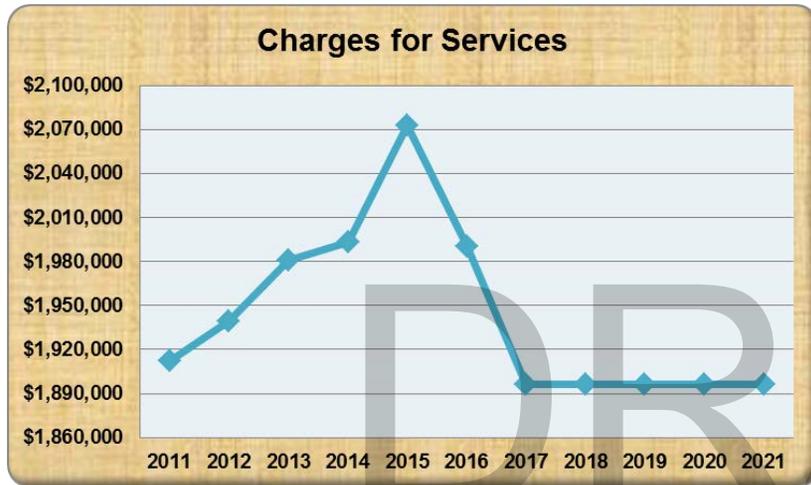
The major sources of other revenues include charges for programs and services, license fees, and fines and forfeitures. In order to increase the rates, action must be taken by City Council. Charges for services are dependent on the events that are held.

The Other Revenues line item includes many different sources of revenues including receipts from varying sources including: grants/loans, payments in lieu of taxes (PILOT), licenses and permits, fines and forfeitures, hotel tax, cell tower rentals, reimbursements, etc. The majority of the components that make up this line have remained relatively consistent for several years. The large increases and/or decreases are the result of infrequent streams of revenue such as large grant dollars or unforeseen reimbursements. In 2016, two such events are occurred with the receipt of grant/loan funds related to the Tremont Road and Fiber Optic projects. In 2017 and future years, these infrequent streams of revenue are not guaranteed sources and thus are not included. Other components of the Other Revenues category such as cable franchise fees and law enforcement seizure revenue are forecasted to remain more consistent in years 2017 and beyond.



REVENUE SUMMARY

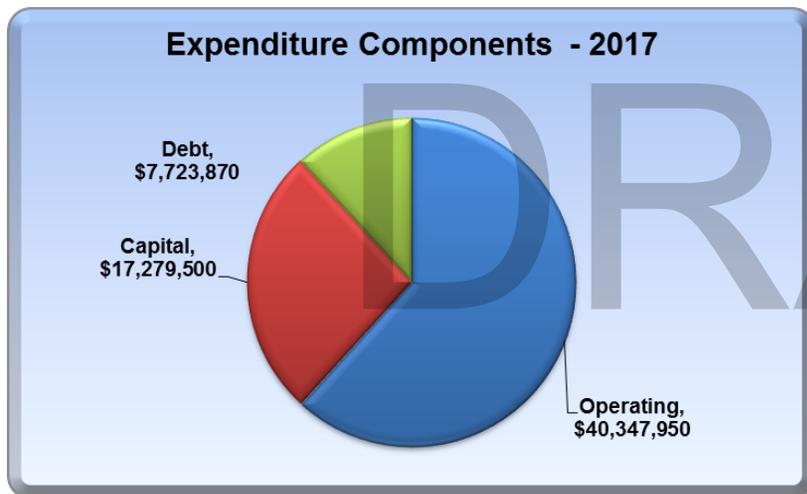
Charges for services consist mainly of fees charged for recreation programs and EMS billing fees. Though the fees charged for recreation programs are expected to remain steady the EMS fees are expected to decline significantly due to Clinton Township electing not to renew its agreement in 2016.



The remaining revenue line item is Swimming Pool Fees. After hitting a record high of \$645,056 in receipts in 2012, pool receipts have declined over the past three years. The majority of the decline can be attributed to mild summer weather but the City also has an aging swimming pool system (which is being addressed in the ten-year capital improvement plan). In fact, Tremont Pool has been closed since 2015 due to deterioration. The newly constructed Tremont Pool is scheduled to open in the summer of 2017 and combined with some proposed fee adjustments revenues are expected to reach \$675,000 in 2017.

EXPENDITURE SUMMARY

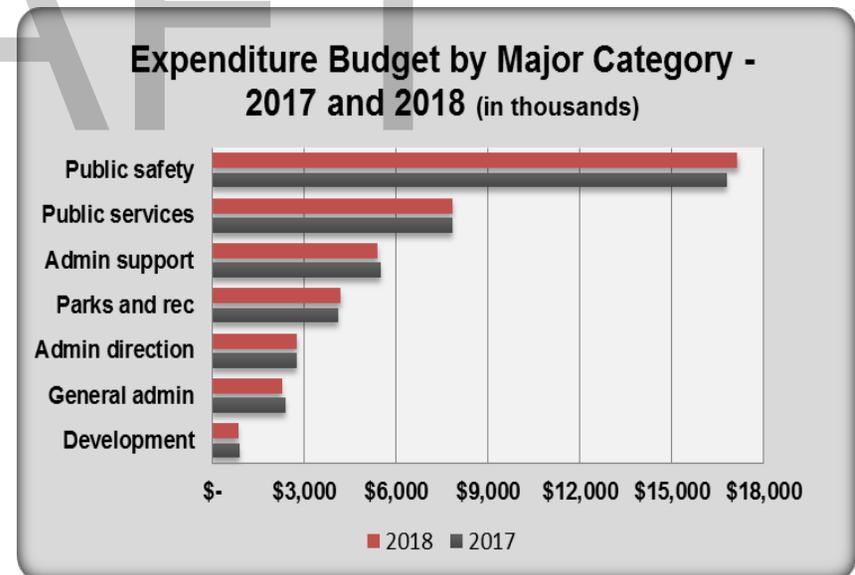
The expenditures in the consolidated presentation are categorized and presented into three separate components: operating expenditures, capital investments, and debt service. The 2017 operating expenditures include funding for all departments and divisions, and the cost of providing daily services to the City. These operations of \$40.3 million make up approximately 62% of annual outlay of funds. The adopted \$17.3 million or 26% capital component includes the purchase of equipment and capital improvements. The \$7.7 million in debt payments include annual principal and interest payments. This consolidated presentation combines all expenditures in the budget.



The 2017 consolidated operating budget of \$40.3 million is a 2% decrease from the 2016 amended appropriations of \$41.0 million. Actual operating expenditures will be inserted into the final budget document after the end of the year.

The City provides services that enhance the quality of life of its Residents. The activities of the City are classified in the Consolidated Presentation as follows:

- Public Safety – police, fire, and emergency medical services;
- Parks and Recreation – cultural arts, park maintenance, recreation programs, senior center, and swimming pools;
- Community Development – building, planning, and code compliance;
- Public Services – engineering, street maintenance, waste collection, water, sewer, and stormwater line maintenance;
- Administration Direction – Elected and appointed positions of City Council, City Manager, City Attorney, and City Clerk;
- Administrative Support – all other departments; and
- General Administration – expenses such as postage, and liability insurance.



EXPENDITURE SUMMARY

In the Department section of the budget, actual operating expenditures are summarized by line item for 2015, the amended budget for 2016, and the proposed budget is shown for years 2017 and 2018. In the appendix, a grand total of all departments operating expenditures, by line item, is provided. Also included in the appendix is the same line item expenditure information, restated in percentages. These figures vary slightly from the consolidated sheet found in the fund section, which excludes intra-city transactions.

The City uses three main categories for budgeting. They are personal services, other than personal services (supplies and non – personal services), and capital outlay.

Personal Services

The personal services category consists of salaries and wages, pension expense, and fringe benefits. On a percentage basis, personal services account for approximately 70% of the total operating expenditures. This percentage of personal services as to overall expenditures has moderately declined over recent years as the number of staff positions in the City were reduced; however, with the restoration of merit increases and slight increase in staff, the percentage is set to moderately increase in 2017 and 2018. Even with the proposed \$27.8 million personal services budget for 2017, the amount budgeted is only 6% higher than actual personnel costs of \$26.2 million in 2008 before major cuts were put in place.

The 2017-2018 budgets include a total of 222 full time positions. This is an increase of two proposed positions. The first proposed position is to restore a supervisor in the Public Works Department. The second, a new position, is a digital media/website position that will bring these functions in house for efficiency and cost savings. A summary of staffing of all departments may be found in the department pages and the Employment Summary.

There are four organized labor unions within the City representing the Police Division (FOP), Fire Division (IAFF), Emergency Dispatchers (OLC), and Public Service Workers (Teamsters). This accounts for approximately 58% of the City's full-time employees. Contractual wage increases included in the budget projections include 2.75% for FOP, 2.50% for Teamster, and 2.0% for OLC bargaining unit employees. No increases are included in this draft budget for the IAFF members due to the ongoing negotiations for the IAFF contract due to expire on December 31, 2016.

City Administrative Code provides for merit increases for non-union personnel based upon the wage index for state and local government wages as determined by the Bureau of Labor Statistics. Furthermore, the Code provides a performance award component above the index for those employees whose performance is evaluated as exceeding expectations. The 2017-2018 provides for an average merit increase of 2.75% for each year.

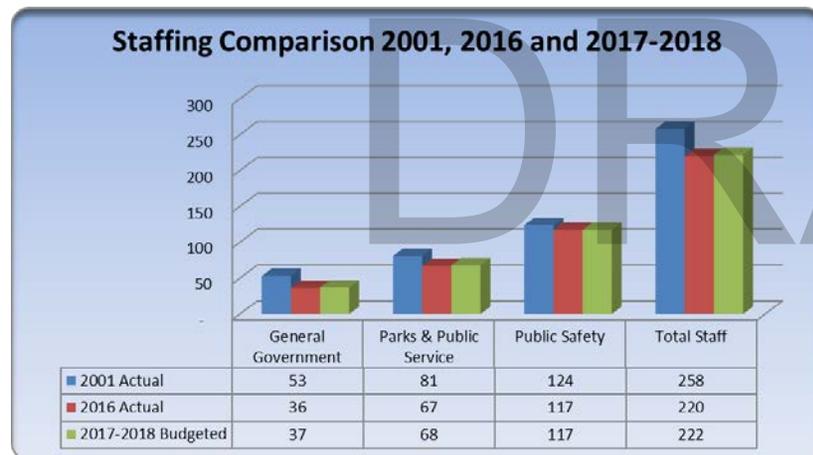
Going into 2017, the City has completely eliminated the "pension pickup" from three union contracts and all non-union employees. The only amount of "pension pickup" that remains as of January, 2017 is 2% for the IAFF. It is anticipated that the remaining 2% will be eliminated as part of the new contract. However, as stated above, this contract is being negotiated at the time of the printing of the draft 2017-2018 budget; therefore, the 2% "pickup" is still included in 2018 amounts.

Employees of the City belong to one of two public retirement systems. Police officers and firefighters belong to the Ohio Police and Fire Pension Fund (OPFPF). The City contributes the required 19.5% of their annual salary to the retirement system for police officers and 24% for Firefighters. Additionally, the City is currently contracted to pay 2% of the Firefighters' employee contribution for both 2017 and 2018 unless the 2% is eliminated with the next labor contract that begins January 1, 2017.

EXPENDITURE SUMMARY

The remainder of the employees, including part-time and seasonal employees, belongs to the Ohio Public Employee Retirement System (OPERS). The employer contribution rate to OPERS is 14%.

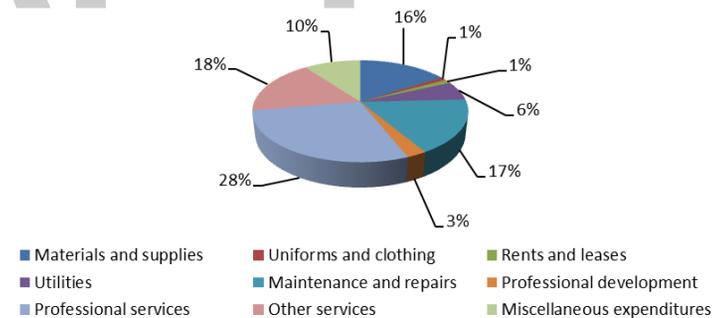
The fringe benefit category consists of health, dental, life insurance, Medicare, and worker's compensation insurance. The majority of the City's fringe benefits cost consists of health care coverage and workers compensation. The City assumes the risk for this coverage and maintains a managed care program through a third party administrator.



Other than Personal Services

The other than personal services category consists of costs for supplies and services. This category includes the procurement of materials and supplies, uniforms, professional development, rents and leases, maintenance, professional services, and community support. Utility costs and building maintenance are examples of large annual expenses, as well as the contract for solid waste collection, disposal fees, and street salt. For 2017 and 2018, this category makes up the remaining approximately 30% of the operating budget. The percentage has grown in previous years as a percentage of total expenditures due to a combination of increased costs for supplies and services and due to a reduction in personal service costs; however, it is budgeted to moderately decrease starting in 2017 as a result of the moderate increase in personal services.

Breakdown of Other Than Personal Services 2017



EXPENDITURE SUMMARY

In the adopted two-year budget, most line items were maintained at current expenditure levels and no standard increases were included. The overall budget for 2017 represents a decrease of approximately 8% from 2016 current amended appropriations. Most of the decrease is a result of 2016 expenditures not repeated in the 2017 budget. The non-recurring costs include costs associated with issuing capital-related debt and the contractual remittance to the Upper Arlington Schools of their share of additional PILOT payments received from the Kingsdale Core and Lane Avenue Mixed use TIF Districts. Also, 2016 included a 27th pay period for our employees as a result of how the calendar fell; however, this decrease is primarily offset by the two additional proposed positions and projected merit increases for 2017.

The City categorizes other than personal services by specific department, except for those items that cannot easily be allocated to a particular department. Expenditures not easily allocated are items such as special studies, consulting fees, postage, and fuel. These expenses are budgeted in the department entitled General Administration.

Non-Capital Co-op Purchases

The Procurement Code allows the City Manager to enter into contracts for certain supplies and equipment identified in the budget document without returning to City Council. In order to satisfy the Procurement Code criteria, the items must be part of an existing contract procured by a formal competitive bidding process initiated by another political subdivision or a governmental purchasing group. These contracts, known as cooperative purchasing agreements, allow other political jurisdictions to participate as additional purchasers.

Many items in the capital equipment listing, approved by City Council in the budget process, meet the criteria and will be purchased without further City Council review. Additionally, the following non-capital items identified below will also be purchased through a cooperative purchasing agreement:

General Fund

1) General Administration – Fuel	\$350,000
2) Fleet Maintenance Division – Tires	35,000
3) Office and building maintenance supplies	45,000

Street Maintenance & Repair Fund

1) Street Division – Salt	\$200,000
2) Asphalt	50,000
3) Crack sealant	50,000
4) Sign Materials	25,000

Capital Outlay

Investment in capital is separate from the operating budget. The capital program for equipment and improvements can be found in the capital section of this biennial budget document.

DEBT SUMMARY

The City's debt policy is used to guide City officials as they consider the proper use of debt to fund capital projects. The primary objective is to establish conditions for the use of debt and to create policies that minimize the City's debt service and issuance costs, retain the highest credit rating and maintain full and complete financial disclosure and reporting. The debt policy is intended to guide the prudent use of resources to provide the needed services to the citizens of Upper Arlington and to maintain sound financial management practices.

Additionally, state law places limitations on the amount of debt that can be issued by the City. For debt issued without a vote of the people, the limit is 5.5% of the City's current assessed valuation of \$1,700,403,220. The limitation for total debt voted or unvoted is \$178,542,338, or 10.5% of the assessed valuation. The City's bonded debt obligation at December 31, 2016 is \$54,944,994, or 3% of the assessed valuation.

The City currently has a mixture of voted and unvoted bonds. The bonds vary in interest rates from 1.50% to 4.85% and the maturities of the bonds range from 2017 to 2042. The City has one voted bond issue comprised of two series (2009C series), one assessed bond issue wherein residents petitioned for neighborhood streetlights. The revenue source for the voted and assessed issue is property tax. The tax is levied on the County tax role and paid to the City semi-annually. The current millage for these issues is .5 mills.

The revenue source for the repayment of principal and interest payment for the unvoted issues is a mix of income tax and fees from the Water Surcharge, Sanitary Sewer Surcharge, and Stormwater Management funds. Prior to the passage of Issue 23, the City set aside 13.3% of the prior 2% income tax rate into the Capital Asset Management Fund to pay for capital improvements and debt payments of financed capital improvements. With the passage of Issue 23, the percentage of income tax set aside in the Capital Asset Management Fund was increased to 28% to account for the 0.5% increase dedicated solely for capital improvements.

In addition to the bonds, the City has two outstanding loans with the Ohio Public Works Commission (OPWC) with outstanding principal as of December 31, 2016. The interest rates of these issues are 0% with maturities in 2023 and 2040. One of the loans (Arlington Avenue Waterline) was obtained to finance waterline improvements and is repaid by the water surcharge fees collected based on water usage. The other loan was obtained to finance a portion of the reconstruction of Tremont Road and is repaid by income tax.

The City also has a Franklin County Infrastructure Bank Loan related to the installation of the community fiber optic network throughout the City.

With the passage of Issue 23, the City has a dedicated revenue stream to fund the ten-year Capital Improvement Plan (CIP). The CIP will be financed with a mixture of cash and current borrowings. The debt being proposed for the funding of the CIP has been included in this budget document to show the potential effect on fund balances. The proceeds of the proposed bonds are recorded in the Bonded Improvement Fund, while the proposed repayment is shown in the Capital Asset Management, Sanitary Sewer Surcharge, Water Surcharge, and Stormwater Management funds. Since these are just proposed amounts at this time, these are not included with the existing debt in the following tables.

DEBT SUMMARY

Table 1 – Outstanding Debt at December 31, 2016

Year of <u>Maturity</u>	<u>Issue</u>	Debt Outstanding <u>12/31/2016</u>
General Obligation Bonds :		
2017	2009 Turkey Run Stormwater Refunding Bonds 2%-3.25%	\$ 175,000
2017	2009C Issue 4 Refunding Bonds 2%-3%	239,997
2018	1998 Neighborhood Lighting Bonds 4.85%	260,000
2020	2009A Building Renovation Refunding Bonds 2%-4%	565,000
2020	2009C Various Purpose Bonds 2%-4%	1,089,997
2023	2011 Refunding Bonds 2%-3.5%	4,435,000
2028	2008 Fire Station Bonds 2.875%-4.375%	559,568
2028	2008 Infrastructure Bonds 2.875%-4.375%	370,432
2028	2015 Refunding Bonds 1.5%-4%	5,962,000
2029	2013 Refunding Bonds 3%-4%	7,810,000
2030	2014 Refunding Bonds 1.5%-3.5%	6,220,000
2032	2012 Various Purpose Bonds 2%-3%	2,935,000
2034	2015 Various Purpose Bonds 1.5%-4%	17,713,000
2042	2012 LAMU Development Bonds 2%-3.125%	6,610,000
Total General Obligation Bonds		54,944,994
Ohio Public Works Commission (OPWC) Loans:		
2023	Arlington Avenue Waterline 0.0%	122,652
2040	Tremont Road 0.0%	2,510,019
Total OPWC Loans		2,632,671
Franklin County Infrastructure Bank (FCIB) Loan:		
2025	2016 Fiber Optic Network Loan 1.7%	957,316
Total FCIB Loan		957,316
Total Bonds and Loans Outstanding		\$ 58,534,981

DEBT SUMMARY

Table 2 – Future Debt Service

Year	General Obligation Bonds		OPWC Loans		FCIB Loan		Principal	Total Interest	Total
	Principal	Interest	Principal	Interest	Principal	Interest			
2017	\$ 3,739,994	\$ 2,168,566	\$ 123,454	\$ -	\$105,608	\$ 15,827	\$ 3,969,056	\$ 2,184,393	\$ 6,153,449
2018	3,735,000	1,619,004	123,454	-	107,411	14,017	3,965,865	1,633,021	5,598,886
2019	3,690,000	1,519,619	123,454	-	109,245	12,191	3,922,699	1,531,810	5,454,509
2020	3,790,000	1,408,169	123,454	-	111,110	10,326	4,024,564	1,418,495	5,443,059
2021	3,290,000	1,288,169	123,454	-	113,007	8,429	3,526,461	1,296,598	4,823,059
					Subtotal 2017-2021		19,408,645	8,064,317	27,472,962
2022-2026	15,985,000	5,115,656	551,224	-	410,935	14,090	16,947,159	5,129,746	22,076,905
2027-2031	13,380,000	2,394,894	522,920	-	-	-	13,902,920	2,394,894	16,297,814
2032-2036	5,385,000	686,556	522,920	-	-	-	5,907,920	686,556	6,594,476
2037-2041	1,600,000	207,813	418,337	-	-	-	2,018,337	207,813	2,226,150
2042	350,000	10,938					350,000	10,938	360,938
Total	\$ 54,944,994	\$ 16,419,384	\$ 2,632,671	\$ -	\$957,316	\$ 74,880	\$ 58,534,981	\$ 16,494,264	\$ 75,029,245

Table 3 – Debt Repayment (by Funding Source) 2017 - 2021

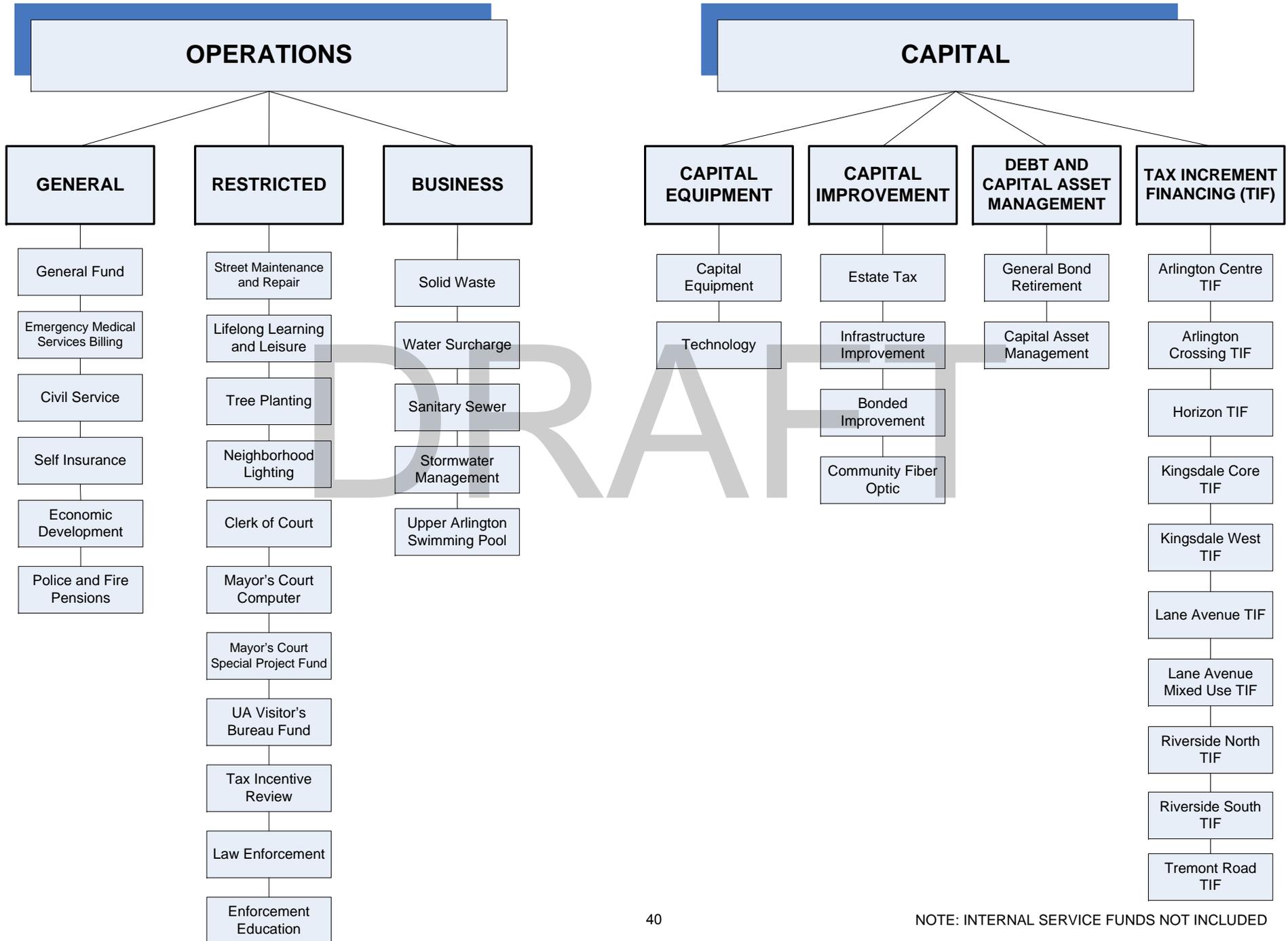
Year	Capital Asset Management Fund	General Bond Retirement Fund	Community Fiber Optic Fund	EMS Billing Fund	Sanitary Sewer Surcharge Fund	Water Surcharge Fund	Stormwater Management Fund	Kingsdale Core TIF Fund	LAMU TIF Fund	Total
2017	\$ 1,962,777	\$ 2,589,694	\$ 121,436	\$ 433,099	\$ 53,568	\$ 72,437	\$ 287,824	\$ 269,383	\$ 363,231	\$ 6,153,449
2018	3,650,416	522,448	121,436	438,082	53,518	72,387	107,036	269,132	364,431	5,598,886
2019	3,650,765	384,000	121,436	436,209	53,462	72,331	106,924	268,851	360,531	5,454,509
2020	3,639,149	384,800	121,436	432,960	53,630	72,499	107,260	269,694	361,631	5,443,059
2021	3,404,344	-	121,436	432,359	53,542	72,411	107,084	269,252	362,631	4,823,059
	\$ 16,307,451	\$ 3,880,942	\$ 607,180	\$ 2,172,709	\$ 267,720	\$ 362,065	\$ 716,128	\$ 1,346,312	\$ 1,812,455	\$ 27,472,962



DRAFT



COMPREHENSIVE LISTING OF FUNDS (BY OPERATION)



FUND SUMMARIES

CONSOLIDATED PRESENTATION

	2013 Actual	2014 Actual	2015 Actual	2016 Projected	2017 Proposed	2018 Proposed	2019 Projected	2020 Projected	2021 Projected
Revenues:									
Income tax	\$ 16,788,917	\$ 16,906,548	\$ 21,490,632	\$ 24,667,000	\$ 25,284,000	\$ 25,916,000	\$ 26,564,000	\$ 27,718,000	\$ 28,412,000
Property taxes, rollbacks & assessments	10,402,381	10,526,109	11,232,525	11,292,580	11,256,700	11,100,500	11,041,000	11,121,000	10,978,000
Estate tax	3,008,886	612,302	65,805	-	-	-	-	-	-
Local government fund	1,092,808	1,063,942	1,114,871	1,050,000	1,050,000	1,050,000	1,050,000	1,050,000	1,050,000
Gasoline tax & motor vehicle licenses fees	1,610,422	1,626,434	1,652,072	1,625,000	1,625,000	1,625,000	1,625,000	1,625,000	1,625,000
Water, sanitary sewer & stormwater fees	2,044,798	1,953,840	2,016,945	2,024,000	2,037,000	2,050,000	2,063,000	2,077,000	2,091,000
Solid waste stickers & annual service fees	1,975,708	1,911,257	1,984,030	2,250,000	2,250,000	2,250,000	2,250,000	2,250,000	2,250,000
Swimming pool fees	588,377	565,919	541,009	600,000	675,000	675,000	682,000	689,000	696,000
Investment earnings	497,692	602,753	555,501	569,000	517,500	497,500	497,500	497,500	497,500
Charges for services	1,980,553	1,993,568	2,072,892	1,990,500	1,896,000	1,896,000	1,896,000	1,896,000	1,896,000
Other revenues	4,580,116	6,049,805	10,072,296	10,576,988	5,906,035	5,743,500	5,856,700	5,834,300	5,869,300
Total revenues	44,570,658	43,812,477	52,798,578	56,645,068	52,497,235	52,803,500	53,525,200	54,757,800	55,364,800
<i>Growth in revenue</i>	-10.88%	-1.70%	20.51%	7.29%	-7.32%	0.58%	1.37%	2.30%	1.11%
Operating expenditures:									
Public Safety	15,090,801	15,451,216	15,337,399	17,015,400	16,815,300	17,169,500	17,556,500	17,847,700	18,150,000
Parks and Recreation	3,494,643	3,498,402	3,485,341	4,090,750	4,131,250	4,211,450	4,300,000	4,390,000	4,483,000
Community Development	993,930	994,655	845,591	835,400	894,500	861,200	881,000	901,000	921,000
Public Services	6,653,253	6,719,359	6,433,352	7,553,350	7,842,550	7,862,650	7,922,000	8,085,000	8,251,000
Administrative Direction	2,326,526	1,837,783	2,272,952	3,410,772	2,747,350	2,781,450	2,833,000	2,885,000	2,938,000
Administrative Support	3,594,996	3,586,875	5,012,776	5,721,707	5,509,300	5,418,200	5,597,400	5,669,400	5,744,400
General Administration	2,150,638	1,973,466	1,933,240	2,379,300	2,407,700	2,286,300	2,337,000	2,388,000	2,441,000
Total operating expenditures	34,304,787	34,061,756	35,320,651	41,006,679	40,347,950	40,590,750	41,426,900	42,166,100	42,928,400
<i>Growth in operating expenditures</i>	2.12%	-0.71%	3.70%	16.10%	-1.61%	0.60%	2.06%	1.78%	1.81%
Capital outlay:									
Capital equipment	1,118,430	2,026,981	1,168,606	1,471,000	1,323,300	858,000	867,000	876,000	903,000
Capital improvements	5,589,801	10,598,102	17,014,997	22,086,511	15,956,200	12,902,900	10,235,400	11,258,200	7,872,800
Total capital outlay	6,708,231	12,625,083	18,183,603	23,557,511	17,279,500	13,760,900	11,102,400	12,134,200	8,775,800
Debt service:									
Principal and interest payments (net of subsidies)	19,320,307	16,266,143	17,443,708	6,348,241	7,723,870	6,846,570	7,646,270	7,742,270	7,880,270
Total debt service	19,320,307	16,266,143	17,443,708	6,348,241	7,723,870	6,846,570	7,646,270	7,742,270	7,880,270
Total expenditures	60,333,325	62,952,982	70,947,962	70,912,431	65,351,320	61,198,220	60,175,570	62,042,570	59,584,470
Other financing sources:									
Proceeds of bonds, notes and leases	15,161,270	11,282,304	26,946,311	9,906,200	7,436,900	-	15,377,700	-	10,288,400
Total other financing sources	15,161,270	11,282,304	26,946,311	9,906,200	7,436,900	-	15,377,700	-	10,288,400
Beginning consolidated balances	54,364,450	54,814,847	47,859,063	57,381,618	55,472,455	51,008,270	43,568,550	53,275,880	46,992,110
Lapsed encumbrances	1,051,794	902,417	725,628	-	-	-	-	-	-
Anticipated lapses of appropriation	-	-	-	2,452,000	953,000	955,000	980,000	1,001,000	1,022,000
Ending consolidated balances	\$ 54,814,847	\$ 47,859,063	\$ 57,381,618	\$ 55,472,455	\$ 51,008,270	\$ 43,568,550	\$ 53,275,880	\$ 46,992,110	\$ 54,082,840
<i>Breakdown of Fund Balance:</i>									
Operating:									
General (including General Fund reserve)	\$ 20,606,664	\$ 19,194,833	\$ 18,973,705	\$ 18,787,420	\$ 19,122,770	\$ 20,033,720	\$ 20,860,320	\$ 22,051,420	\$ 23,238,020
Restricted	2,070,385	2,089,109	2,401,608	2,165,008	1,509,108	1,300,608	1,094,108	982,408	962,408
Business	3,146,081	3,193,148	3,846,099	4,201,444	3,793,074	3,594,604	2,817,334	1,983,864	730,094
Total operating funds balance	25,823,130	24,477,090	25,221,412	25,153,872	24,424,952	24,928,932	24,771,762	25,017,692	24,930,522
Capital:									
Capital equipment and improvements	20,122,443	14,016,275	20,403,808	15,030,885	11,088,420	1,205,320	9,443,120	1,223,020	6,676,720
Debt service and capital asset management	6,467,058	6,034,390	8,137,602	11,479,602	11,526,302	12,593,202	13,438,202	14,373,802	15,343,802
Tax increment financing	2,402,216	3,331,308	3,618,796	3,808,096	3,968,596	4,841,096	5,622,796	6,377,596	7,131,796
Total capital funds balance	28,991,717	23,381,973	32,160,206	30,318,583	26,583,318	18,639,618	28,504,118	21,974,418	29,152,318
Total	\$ 54,814,847	\$ 47,859,063	\$ 57,381,618	\$ 55,472,455	\$ 51,008,270	\$ 43,568,550	\$ 53,275,880	\$ 46,992,110	\$ 54,082,840

FUND SUMMARIES

CONSOLIDATED PRESENTATION - FISCAL YEAR 2017 (by Operation)

	Operations				Capital					Combined Total
	General	Restricted	Business	Total Operations	Capital Equipment	Capital Improvements	Debt and Capital Asset Management	Tax Increment Financing (TIF)	Total Capital	
Revenues:										
Income tax	\$ 18,204,000	\$ -	\$ -	\$ 18,204,000	\$ -	\$ -	\$ 7,080,000	\$ -	\$ 7,080,000	\$ 25,284,000
Property tax	9,408,000	-	-	9,408,000	855,500	-	993,200	-	1,848,700	11,256,700
Local government fund	1,050,000	-	-	1,050,000	-	-	-	-	-	1,050,000
Gas, BMV fees	-	1,625,000	-	1,625,000	-	-	-	-	-	1,625,000
Water, sewer & stormwater fees	-	-	2,037,000	2,037,000	-	-	-	-	-	2,037,000
Solid waste stickers & annual service fees	-	-	2,250,000	2,250,000	-	-	-	-	-	2,250,000
Swimming pool fees	-	-	675,000	675,000	-	-	-	-	-	675,000
Investment earnings	432,000	15,500	25,000	472,500	-	45,000	-	-	45,000	517,500
Charge for services	1,630,000	238,000	28,000	1,896,000	-	-	-	-	-	1,896,000
Other revenue	2,455,000	244,500	1,500	2,701,000	75,000	1,564,435	-	1,565,600	3,205,035	5,906,035
Total revenues	33,179,000	2,123,000	5,016,500	40,318,500	930,500	1,609,435	8,073,200	1,565,600	12,178,735	52,497,235
Expenditures:										
<i>Operating</i>										
Public Safety	16,518,400	296,900	-	16,815,300	-	-	-	-	-	16,815,300
Parks and Recreation	3,341,450	193,500	596,300	4,131,250	-	-	-	-	-	4,131,250
Community Development	894,500	-	-	894,500	-	-	-	-	-	894,500
Public Services	1,998,550	2,134,700	3,709,300	7,842,550	-	-	-	-	-	7,842,550
Administrative Direction	2,747,350	-	-	2,747,350	-	-	-	-	-	2,747,350
Administrative Support	4,630,400	112,600	-	4,743,000	78,900	-	15,000	672,400	766,300	5,509,300
General Administration	2,407,700	-	-	2,407,700	-	-	-	-	-	2,407,700
<i>Capital outlay</i>										
Capital equipment	-	58,000	50,000	108,000	1,215,300	-	-	-	1,215,300	1,323,300
Capital improvements	-	-	-	-	-	15,956,200	-	-	15,956,200	15,956,200
<i>Debt service</i>										
Debt payments	275,100	-	18,870	293,970	40,000	-	7,389,900	-	7,429,900	7,723,870
Total expenditures	32,813,450	2,795,700	4,374,470	39,983,620	1,334,200	15,956,200	7,404,900	672,400	25,367,700	65,351,320
Net revenue over/(under) expenditures	365,550	(672,700)	642,030	334,880	(403,700)	(14,346,765)	668,300	893,200	(13,188,965)	(12,854,085)
Other financing sources/(uses) and intra-city services										
Proceeds of bonds/notes	-	-	-	-	-	6,550,000	886,900	-	7,436,900	7,436,900
Intra-city services	66,000	(5,000)	(61,000)	-	-	-	-	-	-	-
Transfer/Advance in	3,614,500	21,800	-	3,636,300	-	4,504,500	4,670,600	-	9,175,100	12,811,400
Transfer/Advance out	(4,663,700)	-	(989,400)	(5,653,100)	-	(246,500)	(6,179,100)	(732,700)	(7,158,300)	(12,811,400)
Total other financing sources/(uses) and intra-city services	(983,200)	16,800	(1,050,400)	(2,016,800)	-	10,808,000	(621,600)	(732,700)	9,453,700	7,436,900
Net change in fund balance	(617,650)	(655,900)	(408,370)	(1,681,920)	(403,700)	(3,538,765)	46,700	160,500	(3,735,265)	(5,417,185)
Beginning balance	18,787,420	2,165,008	4,201,444	25,153,872	432,585	14,598,300	11,479,602	3,808,096	30,318,583	55,472,455
	953,000	-	-	953,000	-	-	-	-	-	953,000
Ending balance	\$ 19,122,770	\$ 1,509,108	\$ 3,793,074	\$ 24,424,952	\$ 28,885	\$ 11,059,535	\$ 11,526,302	\$ 3,968,596	\$ 26,583,318	\$ 51,008,270

Note: The General Operations balance includes the \$9,532,005 General Fund reserve (30% of annual expenditures)

FUND SUMMARIES – Operations – General

These funds are those whose resources are unrestricted to use and are used to fund the City's basic operations.

General Fund

The General Fund is the City's primary operating fund. It accounts for all financial resources of the general government, except for those required to be accounted for in another fund.

EMS Billing Fund

This fund is used to pay the cost of activities for emergency medical services, supplies, capital equipment purchases and the repayment of debt for the Fire Division. The fees received are from insurance companies and Medicare for providing emergency medical services to the Community.

Civil Service Fund

This fund is used to pay the expenses associated with the Civil Service Commission. The money is transferred from the General Fund and is used to pay the Civil Service Commission Secretary and the cost of tests that are required for promotional examinations.

Self Insurance Fund

This fund provides a reserve to fund losses as a result of assuming the risk of general liability claims against the City. This fund was created prior to the City joining the Central Ohio Risk Management Association (CORMA). Since the establishment of CORMA, the Self Insurance Fund is used to pay deductibles and small claims not covered by CORMA.

Economic Development Fund

This fund was established for the purpose of stimulating investment in Upper Arlington by providing incentives and maintaining an attractive economic climate for business retention and expansion.

Police and Fireman Pension Fund

The presentation is the consolidation of three funds. In accordance with Sections 741.09 and 741.10 of the Ohio Revised Code, the City is required to enact a tax levy of .6 of one mill for the purpose of paying the City's share of contributions for Police and Fire pensions. The third is the voted 1.08 mill Police & Fire Pension levy.

FUND SUMMARIES - Operations - General

2017 SUMMARY PRESENTATION

	General Fund	EMS Billing Fund	Civil Service Fund	Self Insurance Fund	Economic Development Fund	Police and Fireman Pension Fund	Total General Operations
Revenues:							
Income tax	\$ 18,204,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 18,204,000
Property tax	6,672,000	-	-	-	-	2,736,000	9,408,000
Local government fund	1,050,000	-	-	-	-	-	1,050,000
Investment earnings	425,000	-	-	7,000	-	-	432,000
Charge for services	1,000,000	630,000	-	-	-	-	1,630,000
Other revenue	2,445,000	-	-	10,000	-	-	2,455,000
Total revenues	29,796,000	630,000	-	17,000	-	2,736,000	33,179,000
Expenditures:							
<i>Operating</i>							
Public Safety	16,328,400	190,000	-	-	-	-	16,518,400
Parks and Recreation	3,341,450	-	-	-	-	-	3,341,450
Community Development	894,500	-	-	-	-	-	894,500
Public Services	1,998,550	-	-	-	-	-	1,998,550
Administrative Direction	2,247,350	-	-	-	500,000	-	2,747,350
Administrative Support	4,605,400	-	25,000	-	-	-	4,630,400
General administration	2,357,700	-	-	50,000	-	-	2,407,700
<i>Debt service</i>							
Debt payments	-	275,100	-	-	-	-	275,100
Total expenditures	31,773,350	465,100	25,000	50,000	500,000	-	32,813,450
Net revenue over/(under) expenditures	(1,977,350)	164,900	(25,000)	(33,000)	(500,000)	2,736,000	365,550
Other financing sources/(uses) and intra-city services							
Intra-city services	66,000	-	-	-	-	-	66,000
Transfers/Advances in**	2,961,000	128,500	25,000	-	500,000	-	3,614,500
Transfers/Advances out	(1,493,700)	(434,000)	-	-	-	(2,736,000)	(4,663,700)
Total other financing sources(uses) and intra-city services	1,533,300	(305,500)	25,000	-	500,000	(2,736,000)	(983,200)
Net change in fund balance	(444,050)	(140,600)	-	(33,000)	-	-	(617,650)
Beginning balance	16,979,507	140,683	12,197	745,547	26,063	883,423	18,787,420
Anticipated appropriation lapses	953,000	-	-	-	-	-	953,000
Ending balance	\$ 17,488,457	\$ 83	\$ 12,197	\$ 712,547	\$ 26,063	\$ 883,423	\$ 19,122,770

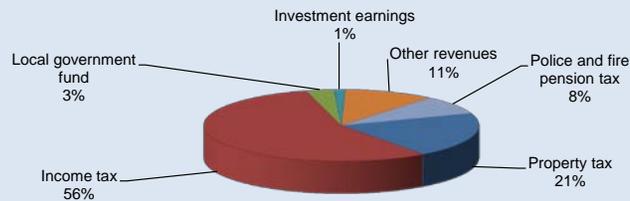
FUND SUMMARIES - Operations - General

GENERAL FUND

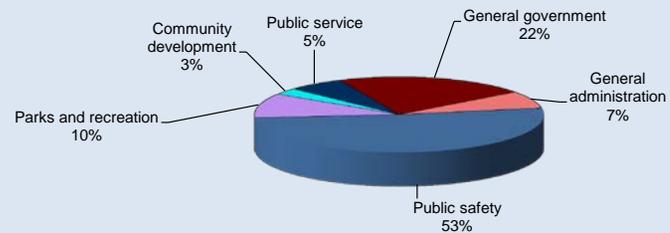
	2013 <u>Actual</u>	2014 <u>Actual</u>	2015 <u>Actual</u>	2016 <u>Projected</u>	2017 <u>Proposed</u>	2018 <u>Proposed</u>	2019 <u>Projected</u>	2020 <u>Projected</u>	2021 <u>Projected</u>
Revenues:									
Property tax	\$ 6,081,060	\$ 6,158,099	\$ 6,736,362	\$ 6,785,080	\$ 6,672,000	\$ 7,006,000	\$ 7,076,000	\$ 7,147,000	\$ 7,361,000
Income tax	14,550,954	14,652,906	16,189,948	17,760,000	18,204,000	18,660,000	19,126,000	20,094,000	20,597,000
Local government fund	1,092,808	1,063,942	1,114,871	1,050,000	1,050,000	1,050,000	1,050,000	1,050,000	1,050,000
Estate tax	3,008,886	612,302	65,805	-	-	-	-	-	-
Investment earnings	349,869	418,971	376,502	415,000	425,000	425,000	425,000	425,000	425,000
Other revenues	4,151,757	3,952,253	4,297,549	3,445,000	3,445,000	3,479,000	3,514,000	3,549,000	3,584,000
Police and fire pension tax	2,531,646	2,388,685	2,284,721	2,756,000	2,736,000	2,674,000	2,674,000	2,674,000	2,674,000
Total operating revenue	31,766,980	29,247,158	31,065,758	32,211,080	32,532,000	33,294,000	33,865,000	34,939,000	35,691,000
Other resources:									
Intra-city services reimbursement									
Tax Incentive Review Fund	5,000	5,000	5,000	5,000	5,000	5,000	5,000	5,000	5,000
Sanitary Sewer Fund	21,618	25,837	19,583	44,500	26,000	26,000	26,000	26,000	26,000
Water Surcharge Fund	13,055	13,610	13,833	13,500	15,000	15,000	15,000	15,000	15,000
Stormwater Management Fund	17,193	23,505	13,659	20,000	20,000	20,000	20,000	20,000	20,000
Transfers/Advances in	600,000	61,770	1,115,127	1,579,000	225,000	255,000	350,000	375,000	375,000
Total revenue and other resources	32,423,846	29,376,880	32,232,960	33,873,080	32,823,000	33,615,000	34,281,000	35,380,000	36,132,000

2017 Other revenues:	
Licenses and permits	\$ 1,000,000
Charges for services	1,000,000
Fines and forfeitures	325,000
Cable TV franchise fees	590,000
Hotel tax	180,000
Miscellaneous	250,000
Reimbursements	100,000
Total	\$ 3,445,000

General Fund Revenues - 2017 Proposed (by percentage)



General Fund Expenditures - 2017 Proposed (by percentage)



FUND SUMMARIES - Operations - General

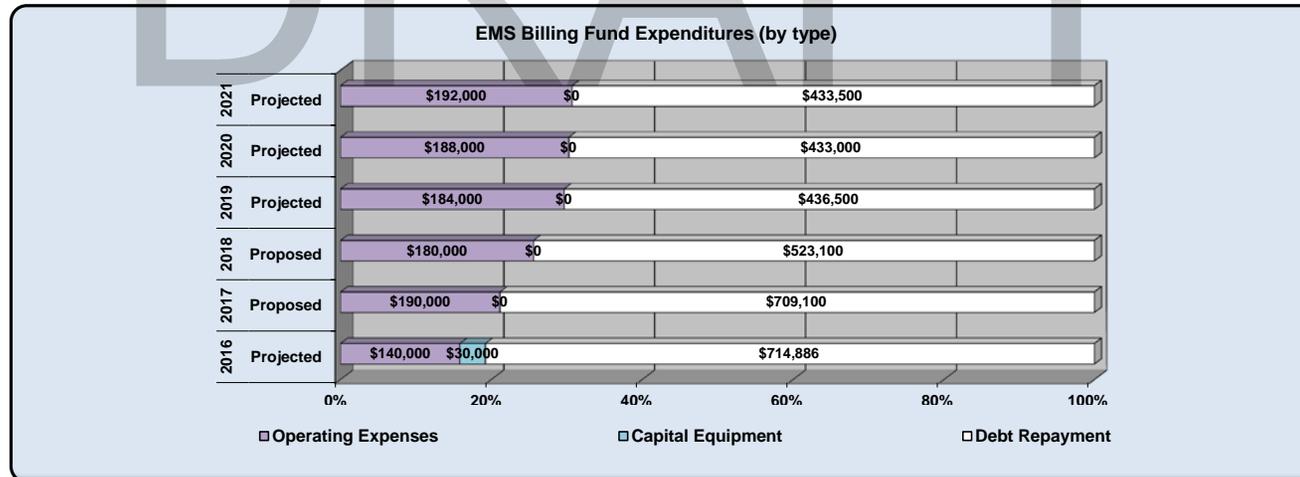
GENERAL FUND									
	2013	2014	2015	2016	2017	2018	2019	2020	2021
	Actual	Actual	Actual	Projected	Proposed	Proposed	FD Projected	FD Projected	FD Projected
Expenditures:									
Police Division	\$ 7,117,273	\$ 7,478,627	\$ 7,353,155	\$ 8,123,000	\$ 8,060,600	\$ 8,298,900	\$ 8,486,000	\$ 8,677,000	\$ 8,872,000
Fire Division	7,612,268	7,603,096	7,635,989	8,461,900	8,267,800	8,387,200	8,576,000	8,769,000	8,966,000
Parks and Recreation	2,743,067	2,732,458	2,785,694	3,297,050	3,341,450	3,408,750	3,485,000	3,563,000	3,643,000
Community Development	993,930	994,655	845,591	835,400	894,500	861,200	881,000	901,000	921,000
Public Service Administration	707,308	595,159	813,890	880,600	881,150	900,350	921,000	942,000	963,000
Public Works Division	976,671	1,050,915	991,205	1,128,450	1,117,400	1,139,300	1,165,000	1,191,000	1,218,000
City Manager	726,220	628,014	806,859	1,067,149	1,038,650	1,060,250	1,084,000	1,108,000	1,133,000
City Attorney	681,821	710,736	649,786	765,900	770,100	786,300	804,000	822,000	840,000
City Clerk	228,060	213,484	246,182	285,100	314,600	310,900	318,000	325,000	332,000
City Council	118,958	129,555	118,874	139,600	124,000	124,000	127,000	130,000	133,000
Clerk of court	294,941	336,793	375,191	456,150	474,300	479,100	490,000	501,000	512,000
Finance and Administrative Services	1,024,996	1,012,907	1,035,934	1,145,400	1,188,100	1,212,500	1,240,000	1,268,000	1,297,000
Information Technology	810,042	876,565	928,018	1,049,300	1,163,400	1,176,000	1,202,000	1,229,000	1,257,000
Facilities Maintenance	751,788	929,056	1,001,691	1,497,000	1,499,600	1,182,600	1,300,000	1,300,000	1,300,000
Board of Health	211,472	217,988	254,111	265,222	280,000	280,000	286,000	292,000	299,000
General Administration	1,594,299	1,904,310	1,919,223	2,329,300	2,357,700	2,236,300	2,287,000	2,338,000	2,391,000
Total operating expenditures	26,593,114	27,414,318	27,761,393	31,726,521	31,773,350	31,843,650	32,652,000	33,356,000	34,077,000
Net revenue over (under) operating expenditures	5,830,732	1,962,562	4,471,567	2,146,559	1,049,650	1,771,350	1,629,000	2,024,000	2,055,000
Transfers/Advances out to other funds:									
Infrastructure Improvement Fund	750,000	750,000	750,000	750,000	750,000	750,000	750,000	750,000	750,000
Street Maintenance & Repair Fund	150,000	195,387	180,273	872,800	21,800	365,900	415,500	466,500	518,500
EMS Billing Fund	-	-	-	-	128,500	73,100	-	-	-
Civil Service Fund	20,000	10,000	10,000	10,000	25,000	25,000	25,000	25,000	25,000
Estate Tax Capital Projects Fund	5,729,372	612,302	-	-	-	-	-	-	-
Community Fiber Optic Fund	-	-	2,500,000	-	68,400	68,400	68,400	68,400	68,400
Solid Waste Fund	10,116	223,512	239,540	-	-	-	-	-	-
Water Surcharge Fund	-	916,117	-	-	-	-	-	-	-
Economic Development Fund	156,000	580,569	427,317	670,168	500,000	500,000	500,000	500,000	500,000
Kingsdale Core TIF Fund (advance)	-	-	1,000,000	-	-	-	-	-	-
Chelsea & Horizon Tax Funds	61,770	-	-	-	-	-	-	-	-
Tremont Road TIF (advance)	-	-	-	291,135	-	-	-	-	-
Arlington Centre TIF (advance)	-	-	-	5,000	-	-	-	-	-
Total expenditures and transfers out	33,470,372	30,702,205	32,868,523	34,325,624	33,267,050	33,626,050	34,410,900	35,165,900	35,938,900
Excess (def) of revenues & transfers in over expenditures & transfers out	(1,046,526)	(1,325,325)	(635,563)	(452,544)	(444,050)	(11,050)	(129,900)	214,100	193,100
Fund balances at beginning of year	19,308,243	18,355,148	17,059,435	16,480,051	16,979,507	17,488,457	18,432,407	19,282,507	20,497,607
Lapsed encumbrances/appropriations	93,431	29,612	56,179	-	-	-	-	-	-
Anticipated appropriation lapses (3%)	-	-	-	952,000	953,000	955,000	980,000	1,001,000	1,022,000
Fund balance at end of year	\$ 18,355,148	\$ 17,059,435	\$ 16,480,051	\$ 16,979,507	\$ 17,488,457	\$ 18,432,407	\$ 19,282,507	\$ 20,497,607	\$ 21,712,707
Breakdown of fund balance:									
Restricted ending fund balance	7,977,934	8,224,295	8,328,418	9,517,956	9,532,005	9,553,095	9,795,600	10,006,800	10,223,100
Unrestricted ending fund balance	10,377,214	8,835,140	8,151,633	7,461,551	7,956,452	8,879,312	9,486,907	10,490,807	11,489,607
Fund balance to operating expenditures	69%	62%	59%	54%	55%	58%	59%	61%	64%

FUND SUMMARIES - Operations - General

EMERGENCY MEDICAL SERVICES (EMS) BILLING FUND

	2013 Actual	2014 Actual	2015 Actual	2016 Projected	2017 Proposed	2018 Proposed	2019 Projected	2020 Projected	2021 Projected
Revenues:									
EMS fees	\$ 740,410	\$ 732,400	\$ 741,476	\$ 725,000	\$ 630,000	\$ 630,000	\$ 630,000	\$ 630,000	\$ 630,000
Transfers/Advances in General Fund	-	-	-	-	128,500	73,100	-	-	-
Lease proceeds	918,049	-	-	-	-	-	-	-	-
Total revenues	1,658,459	732,400	741,476	725,000	758,500	703,100	630,000	630,000	630,000
Expenditures:									
Operating	169,895	123,675	117,074	140,000	190,000	180,000	184,000	188,000	192,000
Lease payment	421,273	190,086	190,086	190,086	190,100	-	-	-	-
Lease payment (proposed)	-	-	-	85,000	85,000	85,000	-	-	-
Capital equipment	45,801	771,520	103,288	30,000	-	-	-	-	-
Transfers/Advances out General Bond Retirement Fund	449,485	445,873	440,349	439,800	434,000	438,100	436,500	433,000	433,500
Total expenditures	1,086,454	1,531,154	850,797	884,886	899,100	703,100	620,500	621,000	625,500
Excess (def) of revenues & transfer in over expenditures & transfers out	572,005	(798,754)	(109,321)	(159,886)	(140,600)	-	9,500	9,000	4,500
Fund balance at beginning of year	635,296	1,207,862	409,108	300,569	140,683	83	83	9,583	18,583
Lapsed appropriations	561	-	782	-	-	-	-	-	-
Fund balance at end of year	\$ 1,207,862	\$ 409,108	\$ 300,569	\$ 140,683	\$ 83	\$ 83	\$ 9,583	\$ 18,583	\$ 23,083

Debt repayment for the construction of Fire Station #2 and lease payments on the ladder truck purchased in 2012 and the medic vehicle purchased in 2016 are the major budgeted items in the fund. Revenues are expected to decline due to Clinton Township not electing to renew its agreement in 2016. The revenue is not projected to be sufficient to support the operations in 2017 and 2018. The presentation reflects the General Fund subsidizing these functions.



FUND SUMMARIES - Operations - General

CIVIL SERVICE FUND									
	2013 <u>Actual</u>	2014 <u>Actual</u>	2015 <u>Actual</u>	2016 <u>Projected</u>	2017 <u>Proposed</u>	2018 <u>Proposed</u>	2019 <u>Projected</u>	2020 <u>Projected</u>	2021 <u>Projected</u>
Revenues:									
Transfers in									
General Fund	\$ 20,000	\$ 10,000	\$ 10,000	\$ 10,000	\$ 25,000	\$ 25,000	\$ 25,000	\$ 25,000	\$ 25,000
Total revenues	<u>20,000</u>	<u>10,000</u>	<u>10,000</u>	<u>10,000</u>	<u>25,000</u>	<u>25,000</u>	<u>25,000</u>	<u>25,000</u>	<u>25,000</u>
Expenditures:									
Operating	8,750	21,520	12,717	25,000	25,000	25,000	25,000	25,000	25,000
Total expenditures	<u>8,750</u>	<u>21,520</u>	<u>12,717</u>	<u>25,000</u>	<u>25,000</u>	<u>25,000</u>	<u>25,000</u>	<u>25,000</u>	<u>25,000</u>
Excess (def) of revenues & transfers in over expenditures & transfers out	11,250	(11,520)	(2,717)	(15,000)	-	-	-	-	-
Fund balance at beginning of year	30,184	41,434	29,914	27,197	12,197	12,197	12,197	12,197	12,197
Fund balance at end of year	<u>\$ 41,434</u>	<u>\$ 29,914</u>	<u>\$ 27,197</u>	<u>\$ 12,197</u>	<u>\$ 12,197</u>	<u>\$ 12,197</u>	<u>\$ 12,197</u>	<u>\$ 12,197</u>	<u>\$ 12,197</u>

SELF INSURANCE FUND									
	2013 <u>Actual</u>	2014 <u>Actual</u>	2015 <u>Actual</u>	2016 <u>Projected</u>	2017 <u>Proposed</u>	2018 <u>Proposed</u>	2019 <u>Projected</u>	2020 <u>Projected</u>	2021 <u>Projected</u>
Revenues:									
Investment earnings	\$ 5,912	\$ 7,361	\$ 6,021	\$ 7,000	\$ 7,000	\$ 7,000	\$ 7,000	\$ 7,000	\$ 7,000
Reimbursements	25,603	35,577	36,663	60,000	10,000	10,000	10,000	10,000	10,000
Total revenues	<u>31,515</u>	<u>42,938</u>	<u>42,684</u>	<u>67,000</u>	<u>17,000</u>	<u>17,000</u>	<u>17,000</u>	<u>17,000</u>	<u>17,000</u>
Expenditures:									
Liability/property damage payments	35,117	64,258	14,017	50,000	50,000	50,000	50,000	50,000	50,000
Total expenditures	<u>35,117</u>	<u>64,258</u>	<u>14,017</u>	<u>50,000</u>	<u>50,000</u>	<u>50,000</u>	<u>50,000</u>	<u>50,000</u>	<u>50,000</u>
Excess (def) of revenues & transfers in over expenditures & transfers out	(3,602)	(21,320)	28,667	17,000	(33,000)	(33,000)	(33,000)	(33,000)	(33,000)
Fund balance at beginning of year	724,802	721,200	699,880	728,547	745,547	712,547	679,547	646,547	613,547
Fund balance at end of year	<u>\$ 721,200</u>	<u>\$ 699,880</u>	<u>\$ 728,547</u>	<u>\$ 745,547</u>	<u>\$ 712,547</u>	<u>\$ 679,547</u>	<u>\$ 646,547</u>	<u>\$ 613,547</u>	<u>\$ 580,547</u>

FUND SUMMARIES - Operations - General

ECONOMIC DEVELOPMENT FUND

	2013 Actual	2014 Actual	2015 Actual	2016 Projected	2017 Proposed	2018 Proposed	2019 Projected	2020 Projected	2021 Projected
Revenues:									
Transfers in									
General Fund	\$ 156,000	\$ 580,569	\$ 427,314	\$ 670,168	\$ 500,000	\$ 500,000	\$ 500,000	\$ 500,000	\$ 500,000
Total revenues	<u>156,000</u>	<u>580,569</u>	<u>427,314</u>	<u>670,168</u>	<u>500,000</u>	<u>500,000</u>	<u>500,000</u>	<u>500,000</u>	<u>500,000</u>
Expenditures:									
Operating	51,347	26,612	26,873	25,000	25,000	25,000	25,000	25,000	25,000
Contractual incentive payments	274,811	50,000	225,610	836,667	192,000	-	190,000	170,000	60,000
Clinton Twp. revenue sharing	25,388	26,671	23,044	20,000	20,000	-	-	-	-
Dublin School revenue sharing	219,921	52,711	175,724	211,000	220,000	200,000	60,000	30,000	-
Amount available for current year projects	-	-	-	60,356	43,000	275,000	225,000	275,000	415,000
Total expenditures	<u>571,467</u>	<u>155,994</u>	<u>451,251</u>	<u>1,153,023</u>	<u>500,000</u>	<u>500,000</u>	<u>500,000</u>	<u>500,000</u>	<u>500,000</u>
Excess (def) of revenues & transfers in over expenditures & transfers out	(415,467)	424,575	(23,937)	(482,855)	-	-	-	-	-
Fund balance at beginning of year	373,747	8,280	482,855	508,918	26,063	26,063	26,063	26,063	26,063
Lapsed encumbrances	50,000	50,000	50,000	-	-	-	-	-	-
Fund balance at end of year	<u>\$ 8,280</u>	<u>\$ 482,855</u>	<u>\$ 508,918</u>	<u>\$ 26,063</u>	<u>\$ 26,063</u>	<u>\$ 26,063</u>	<u>\$ 26,063</u>	<u>\$ 26,063</u>	<u>\$ 26,063</u>

In January 1999, this fund was established for the purpose of stimulating investment in Upper Arlington by providing incentives and maintaining an attractive economic climate for business retention and expansion.

POLICE AND FIREMEN PENSION FUNDS

	2013 Actual	2014 Actual	2015 Actual	2016 Projected	2017 Proposed	2018 Proposed	2019 Projected	2020 Projected	2021 Projected
Revenues:									
Property tax	\$ 2,591,318	\$ 2,629,586	\$ 2,699,503	\$ 2,711,000	\$ 2,736,000	\$ 2,674,000	\$ 2,674,000	\$ 2,674,000	\$ 2,674,000
Total revenues	<u>2,591,318</u>	<u>2,629,586</u>	<u>2,699,503</u>	<u>2,711,000</u>	<u>2,736,000</u>	<u>2,674,000</u>	<u>2,674,000</u>	<u>2,674,000</u>	<u>2,674,000</u>
Expenditures:									
Transfers out									
General Fund	2,531,646	2,388,685	2,284,721	2,756,000	2,736,000	2,674,000	2,674,000	2,674,000	2,674,000
Total expenditures	<u>2,531,646</u>	<u>2,388,685</u>	<u>2,284,721</u>	<u>2,756,000</u>	<u>2,736,000</u>	<u>2,674,000</u>	<u>2,674,000</u>	<u>2,674,000</u>	<u>2,674,000</u>
Excess (def) of revenues & transfers in over expenditures & transfers out	59,672	240,901	414,782	(45,000)	-	-	-	-	-
Fund balance at beginning of year	213,068	272,740	513,641	928,423	883,423	883,423	883,423	883,423	883,423
Fund balance at end of year	<u>\$ 272,740</u>	<u>\$ 513,641</u>	<u>\$ 928,423</u>	<u>\$ 883,423</u>					

The revenue is a combination of permanent .6 inside millage and a five-year 1.08-mil voted levy. The five-year voted levy will expire in 2017. As a result, a renewal has been placed on the November 8, 2016 ballot (Issue 38) at a reduced rate of .97 mills. The amounts projected for 2018-2021 include estimates as if the voted levy is renewed at the reduced millage.



FUND SUMMARIES – Operations – Restricted

These funds are those whose resources are derived from specific taxes, grants, or other restricted or committed resources. The use and limitation of these funds are specified by City ordinance or federal or state statutes.

Street Maintenance and Repair Fund

The resources of this fund are restricted as to use by the State of Ohio's Constitution. Permitted services are related to the maintenance and reconstruction of the City's streets, streetlights, and traffic signals. The revenue is obtained from gasoline taxes and motor vehicle licenses.

Lifelong Learning and Leisure Fund

This fund receives tuition revenue from residents attending programs provided by the City. Each program offered has its own tuition rate to ensure that both the program and the fund are self-sufficient. The revenue generated is used to pay the instructors and any material costs.

Tree Planting Fund

This fund was established to receive money donated by residents for the furtherance of tree planting and care-related arboricultural activities.

Neighborhood Lighting Utility Fund

The Neighborhood Utility Fund was established to account for the maintenance of neighborhood lights. The Neighborhood Lighting Utility Board adopted a \$35.00 maintenance fee, which is billed on an annual basis. The fees deposited into this fund are used for the upkeep of the lights.

Clerk of Courts Fund

The Clerk of Courts Fund was created to account for fees established by the Rules of Court. The revenue is to be used to pay the cost of computerization and the ongoing updates associated with the computerization of the Clerk of Courts' office. The current fee is \$3.00 per case.

Mayor's Court Computer Fund

Authorized by the State of Ohio and enacted by the City, a fee of \$3.00 is collected on each case in Mayor's Court to be used to pay the cost of computerization, and the ongoing updates associated with computerization.

Mayor's Court Special Projects Fund

A fee of \$10.00 is collected on each case in Mayor's Court to be used to pay the cost of special projects of the court including, but not limited to, the acquisition or rehabilitation of facilities, the acquisition of equipment, the hiring and training of staff, community service programs, mediation or dispute resolution services, the employment of magistrates, the training and education of magistrates, and other related services.

UA Visitor's Bureau Fund

The Upper Arlington Visitor's Bureau Fund was established to account for 1.5% of the hotel/motel excise tax pursuant to Section 5739.09 of the Ohio Revised Code.

Tax Incentive Review Fund

The Tax Incentive Review Fund was established to receive deposits of fees required when agreements are entered into under Section 3735 of the Ohio Revised Code. These fees are used exclusively for the purpose of performing the duties of the Tax Incentive Review Board.

FUND SUMMARIES – Operations – Restricted

Law Enforcement Fund

This fund receives money from the seizure of tangible property relinquished in accordance with the Contraband Seizure Forfeiture Act. Funds can only be used for law enforcement purposes.

Enforcement Education Fund

The revenue of this fund is derived from “Operating a Motor Vehicle While Intoxicated” (OVI) convictions, and is used to pay the costs incurred in both enforcing and informing the public of the laws governing operation of a motor vehicle while under the influence of alcohol.

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FUND SUMMARIES - Operations - Restricted

2017 SUMMARY PRESENTATION

	Street Construction Maintenance and Repair Fund	Life Long Learning and Leisure Fund	Tree Planting Fund	Neighborhood Lighting Fund	Clerk of Courts Fund	Mayor's Court Computer Fund	Mayor's Court Special Project Fund
Revenues:							
Gas, BMV fees	\$ 1,625,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Investment earnings	500	-	-	5,000	-	-	-
Charge for services	-	175,000	-	63,000	-	-	-
Other revenue	8,000	-	13,500	-	10,000	10,000	30,000
Total revenues	1,633,500	175,000	13,500	68,000	10,000	10,000	30,000
Expenditures:							
<i>Operating</i>							
Public Safety	-	-	-	-	-	-	-
Parks and Recreation	-	173,500	20,000	-	-	-	-
Public Services	2,044,400	-	-	90,300	-	-	-
Administrative support	-	-	-	-	17,000	11,600	24,000
<i>Capital Outlay</i>							
Capital equipment	-	-	-	-	15,000	15,000	-
Total expenditures	2,044,400	173,500	20,000	90,300	32,000	26,600	24,000
Net revenue over/(under) expenditures	(410,900)	1,500	(6,500)	(22,300)	(22,000)	(16,600)	6,000
Other financing sources/(uses) and intra-city services							
Intra-city services	-	-	-	-	-	-	-
Transfer/Advances in	21,800	-	-	-	-	-	-
Total other financing sources(uses) and intra-city services	21,800	-	-	-	-	-	-
Net change in fund balance	(389,100)	1,500	(6,500)	(22,300)	(22,000)	(16,600)	6,000
Beginning balance	389,186	115,755	67,111	490,892	36,879	59,441	95,103
Ending balance	\$ 86	\$ 117,255	\$ 60,611	\$ 468,592	\$ 14,879	\$ 42,841	\$ 101,103

UA Visitor's Bureau Fund	Tax Incentive Review Fund	Law Enforcement Fund	Enforcement Education Fund	Total Restricted Operations
\$ -	\$ -	\$ -	\$ -	\$ 1,625,000
-	-	10,000	-	15,500
-	-	-	-	238,000
60,000	5,000	105,000	3,000	244,500
60,000	5,000	115,000	3,000	2,123,000

-	-	294,400	2,500	296,900
-	-	-	-	193,500
-	-	-	-	2,134,700
60,000	-	-	-	112,600
-	-	28,000	-	58,000
60,000	-	322,400	2,500	2,795,700

-	5,000	(207,400)	500	(672,700)
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-	(5,000)	-	-	(5,000)
-	-	-	-	21,800

-	(5,000)	-	-	16,800
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-	-	(207,400)	500	(655,900)
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193,249	25,936	677,546	13,910	2,165,008
\$ 193,249	\$ 25,936	\$ 470,146	\$ 14,410	\$ 1,509,108

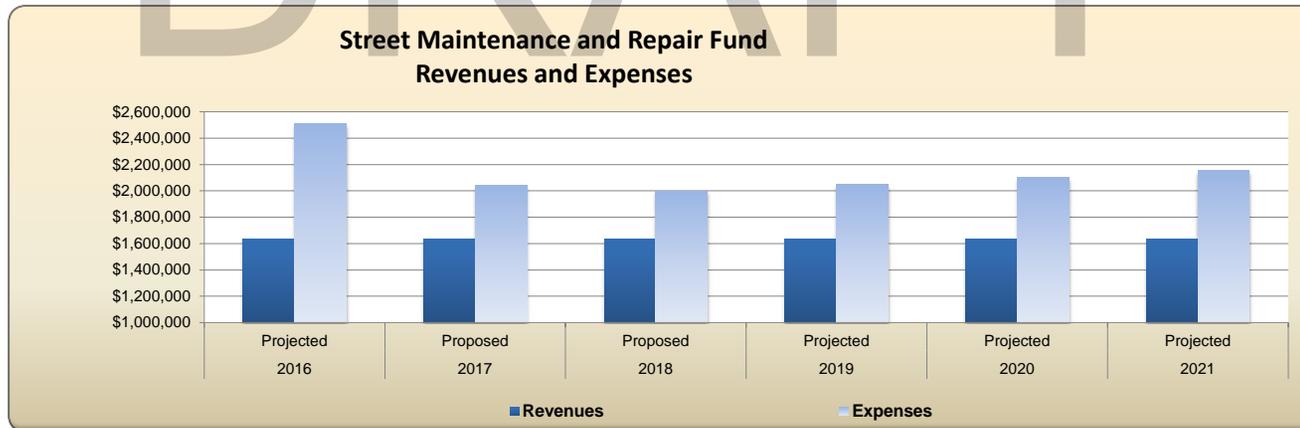
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FUND SUMMARIES - Operations - Restricted

STREET MAINTENANCE AND REPAIR FUND

	2013 Actual	2014 Actual	2015 Actual	2016 Projected	2017 Proposed	2018 Proposed	2019 Projected	2020 Projected	2021 Projected
Revenues:									
Gasoline tax	\$ 1,160,040	\$ 1,172,324	\$ 1,189,760	\$ 1,175,000	\$ 1,175,000	\$ 1,175,000	\$ 1,175,000	\$ 1,175,000	\$ 1,175,000
Motor vehicle license tax	450,382	454,110	462,312	450,000	450,000	450,000	450,000	450,000	450,000
Investment earnings	1,037	611	1,023	4,000	500	500	500	500	500
Miscellaneous revenues	8,991	16,100	8,900	8,000	8,000	8,000	8,000	8,000	8,000
Reimbursements	-	10,227	47,948	-	-	-	-	-	-
Transfers/Advances in General Fund	150,000	195,387	180,273	872,800	21,800	365,900	415,500	466,500	518,500
Total revenues	1,770,450	1,848,759	1,890,216	2,509,800	1,655,300	1,999,400	2,049,000	2,100,000	2,152,000
Expenditures:									
Public Works Division									
Operating	1,182,395	1,284,354	998,128	1,404,700	1,281,550	1,302,650	1,335,000	1,368,000	1,402,000
Capital equipment	-	-	-	500,000	-	-	-	-	-
Public Service Administration									
Operating	617,010	689,366	541,930	606,300	762,850	696,750	714,000	732,000	750,000
Total expenditures	1,799,405	1,973,720	1,540,058	2,511,000	2,044,400	1,999,400	2,049,000	2,100,000	2,152,000
Excess (def) of revenues & transfers in over expenditures & transfers out	(28,955)	(124,961)	350,158	(1,200)	(389,100)	-	-	-	-
Fund balance at beginning of year	183,906	161,679	40,228	390,386	389,186	86	86	86	86
Lapsed encumbrances	6,728	3,510	-	-	-	-	-	-	-
Fund balance at end of year	\$ 161,679	\$ 40,228	\$ 390,386	\$ 389,186	\$ 86	\$ 86	\$ 86	\$ 86	\$ 86

The Street Maintenance and Repair Fund accounts for approximately \$1.6 million in gasoline and motor vehicle license fees, and is used exclusively for the operations of maintaining the streets. The revenue is not projected to be sufficient to support the operations in 2017 and beyond. The presentation reflects the General Fund subsidizing these functions.



FUND SUMMARIES - Operations - Restricted

LIFE LONG LEARNING AND LEISURE FUND

	2013 Actual	2014 Actual	2015 Actual	2016 Projected	2017 Proposed	2018 Proposed	2019 Projected	2020 Projected	2021 Projected
Revenues:									
Charges for services	\$ 159,008	\$ 178,721	\$ 160,705	\$ 175,000	\$ 175,000	\$ 175,000	\$ 175,000	\$ 175,000	\$ 175,000
Total revenues	<u>159,008</u>	<u>178,721</u>	<u>160,705</u>	<u>175,000</u>	<u>175,000</u>	<u>175,000</u>	<u>175,000</u>	<u>175,000</u>	<u>175,000</u>
Expenditures:									
Recreation programs	142,255	165,961	147,307	168,900	173,500	175,100	175,000	175,000	175,000
Total expenditures	<u>142,255</u>	<u>165,961</u>	<u>147,307</u>	<u>168,900</u>	<u>173,500</u>	<u>175,100</u>	<u>175,000</u>	<u>175,000</u>	<u>175,000</u>
Excess (def) of revenues & transfers in over expenditures & transfers out	16,753	12,760	13,398	6,100	1,500	(100)	-	-	-
Fund balance at beginning of year	66,744	83,497	96,257	109,655	115,755	117,255	117,155	117,155	117,155
Fund balance at end of year	<u>\$ 83,497</u>	<u>\$ 96,257</u>	<u>\$ 109,655</u>	<u>\$ 115,755</u>	<u>\$ 117,255</u>	<u>\$ 117,155</u>	<u>\$ 117,155</u>	<u>\$ 117,155</u>	<u>\$ 117,155</u>

TREE PLANTING FUND

	2013 Actual	2014 Actual	2015 Actual	2016 Projected	2017 Proposed	2018 Proposed	2019 Projected	2020 Projected	2021 Projected
Revenues:									
Tree planting donations	\$ 21,159	\$ 27,160	\$ 17,514	\$ 13,500	\$ 13,500	\$ 13,500	\$ 13,500	\$ 13,500	\$ 13,500
Total revenues	<u>21,159</u>	<u>27,160</u>	<u>17,514</u>	<u>13,500</u>	<u>13,500</u>	<u>13,500</u>	<u>13,500</u>	<u>13,500</u>	<u>13,500</u>
Expenditures:									
Operating	9,230	19,029	14,601	20,000	20,000	20,000	20,000	20,000	20,000
Total expenditures	<u>9,230</u>	<u>19,029</u>	<u>14,601</u>	<u>20,000</u>	<u>20,000</u>	<u>20,000</u>	<u>20,000</u>	<u>20,000</u>	<u>20,000</u>
Excess (def) of revenues & transfer in over expenditures & transfers out	11,929	8,131	2,913	(6,500)	(6,500)	(6,500)	(6,500)	(6,500)	(6,500)
Fund balance at beginning of year	50,638	62,567	70,698	73,611	67,111	60,611	54,111	47,611	41,111
Fund balance at end of year	<u>\$ 62,567</u>	<u>\$ 70,698</u>	<u>\$ 73,611</u>	<u>\$ 67,111</u>	<u>\$ 60,611</u>	<u>\$ 54,111</u>	<u>\$ 47,611</u>	<u>\$ 41,111</u>	<u>\$ 34,611</u>

FUND SUMMARIES - Operations - Restricted

NEIGHBORHOOD LIGHTING FUND

	2013 Actual	2014 Actual	2015 Actual	2016 Projected	2017 Proposed	2018 Proposed	2019 Projected	2020 Projected	2021 Projected
Revenues:									
Investment earnings	\$ 3,917	\$ 5,119	\$ 4,573	\$ 5,000	\$ 5,000	\$ 5,000	\$ 5,000	\$ 5,000	\$ 5,000
Maintenance fees	63,262	62,612	63,735	62,500	63,000	63,000	63,000	63,000	63,000
Total revenues	67,179	67,731	68,308	67,500	68,000	68,000	68,000	68,000	68,000
Expenditures:									
Operating	36,782	37,743	33,441	114,600	90,300	90,900	93,000	95,000	97,000
Total expenditures	36,782	37,743	33,441	114,600	90,300	90,900	93,000	95,000	97,000
Excess (def) of revenues & transfers in over expenditures & transfers out	30,397	29,988	34,867	(47,100)	(22,300)	(22,900)	(25,000)	(27,000)	(29,000)
Fund balance at beginning of year	442,654	473,051	503,125	537,992	490,892	468,592	445,692	420,692	393,692
Lapsed encumbrances	-	86	-	-	-	-	-	-	-
Fund balance at end of year	\$ 473,051	\$ 503,125	\$ 537,992	\$ 490,892	\$ 468,592	\$ 445,692	\$ 420,692	\$ 393,692	\$ 364,692

CLERK OF COURTS FUND

	2013 Actual	2014 Actual	2015 Actual	2016 Projected	2017 Proposed	2018 Proposed	2019 Projected	2020 Projected	2021 Projected
Revenues:									
Court fees	\$ 8,871	\$ 9,431	\$ 10,101	\$ 10,000	\$ 10,000	\$ 10,000	\$ 10,000	\$ 10,000	\$ 10,000
Total revenues	8,871	9,431	10,101	10,000	10,000	10,000	10,000	10,000	10,000
Expenditures:									
Operating	8,811	13,211	10,587	19,500	17,000	17,000	10,000	10,000	10,000
Capital equipment	-	-	-	7,500	15,000	-	-	-	-
Total expenditures	8,811	13,211	10,587	27,000	32,000	17,000	10,000	10,000	10,000
Excess (def) of revenues & transfers in over expenditures & transfers out	60	(3,780)	(486)	(17,000)	(22,000)	(7,000)	-	-	-
Fund balance at beginning of year	58,050	58,110	54,330	53,879	36,879	14,879	7,879	7,879	7,879
Lapsed encumbrances	-	-	35	-	-	-	-	-	-
Fund balance at end of year	\$ 58,110	\$ 54,330	\$ 53,879	\$ 36,879	\$ 14,879	\$ 7,879	\$ 7,879	\$ 7,879	\$ 7,879

FUND SUMMARIES - Operations - Restricted

MAYOR'S COURT COMPUTER FUND

	2013 Actual	2014 Actual	2015 Actual	2016 Projected	2017 Proposed	2018 Proposed	2019 Projected	2020 Projected	2021 Projected
Revenues:									
Court fees	\$ 8,871	\$ 9,430	\$ 10,101	\$ 10,500	\$ 10,000	\$ 10,000	\$ 10,000	\$ 10,000	\$ 10,000
Total revenues	<u>8,871</u>	<u>9,430</u>	<u>10,101</u>	<u>10,500</u>	<u>10,000</u>	<u>10,000</u>	<u>10,000</u>	<u>10,000</u>	<u>10,000</u>
Expenditures:									
Operating	10,039	9,145	8,056	17,200	11,600	11,600	10,000	10,000	10,000
Capital equipment	-	-	-	7,700	15,000	-	-	-	-
Total expenditures	<u>10,039</u>	<u>9,145</u>	<u>8,056</u>	<u>24,900</u>	<u>26,600</u>	<u>11,600</u>	<u>10,000</u>	<u>10,000</u>	<u>10,000</u>
Excess (def) of revenues & transfers in over expenditures & transfers out	(1,168)	285	2,045	(14,400)	(16,600)	(1,600)	-	-	-
Fund balance at beginning of year	72,679	71,511	71,796	73,841	59,441	42,841	41,241	41,241	41,241
Fund balance at end of year	<u>\$ 71,511</u>	<u>\$ 71,796</u>	<u>\$ 73,841</u>	<u>\$ 59,441</u>	<u>\$ 42,841</u>	<u>\$ 41,241</u>	<u>\$ 41,241</u>	<u>\$ 41,241</u>	<u>\$ 41,241</u>

MAYOR'S COURT SPECIAL PROJECT FUND

	2013 Actual	2014 Actual	2015 Actual	2016 Projected	2017 Proposed	2018 Proposed	2019 Projected	2020 Projected	2021 Projected
Revenues:									
Court fees	\$ 11,758	\$ 30,911	\$ 33,434	\$ 34,000	\$ 30,000	\$ 30,000	\$ 30,000	\$ 30,000	\$ 30,000
Total revenues	<u>11,758</u>	<u>30,911</u>	<u>33,434</u>	<u>34,000</u>	<u>30,000</u>	<u>30,000</u>	<u>30,000</u>	<u>30,000</u>	<u>30,000</u>
Expenditures:									
Operating	-	-	-	15,000	24,000	15,000	15,000	15,000	15,000
Total expenditures	<u>-</u>	<u>-</u>	<u>-</u>	<u>15,000</u>	<u>24,000</u>	<u>15,000</u>	<u>15,000</u>	<u>15,000</u>	<u>15,000</u>
Excess (def) of revenues & transfers in over expenditures & transfers out	11,758	30,911	33,434	19,000	6,000	15,000	15,000	15,000	15,000
Fund balance at beginning of year	-	11,758	42,669	76,103	95,103	101,103	116,103	131,103	146,103
Fund balance at end of year	<u>\$ 11,758</u>	<u>\$ 42,669</u>	<u>\$ 76,103</u>	<u>\$ 95,103</u>	<u>\$ 101,103</u>	<u>\$ 116,103</u>	<u>\$ 131,103</u>	<u>\$ 146,103</u>	<u>\$ 161,103</u>

FUND SUMMARIES - Operations - Restricted

UPPER ARLINGTON VISITOR'S BUREAU FUND									
	2013 <u>Actual</u>	2014 <u>Actual</u>	2015 <u>Actual</u>	2016 <u>Projected</u>	2017 <u>Proposed</u>	2018 <u>Proposed</u>	2019 <u>Projected</u>	2020 <u>Projected</u>	2021 <u>Projected</u>
Revenues:									
Other revenues	\$ 11,679	\$ 56,658	\$ 64,912	\$ 60,000	\$ 60,000	\$ 60,000	\$ 60,000	\$ 60,000	\$ 60,000
Total revenues	<u>11,679</u>	<u>56,658</u>	<u>64,912</u>	<u>60,000</u>	<u>60,000</u>	<u>60,000</u>	<u>60,000</u>	<u>60,000</u>	<u>60,000</u>
Expenditures:									
Operating	-	-	-	-	60,000	60,000	60,000	60,000	60,000
Total expenditures	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>60,000</u>	<u>60,000</u>	<u>60,000</u>	<u>60,000</u>	<u>60,000</u>
Excess (def) of revenues & transfers in over expenditures & transfers out	11,679	56,658	64,912	60,000	-	-	-	-	-
Fund balance at beginning of year	-	11,679	68,337	133,249	193,249	193,249	193,249	193,249	193,249
Fund balance at end of year	<u>\$ 11,679</u>	<u>\$ 68,337</u>	<u>\$ 133,249</u>	<u>\$ 193,249</u>	<u>\$ 193,249</u>	<u>\$ 193,249</u>	<u>\$ 193,249</u>	<u>\$ 193,249</u>	<u>\$ 193,249</u>

The Upper Arlington Visitor's Bureau Fund was established to fund the activities of the internal UA Visitor's Bureau. The newly created UA Visitor's Bureau will focus on expanding marketing activities to visitors to the community.

TAX INCENTIVE REVIEW FUND									
	2013 <u>Actual</u>	2014 <u>Actual</u>	2015 <u>Actual</u>	2016 <u>Projected</u>	2017 <u>Proposed</u>	2018 <u>Proposed</u>	2019 <u>Projected</u>	2020 <u>Projected</u>	2021 <u>Projected</u>
Revenues:									
Miscellaneous revenues	\$ 3,874	\$ 5,917	\$ 5,418	\$ 5,000	\$ 5,000	\$ 5,000	\$ 5,000	\$ 5,000	\$ 5,000
Total revenues	<u>3,874</u>	<u>5,917</u>	<u>5,418</u>	<u>5,000</u>	<u>5,000</u>	<u>5,000</u>	<u>5,000</u>	<u>5,000</u>	<u>5,000</u>
Expenditures:									
Intra-city services	5,000	5,000	5,000	5,000	5,000	5,000	5,000	5,000	5,000
Total expenditures	<u>5,000</u>	<u>5,000</u>	<u>5,000</u>	<u>5,000</u>	<u>5,000</u>	<u>5,000</u>	<u>5,000</u>	<u>5,000</u>	<u>5,000</u>
Excess (def) of revenues & transfers in over expenditures & transfers out	(1,126)	917	418	-	-	-	-	-	-
Fund balance at beginning of year	25,727	24,601	25,518	25,936	25,936	25,936	25,936	25,936	25,936
Fund balance at end of year	<u>\$ 24,601</u>	<u>\$ 25,518</u>	<u>\$ 25,936</u>	<u>\$ 25,936</u>	<u>\$ 25,936</u>	<u>\$ 25,936</u>	<u>\$ 25,936</u>	<u>\$ 25,936</u>	<u>\$ 25,936</u>

FUND SUMMARIES - Operations - Restricted

LAW ENFORCEMENT FUND

	2013 Actual	2014 Actual	2015 Actual	2016 Projected	2017 Proposed	2018 Proposed	2019 Projected	2020 Projected	2021 Projected
Revenues:									
Sale of forfeited property	\$ 113,366	\$ 256,858	\$ 196,071	\$ 75,000	\$ 100,000	\$ 100,000	\$ 100,000	\$ 100,000	\$ 100,000
Investment earnings	9,525	10,770	8,554	8,000	10,000	10,000	10,000	10,000	10,000
Reimbursements	7,376	9,897	638	7,500	5,000	5,000	7,500	7,500	7,500
Total revenues	<u>130,267</u>	<u>277,525</u>	<u>205,263</u>	<u>90,500</u>	<u>115,000</u>	<u>115,000</u>	<u>117,500</u>	<u>117,500</u>	<u>117,500</u>
Expenditures:									
Operating	191,074	245,140	230,737	288,000	294,400	300,900	308,000	211,200	117,500
Capital equipment	20,775	26,364	165,791	38,000	28,000	-	-	-	-
Total expenditures	<u>211,849</u>	<u>271,504</u>	<u>396,528</u>	<u>326,000</u>	<u>322,400</u>	<u>300,900</u>	<u>308,000</u>	<u>211,200</u>	<u>117,500</u>
Excess (def) of revenues & transfers in over expenditures & transfers out	(81,582)	6,021	(191,265)	(235,500)	(207,400)	(185,900)	(190,500)	(93,700)	-
Fund balance at beginning of year	1,179,872	1,098,290	1,104,311	913,046	677,546	470,146	284,246	93,746	46
Fund balance at end of year	<u>\$ 1,098,290</u>	<u>\$ 1,104,311</u>	<u>\$ 913,046</u>	<u>\$ 677,546</u>	<u>\$ 470,146</u>	<u>\$ 284,246</u>	<u>\$ 93,746</u>	<u>\$ 46</u>	<u>\$ 46</u>

ENFORCEMENT EDUCATION FUND

	2013 Actual	2014 Actual	2015 Actual	2016 Projected	2017 Proposed	2018 Proposed	2019 Projected	2020 Projected	2021 Projected
Revenues:									
Fine revenue	\$ 2,639	\$ 2,946	\$ 2,514	\$ 2,500	\$ 3,000	\$ 3,000	\$ 3,000	\$ 3,000	\$ 3,000
Total revenues	<u>2,639</u>	<u>2,946</u>	<u>2,514</u>	<u>2,500</u>	<u>3,000</u>	<u>3,000</u>	<u>3,000</u>	<u>3,000</u>	<u>3,000</u>
Expenditures:									
Operating	291	678	444	2,500	2,500	2,500	2,500	2,500	2,500
Capital equipment	-	4,070	-	-	-	-	-	-	-
Total expenditures	<u>291</u>	<u>4,748</u>	<u>444</u>	<u>2,500</u>	<u>2,500</u>	<u>2,500</u>	<u>2,500</u>	<u>2,500</u>	<u>2,500</u>
Excess (def) of revenues & transfers in over expenditures & transfers out	2,348	(1,802)	2,070	-	500	500	500	500	500
Fund balance at beginning of year	11,294	13,642	11,840	13,910	13,910	14,410	14,910	15,410	15,910
Fund balance at end of year	<u>\$ 13,642</u>	<u>\$ 11,840</u>	<u>\$ 13,910</u>	<u>\$ 13,910</u>	<u>\$ 14,410</u>	<u>\$ 14,910</u>	<u>\$ 15,410</u>	<u>\$ 15,910</u>	<u>\$ 16,410</u>

FUND SUMMARIES – Operations – Business

These funds are maintained in a manner similar to private business enterprises. The intent of the City is that the cost of providing goods or services to the general public on a continuing basis be financed or recovered primarily through user charges.

Solid Waste Management Fund

The operation of this fund is unique in that residents are required to affix a sticker on each bag or can of refuse that is set out for disposal. The revenue of the fund consists of an annual service fee and from the sale of solid waste disposal stickers. Funds are used to pay the operating costs associated with solid waste disposal. The City privatized this service in April of 2008. An optional premium “at-the-door” service is also offered for \$150 annually per household. The current fee for a sticker is \$2.90.

Sanitary Sewer Surcharge Fund

User surcharge fees fund the Sanitary Sewer Surcharge Fund. The user surcharge is based on each user’s water usage. The City does not bill residents directly for water and sanitary sewer services. The City of Columbus is responsible for providing water to the residents of Upper Arlington and bills for the service. Each bill contains a surcharge for the fees levied by City Council. With respect to the sanitary sewer system, the City is responsible for the installation and maintenance of the pipes. The disposal system is the responsibility of the City of Columbus.

Water Surcharge Fund

User surcharge fees fund the Water Surcharge Fund. The user surcharge is based on each customer’s water usage. The surcharge is applied in the same manner and on the same basis as the sanitary sewer surcharge. The City is responsible for the installation and upgrades to the system, and a pro-rata share of the cost of repairs for waterline breaks, which are allocated amongst all outlying municipalities who purchase water from the City of Columbus.

Stormwater Management Fund

The resources are derived from a \$45.00 yearly fee on each residential parcel. The fee for commercial property is based on the amount of impervious surface on the parcel. The fees are used to pay the operating expenses of the stormwater management utility, including the purchase of capital equipment, capital improvements, and the payment of principal and interest on debt issued for such purposes.

Swimming Pool Fund

Pursuant to C.O. Section 225.01, the fund was established by a management agreement between the City and the Upper Arlington Board of Education. In 2002, an agreement was reached between the City and the UA Board of Education to transfer ownership of the swimming pools, and as such, the City began including the Upper Arlington Swimming Pool Fund in the consolidated financial presentation. The transfer of ownership was completed in 2003. The fund is self-supporting through user fees.

FUND SUMMARIES - Operations - Business

2017 SUMMARY PRESENTATION

	Solid Waste Management Fund	Sanitary Sewer Surcharge Fund	Water Surcharge Fund	Stormwater Management Fund	Swimming Pool Fund	Total Business Operations
Revenues:						
Water, sewer & stormwater fees	\$ -	\$ 838,000	\$ 455,000	\$ 744,000	\$ -	\$ 2,037,000
Solid waste stickers	2,250,000	-	-	-	-	2,250,000
Swimming pool fees	-	-	-	-	675,000	675,000
Investment earnings	5,000	-	-	20,000	-	25,000
Charge for services	28,000	-	-	-	-	28,000
Other revenue	-	1,500	-	-	-	1,500
Total revenues	2,283,000	839,500	455,000	764,000	675,000	5,016,500
Expenditures:						
<i>Operating</i>						
Parks and Recreation	-	-	-	-	596,300	596,300
Public Services	2,176,500	786,000	331,000	415,800	-	3,709,300
<i>Capital outlay</i>						
Capital equipment	-	-	-	-	50,000	50,000
<i>Debt service</i>						
Debt payments	-	-	18,870	-	-	18,870
Total expenditures	2,176,500	786,000	349,870	415,800	646,300	4,374,470
Net Revenue over/(under) expenditures	106,500	53,500	105,130	348,200	28,700	642,030
Other financing sources/(uses) and intra-city services						
Intra-city services	-	(26,000)	(15,000)	(20,000)	-	(61,000)
Transfers/Advances out	-	(321,900)	(329,600)	(337,900)	-	(989,400)
Total other financing sources(uses) and intra-city services	-	(347,900)	(344,600)	(357,900)	-	(1,050,400)
Net change in fund balance	106,500	(294,400)	(239,470)	(9,700)	28,700	(408,370)
Beginning balance	266,282	1,183,935	595,197	1,794,802	361,228	4,201,444
Ending balance	\$ 372,782	\$ 889,535	\$ 355,727	\$ 1,785,102	\$ 389,928	\$ 3,793,074

FUND SUMMARIES - Operations - Business

SOLID WASTE FUND									
	2013 <u>Actual</u>	2014 <u>Actual</u>	2015 <u>Actual</u>	2016 <u>Projected</u>	2017 <u>Proposed</u>	2018 <u>Proposed</u>	2019 <u>Projected</u>	2020 <u>Projected</u>	2021 <u>Projected</u>
Revenues:									
Sale of stickers	\$ 1,411,736	\$ 1,383,769	\$ 1,481,261	\$ 1,450,000	\$ 1,450,000	\$ 1,450,000	\$ 1,450,000	\$ 1,450,000	\$ 1,450,000
Sale of recyclables	-	94	48	-	-	-	-	-	-
Annual service fee	536,222	501,088	502,769	800,000	800,000	800,000	800,000	800,000	800,000
Pass through premium fees	27,750	26,400	25,650	28,000	28,000	28,000	28,000	28,000	28,000
Miscellaneous revenues	-	-	-	-	-	-	-	-	-
Investment earnings	1,628	1,112	3,161	5,000	5,000	5,000	5,000	5,000	5,000
Transfers in									
General Fund	10,116	223,512	239,540	-	-	-	-	-	-
Total revenues	<u>1,987,452</u>	<u>2,135,975</u>	<u>2,252,429</u>	<u>2,283,000</u>	<u>2,283,000</u>	<u>2,283,000</u>	<u>2,283,000</u>	<u>2,283,000</u>	<u>2,283,000</u>
Expenditures:									
Solid waste disposal									
Refuse and recycling collection contract	1,680,327	1,728,169	1,768,540	1,777,500	1,777,500	1,795,000	1,813,000	1,831,000	1,849,000
Pass through premium fees	28,962	25,088	28,000	28,000	28,000	28,000	28,000	28,000	28,000
Medical exemption fees	-	2,225	1,000	6,000	6,000	6,000	6,000	6,000	6,000
Disposal fees	337,068	364,507	283,582	365,000	350,000	358,000	366,000	374,000	382,000
Miscellaneous (billing) fees	11,745	9,597	9,805	15,000	15,000	15,000	15,000	15,000	15,000
Total expenditures	<u>2,058,102</u>	<u>2,129,586</u>	<u>2,090,927</u>	<u>2,191,500</u>	<u>2,176,500</u>	<u>2,202,000</u>	<u>2,228,000</u>	<u>2,254,000</u>	<u>2,280,000</u>
Excess (def) of revenues & transfers in over expenditures & transfers out	(70,650)	6,389	161,502	91,500	106,500	81,000	55,000	29,000	3,000
Fund balance at beginning of year	69,209	-	13,280	174,782	266,282	372,782	453,782	508,782	537,782
Lapsed encumbrances	1,441	6,891	-	-	-	-	-	-	-
Fund balance at end of year	<u>\$ -</u>	<u>\$ 13,280</u>	<u>\$ 174,782</u>	<u>\$ 266,282</u>	<u>\$ 372,782</u>	<u>\$ 453,782</u>	<u>\$ 508,782</u>	<u>\$ 537,782</u>	<u>\$ 540,782</u>

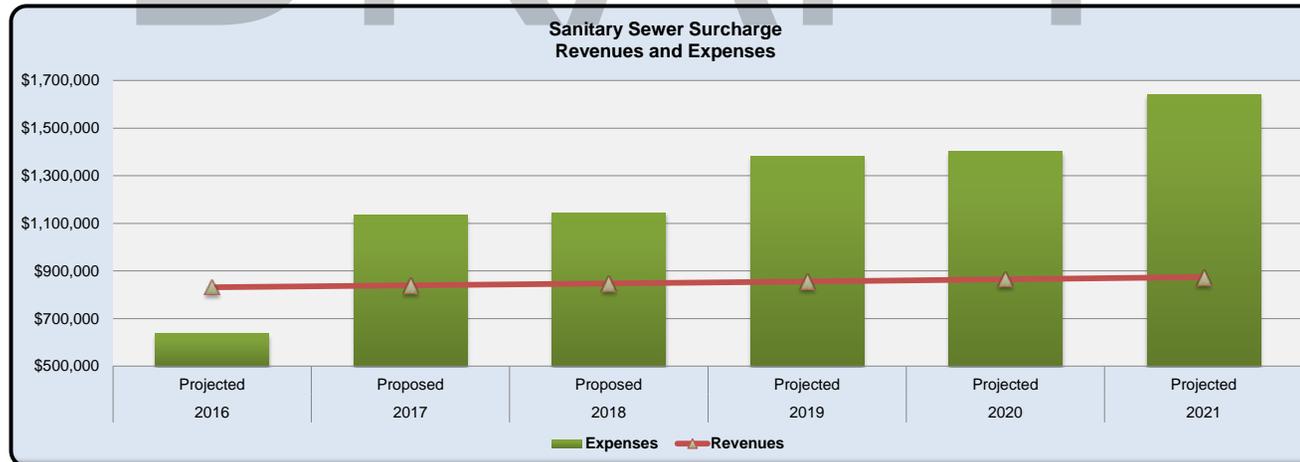
As of January 1, 2016, the annual fee was increased from \$40 to \$64. The sticker price remains at \$2.90. The combination of these two fees produce estimated revenue of \$2.2 million. The current fee structure was designed to cover costs for throughout the current solid waste contract which is set to expire April 2018. The fee structure will need to be reviewed annually so the operations remain self-sustaining.

FUND SUMMARIES - Operations - Business

SANITARY SEWER SURCHARGE FUND

	2013 Actual	2014 Actual	2015 Actual	2016 Projected	2017 Proposed	2018 Proposed	2019 Projected	2020 Projected	2021 Projected
Revenues:									
Sewer surcharge	\$ 839,665	\$ 774,520	\$ 831,477	\$ 830,000	\$ 838,000	\$ 846,000	\$ 854,000	\$ 863,000	\$ 872,000
Miscellaneous revenues	1,277	1,559	1,001	1,500	1,500	1,500	1,500	1,500	1,500
Total revenues	840,942	776,079	832,478	831,500	839,500	847,500	855,500	864,500	873,500
Expenditures:									
Public Works Division									
Operating	348,432	370,613	393,161	523,800	786,000	797,000	817,000	837,000	858,000
Capital equipment	65,204	104,022	16,010	15,000	-	-	-	-	-
Capital improvements	80,600	-	-	-	-	-	-	-	-
Intra-city services	21,618	25,837	19,583	44,500	26,000	26,000	26,000	26,000	26,000
Debt service on Issue 2 Loans	173,189	155,081	68,487	-	-	-	-	-	-
Transfers out									
Bond Retirement Fund (current)	-	-	-	53,700	53,600	53,600	53,500	53,700	53,600
Bond Retirement Fund (proposed)	-	-	-	-	268,300	267,900	485,300	486,000	703,400
Total expenditures	689,043	655,553	497,241	637,000	1,133,900	1,144,500	1,381,800	1,402,700	1,641,000
Excess (def) of revenues & transfers in over expenditures & transfers out	151,899	120,526	335,237	194,500	(294,400)	(297,000)	(526,300)	(538,200)	(767,500)
Fund balance at beginning of year	333,718	532,172	654,198	989,435	1,183,935	889,535	592,535	66,235	(471,965)
Lapsed encumbrances	46,555	1,500	-	-	-	-	-	-	-
Fund balance at end of year	\$ 532,172	\$ 654,198	\$ 989,435	\$ 1,183,935	\$ 889,535	\$ 592,535	\$ 66,235	\$ (471,965)	\$ (1,239,465)

The sewer surcharge fee is 18% of the cost of the commodity billed. The percentage surcharge allows the City to keep pace as commodity costs increase thereby providing cost recovery of maintaining the sewer system. Current rates are adequate to support annual maintenance and current debt service (related to sewer-related capital improvements) through 2019. The transfers to the General Bond Retirement Fund for repayment of proposed debt issuances represent the funds proportional share (based on the amount of sewer-related projects) of debt payments related to the issuance debt being proposed to fund the ten-year capital plan. The fee structure will need to be reviewed annually so the operations remain self-sustaining.

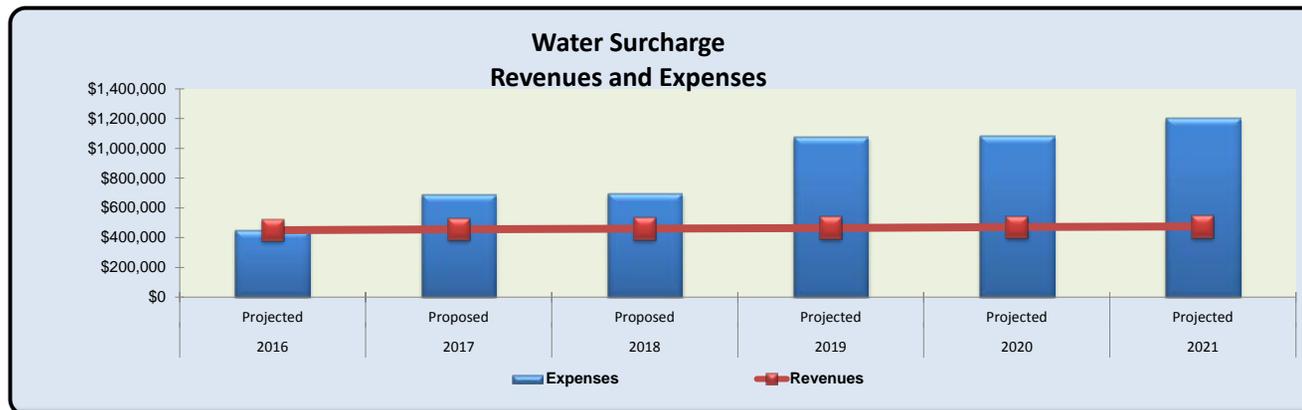


FUND SUMMARIES - Operations - Business

WATER SURCHARGE FUND

	2013 <u>Actual</u>	2014 <u>Actual</u>	2015 <u>Actual</u>	2016 <u>Projected</u>	2017 <u>Proposed</u>	2018 <u>Proposed</u>	2019 <u>Projected</u>	2020 <u>Projected</u>	2021 <u>Projected</u>
Revenues:									
Water surcharge	\$ 465,060	\$ 426,953	\$ 445,071	\$ 450,000	\$ 455,000	\$ 460,000	\$ 465,000	\$ 470,000	\$ 475,000
Transfers in									
General Fund	-	916,117	-	-	-	-	-	-	-
Total revenues	<u>465,060</u>	<u>1,343,070</u>	<u>445,071</u>	<u>450,000</u>	<u>455,000</u>	<u>460,000</u>	<u>465,000</u>	<u>470,000</u>	<u>475,000</u>
Expenditures:									
Public Works Division									
Operating	186,155	266,383	317,588	330,500	331,000	336,000	344,000	353,000	362,000
Capital equipment	-	136,737	15,511	700	-	-	-	-	-
Capital improvements	-	963,816	-	-	-	-	-	-	-
Intra-city services	13,055	13,610	13,833	13,500	15,000	15,000	15,000	15,000	15,000
Debt service on Issue 2 loans	128,937	114,505	90,639	54,755	18,870	18,870	18,870	18,870	18,870
Transfers out									
Bond Retirement Fund (current)	-	-	33,773	54,300	53,600	53,600	53,500	53,700	53,600
Bond Retirement Fund (proposed)	-	-	-	-	276,000	275,600	647,700	648,400	759,800
Total expenditures	<u>328,147</u>	<u>1,495,051</u>	<u>471,344</u>	<u>453,755</u>	<u>694,470</u>	<u>699,070</u>	<u>1,079,070</u>	<u>1,088,970</u>	<u>1,209,270</u>
Excess (def) of revenues & transfers in over expenditures & transfers out	136,913	(151,981)	(26,273)	(3,755)	(239,470)	(239,070)	(614,070)	(618,970)	(734,270)
Fund balance at beginning of year	635,236	774,589	622,608	598,952	595,197	355,727	116,657	(497,413)	(1,116,383)
Lapsed encumbrances	2,440	-	2,617	-	-	-	-	-	-
Fund balance at end of year	<u>\$ 774,589</u>	<u>\$ 622,608</u>	<u>\$ 598,952</u>	<u>\$ 595,197</u>	<u>\$ 355,727</u>	<u>\$ 116,657</u>	<u>\$ (497,413)</u>	<u>\$ (1,116,383)</u>	<u>\$ (1,850,653)</u>

The water surcharge to residents is 10% of the cost of the commodity billed. The percentage surcharge allows the City to keep pace as commodity costs increase thereby providing cost recovery of maintaining the water system. Current rates are adequate to support annual maintenance and current debt service (related to water-related capital improvements) through 2018. The transfers to the General Bond Retirement Fund for repayment of proposed debt issuances represent the funds proportional share (based on the amount of water-related projects) of debt payments related to the issuance debt being proposed to fund the ten-year capital plan. The fee structure will need to be reviewed annually so the operations remain self-sustaining.

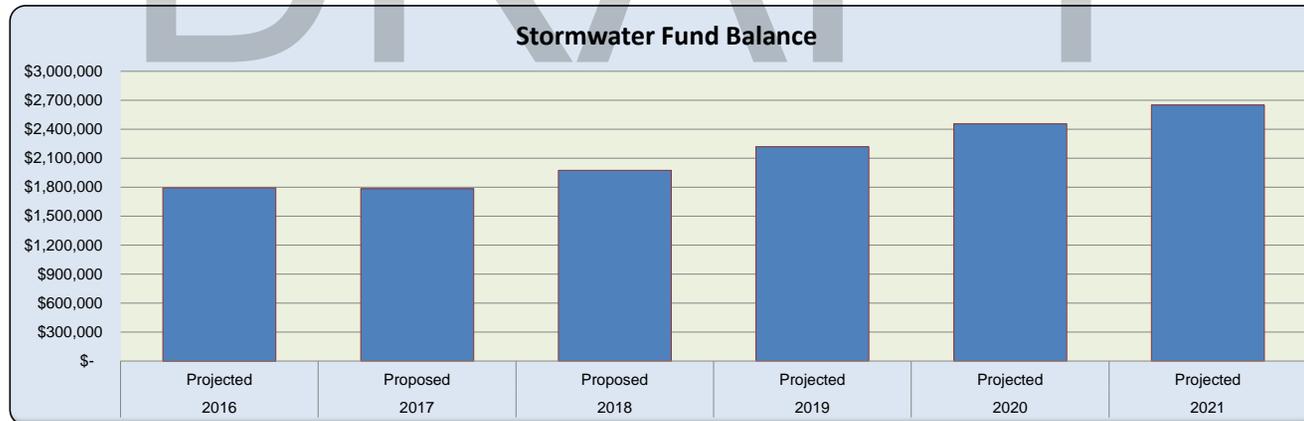


FUND SUMMARIES - Operations - Business

STORMWATER MANAGEMENT FUND

	2013 <u>Actual</u>	2014 <u>Actual</u>	2015 <u>Actual</u>	2016 <u>Projected</u>	2017 <u>Proposed</u>	2018 <u>Proposed</u>	2019 <u>Projected</u>	2020 <u>Projected</u>	2021 <u>Projected</u>
Revenues:									
Stormwater fees	\$ 740,073	\$ 752,367	\$ 740,397	\$ 744,000	\$ 744,000	\$ 744,000	\$ 744,000	\$ 744,000	\$ 744,000
Investment earnings	17,292	18,655	17,089	20,000	20,000	20,000	20,000	20,000	20,000
Total revenues	<u>757,365</u>	<u>771,022</u>	<u>757,486</u>	<u>764,000</u>	<u>764,000</u>	<u>764,000</u>	<u>764,000</u>	<u>764,000</u>	<u>764,000</u>
Expenditures:									
Public Works Division									
Operating	259,510	261,052	223,757	272,900	315,800	297,700	305,000	313,000	321,000
Sanitary sewer studies	280,888	34,188	29,325	100,000	100,000	100,000	-	-	-
Capital equipment	4,960	37,394	-	-	-	-	-	-	-
Capital improvements	376,962	203,995	-	-	-	-	-	-	-
Intra-city services	17,193	23,506	13,659	20,000	20,000	20,000	20,000	20,000	20,000
Transfers out									
Bond Retirement Fund (current)	182,600	179,400	252,946	293,200	287,900	107,100	107,000	107,300	107,100
Bond Retirement Fund (proposed)	-	-	-	-	50,000	50,000	85,900	86,000	121,900
Total expenditures	<u>1,122,113</u>	<u>739,535</u>	<u>519,687</u>	<u>686,100</u>	<u>773,700</u>	<u>574,800</u>	<u>517,900</u>	<u>526,300</u>	<u>570,000</u>
Excess (def) of revenues & transfers in over expenditures & transfers out	(364,748)	31,487	237,799	77,900	(9,700)	189,200	246,100	237,700	194,000
Fund balance at beginning of year	1,713,896	1,356,000	1,479,078	1,716,902	1,794,802	1,785,102	1,974,302	2,220,402	2,458,102
Lapsed encumbrances	6,852	91,591	25	-	-	-	-	-	-
Fund balance at end of year	<u>\$ 1,356,000</u>	<u>\$ 1,479,078</u>	<u>\$ 1,716,902</u>	<u>\$ 1,794,802</u>	<u>\$ 1,785,102</u>	<u>\$ 1,974,302</u>	<u>\$ 2,220,402</u>	<u>\$ 2,458,102</u>	<u>\$ 2,652,102</u>

The annual stormwater fee is \$45.00 on each residential parcel. The fee for commercial property is based on the amount of impervious surface on the parcel. Current rates are adequate to support annual maintenance and current/proposed debt service (related to stormwater-related capital improvements). The transfers to the General Bond Retirement Fund for repayment of proposed debt issuances represent the funds proportional share (based on the amount of stormwater-related projects) of debt payments related to the issuance debt being proposed to fund the ten-year capital plan.



FUND SUMMARIES - Operations - Business

UPPER ARLINGTON SWIMMING POOL FUND									
	2013 <u>Actual</u>	2014 <u>Actual</u>	2015 <u>Actual</u>	2016 <u>Projected</u>	2017 <u>Proposed</u>	2018 <u>Proposed</u>	2019 <u>Projected</u>	2020 <u>Projected</u>	2021 <u>Projected</u>
Revenues:									
Charges and fees	\$ 588,377	\$ 565,919	\$ 541,009	\$ 600,000	\$ 675,000	\$ 675,000	\$ 682,000	\$ 689,000	\$ 696,000
Total revenues	<u>588,377</u>	<u>565,919</u>	<u>541,009</u>	<u>600,000</u>	<u>675,000</u>	<u>675,000</u>	<u>682,000</u>	<u>689,000</u>	<u>696,000</u>
Expenditures:									
Operating	600,091	580,954	537,739	604,800	596,300	607,600	620,000	632,000	645,000
Capital equipment	-	44,301	61,226	-	50,000	-	-	-	-
Total expenditures	<u>600,091</u>	<u>625,255</u>	<u>598,965</u>	<u>604,800</u>	<u>646,300</u>	<u>607,600</u>	<u>620,000</u>	<u>632,000</u>	<u>645,000</u>
Excess (def) of revenues & transfers in over expenditures & transfers out	(11,714)	(59,336)	(57,956)	(4,800)	28,700	67,400	62,000	57,000	51,000
Fund balance at beginning of year	493,636	483,320	423,984	366,028	361,228	389,928	457,328	519,328	576,328
Lapsed encumbrances	1,398	-	-	-	-	-	-	-	-
Fund balance at end of year	<u>\$ 483,320</u>	<u>\$ 423,984</u>	<u>\$ 366,028</u>	<u>\$ 361,228</u>	<u>\$ 389,928</u>	<u>\$ 457,328</u>	<u>\$ 519,328</u>	<u>\$ 576,328</u>	<u>\$ 627,328</u>

The Swimming Pool Fund accounts for the costs of operations of the City's three swimming pools. Only two of the three pools have been open in 2015 and 2016 due to the construction on Tremont Pool, which is scheduled to re-open in 2017. The fund is projected to cover operating costs through 2017 and beyond based on the current charges for memberships, guest fees, and concessions revenue. However, the current rates are not designed to cover the costs of pool-related capital improvements. The fee schedule will need to be reviewed if this fund is going to continue to cover the costs of the operations and capital improvements.

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FUND SUMMARIES – Capital – Capital Equipment and Capital Improvements

CAPITAL EQUIPMENT

Capital equipment funds are those funds whose resources are used solely for funding capital equipment.

Capital Equipment Fund

The City has dedicated .5 mills of property taxes to be used exclusively for capital improvements and equipment, including principal and interest on debt issued for capital needs. The property tax can also be used to finance special projects of a nature not ordinarily included in current expenses. The transfers in from other funds (except the General Fund) are used to reimburse the Capital Equipment Fund for equipment or improvements in support of those activities.

Technology Fund

Capital revenues derived from cellular tower rental fees are used for purchases of technological equipment and communication devices.

CAPITAL IMPROVEMENTS

Capital improvement funds are those funds whose resources are used solely for funding capital projects, other than equipment.

Bonded Improvement Fund

Bond proceeds are deposited into the Bonded Improvement Fund for the funding of capital improvements.

Estate Tax Capital Project Fund

Estate tax revenue that exceeded \$2.1 million (\$1 million in 2013) in any year was transferred from the General Fund to the Estate Tax Capital Project Fund. The Ohio legislature eliminated the estate tax effective January 1, 2013, thus there is no dedicated revenue source for this fund going forward. The remaining resources are dedicated for capital improvements with an emphasis on infrastructure.

Infrastructure Improvement Fund

Resources are obtained from a yearly fund transfer of \$750,000 from the General Fund and, with the passage of Issue 23, a transfer of income tax dollars deposited in the Capital Asset Management Fund. These resources are dedicated for a multi-year capital project program.

Community Fiber Optic Fund

This fund was established in 2015 and accounts for the installation and repayment of the construction for the Upper Arlington fiber optic network. The fiber optic network will serve the Upper Arlington School District, the Upper Arlington Libraries and the City, as well as provide fiber to the Ohio State University Medical building. The network will also provide accessibility to fiber for local businesses.

FUND SUMMARIES - Capital - Capital Equipment and Capital Improvements

2017 SUMMARY PRESENTATION

	Capital Equipment Fund	Technology Fund	Total Capital Equipment	Bonded Improvement Fund	Estate Tax Fund	Infrastructure Improvement Fund	Community Fiber Optic Fund	Total Capital Improvements
Revenues:								
Property tax	\$ 855,500	\$ -	\$ 855,500	\$ -	\$ -	\$ -	\$ -	\$ -
Investment earnings	-	-	-	25,000	20,000	-	-	45,000
Other revenue	-	75,000	75,000	-	-	1,369,735	194,700	1,564,435
Total revenues	855,500	75,000	930,500	25,000	20,000	1,369,735	194,700	1,609,435
Expenditures:								
<i>Operating</i>								
Administrative support	-	78,900	78,900	-	-	-	-	-
<i>Capital outlay</i>								
Capital equipment	1,215,300	-	1,215,300	-	-	-	-	-
Capital improvements	-	-	-	9,562,265	2,135,902	4,258,033	-	15,956,200
<i>Debt Service:</i>								
Debt payments	40,000	-	40,000	-	-	-	-	-
Total expenditures	1,255,300	78,900	1,334,200	9,562,265	2,135,902	4,258,033	-	15,956,200
Net revenue over/(under) expenditures	(399,800)	(3,900)	(403,700)	(9,537,265)	(2,115,902)	(2,888,298)	194,700	(14,346,765)
Other financing sources/(uses)								
Proceeds of bonds/notes	-	-	-	6,550,000	-	-	-	6,550,000
Transfer/Advances in	-	-	-	-	-	4,436,100	68,400	4,504,500
Transfers/Advances out	-	-	-	-	-	-	(246,500)	(246,500)
Total other financing sources(uses)	-	-	-	6,550,000	-	4,436,100	(178,100)	10,808,000
Net change in fund balance	(399,800)	(3,900)	(403,700)	(2,987,265)	(2,115,902)	1,547,802	16,600	(3,538,765)
Beginning balance	426,501	6,084	432,585	9,167,944	2,115,902	3,073,500	240,954	14,598,300
Ending balance	\$ 26,701	\$ 2,184	\$ 28,885	\$ 6,180,679	\$ -	\$ 4,621,302	\$ 257,554	\$ 11,059,535

FUND SUMMARIES - Capital - Capital Equipment

CAPITAL EQUIPMENT FUND									
	2013 Actual	2014 Actual	2015 Actual	2016 Projected	2017 Proposed	2018 Proposed	2019 Projected	2020 Projected	2021 Projected
Revenues:									
Property tax	\$ 772,702	\$ 782,868	\$ 843,562	\$ 848,000	\$ 855,500	\$ 898,000	\$ 907,000	\$ 916,000	\$ 943,000
Total revenues	<u>772,702</u>	<u>782,868</u>	<u>843,562</u>	<u>848,000</u>	<u>855,500</u>	<u>898,000</u>	<u>907,000</u>	<u>916,000</u>	<u>943,000</u>
Expenditures:									
Capital equipment	874,650	747,418	733,165	872,100	1,215,300	858,000	867,000	876,000	903,000
Fleet lease payments proposed	-	-	-	40,000	40,000	40,000	40,000	40,000	40,000
Total expenditures	<u>874,650</u>	<u>747,418</u>	<u>733,165</u>	<u>912,100</u>	<u>1,255,300</u>	<u>898,000</u>	<u>907,000</u>	<u>916,000</u>	<u>943,000</u>
Excess (def) of revenues & transfers in over expenditures & transfers out	(101,948)	35,450	110,397	(64,100)	(399,800)	-	-	-	-
Fund balance at beginning of year	433,222	331,274	380,204	490,601	426,501	26,701	26,701	26,701	26,701
Lapsed encumbrances	-	13,480	-	-	-	-	-	-	-
Fund balance at end of year	<u>\$ 331,274</u>	<u>\$ 380,204</u>	<u>\$ 490,601</u>	<u>\$ 426,501</u>	<u>\$ 26,701</u>	<u>\$ 26,701</u>	<u>\$ 26,701</u>	<u>\$ 26,701</u>	<u>\$ 26,701</u>

TECHNOLOGY FUND									
	2013 Actual	2014 Actual	2015 Actual	2016 Projected	2017 Proposed	2018 Proposed	2019 Projected	2020 Projected	2021 Projected
Revenues:									
Cellular tower rental fees	\$ 134,581	\$ 81,213	\$ 76,204	\$ 75,000	\$ 75,000	\$ 75,000	\$ 75,000	\$ 75,000	\$ 75,000
Total revenues	<u>134,581</u>	<u>81,213</u>	<u>76,204</u>	<u>75,000</u>	<u>75,000</u>	<u>75,000</u>	<u>75,000</u>	<u>75,000</u>	<u>75,000</u>
Expenditures:									
Operating	-	-	15,000	93,600	78,900	75,000	75,000	75,000	75,000
Capital equipment	107,040	155,155	73,615	-	-	-	-	-	-
Total expenditures	<u>107,040</u>	<u>155,155</u>	<u>88,615</u>	<u>93,600</u>	<u>78,900</u>	<u>75,000</u>	<u>75,000</u>	<u>75,000</u>	<u>75,000</u>
Excess (def) of revenues & transfers in over expenditures & transfers out	27,541	(73,942)	(12,411)	(18,600)	(3,900)	-	-	-	-
Fund balance at beginning of year	79,313	107,887	33,945	24,684	6,084	2,184	2,184	2,184	2,184
Lapsed encumbrances	1,033	-	3,150	-	-	-	-	-	-
Fund balance at end of year	<u>\$ 107,887</u>	<u>\$ 33,945</u>	<u>\$ 24,684</u>	<u>\$ 6,084</u>	<u>\$ 2,184</u>	<u>\$ 2,184</u>	<u>\$ 2,184</u>	<u>\$ 2,184</u>	<u>\$ 2,184</u>

The Capital Equipment Fund and Technology Fund support most capital equipment and non-capital technology equipment purchases.

FUND SUMMARIES - Capital - Capital Improvements

BONDED IMPROVEMENT FUND									
	2013 <u>Actual</u>	2014 <u>Actual</u>	2015 <u>Actual</u>	2016 <u>Projected</u>	2017 <u>Proposed</u>	2018 <u>Proposed</u>	2019 <u>Projected</u>	2020 <u>Projected</u>	2021 <u>Projected</u>
Revenues:									
Investment earnings	\$ 2,069	\$ 784	\$ 28,002	\$ 25,000	\$ 25,000	\$ 25,000	\$ 25,000	\$ 25,000	\$ 25,000
Sale of bonds and notes	-	-	16,098,475	8,800,000	6,550,000	-	15,377,700	-	10,288,400
Total revenues	<u>2,069</u>	<u>784</u>	<u>16,126,477</u>	<u>8,825,000</u>	<u>6,575,000</u>	<u>25,000</u>	<u>15,402,700</u>	<u>25,000</u>	<u>10,313,400</u>
Expenditures:									
Capital improvements	995,852	5,542,902	5,788,421	9,995,180	9,562,265	6,205,679	7,664,100	7,763,600	4,976,700
Debt issuance cost	-	-	-	-	-	-	-	-	-
Transfer to General Fund	-	-	-	-	-	-	-	-	-
Total expenditures	<u>995,852</u>	<u>5,542,902</u>	<u>5,788,421</u>	<u>9,995,180</u>	<u>9,562,265</u>	<u>6,205,679</u>	<u>7,664,100</u>	<u>7,763,600</u>	<u>4,976,700</u>
Excess (def) of revenues & transfers in over expenditures & transfers out	(993,783)	(5,542,118)	10,338,056	(1,170,180)	(2,987,265)	(6,180,679)	7,738,600	(7,738,600)	5,336,700
Fund balance at beginning of year	5,698,640	5,244,257	68	10,338,124	9,167,944	6,180,679	-	7,738,600	-
Lapsed encumbrances	539,400	297,929	-	-	-	-	-	-	-
Fund balance at end of year	<u>\$ 5,244,257</u>	<u>\$ 68</u>	<u>\$ 10,338,124</u>	<u>\$ 9,167,944</u>	<u>\$ 6,180,679</u>	<u>\$ -</u>	<u>\$ 7,738,600</u>	<u>\$ -</u>	<u>\$ 5,336,700</u>

The Bonded Improvement Fund is used for funding projects with debt proceeds. The bond proceeds for 2016 and 2017 are projected numbers as of the date of this draft. The proceeds are expected to finance approximately \$20 million of capital improvements.

ESTATE TAX FUND									
	2013 <u>Actual</u>	2014 <u>Actual</u>	2015 <u>Actual</u>	2016 <u>Projected</u>	2017 <u>Proposed</u>	2018 <u>Proposed</u>	2019 <u>Projected</u>	2020 <u>Projected</u>	2021 <u>Projected</u>
Revenues:									
Investment earnings	\$ 106,443	\$ 139,370	\$ 110,576	\$ 80,000	\$ 20,000	\$ -	\$ -	\$ -	\$ -
Reimbursements	-	-	-	-	-	-	-	-	-
Proceeds of grants/loans	-	716,980	1,448,245	3,402,900	-	-	-	-	-
Miscellaneous revenues	18,597	676,565	23,256	-	-	-	-	-	-
Transfers in	-	-	-	-	-	-	-	-	-
General Fund	5,729,372	612,302	-	-	-	-	-	-	-
Total revenues	<u>5,854,412</u>	<u>2,145,217</u>	<u>1,582,077</u>	<u>3,482,900</u>	<u>20,000</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
Expenditures:									
Capital improvements	3,835,492	3,692,477	7,032,239	9,805,822	2,135,902	-	-	-	-
In-house engineering	-	-	-	-	-	-	-	-	-
Total expenditures	<u>3,835,492</u>	<u>3,692,477</u>	<u>7,032,239</u>	<u>9,805,822</u>	<u>2,135,902</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
Excess (def) of revenues & transfers in over expenditures & transfers out	2,018,920	(1,547,260)	(5,450,162)	(6,322,922)	(2,115,902)	-	-	-	-
Fund balance at beginning of year	10,977,857	13,176,812	11,776,146	6,938,824	2,115,902	-	-	-	-
Lapsed encumbrances	180,035	146,594	612,840	-	-	-	-	-	-
Anticipated appropriation lapses	-	-	-	1,500,000	-	-	-	-	-
Fund balance at end of year	<u>\$ 13,176,812</u>	<u>\$ 11,776,146</u>	<u>\$ 6,938,824</u>	<u>\$ 2,115,902</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>

The Estate Tax Fund provides cash funding of the ten-year Capital Improvement Plan. The Ohio legislature eliminated the estate tax effective January 1, 2013. The remaining funds are dedicated to capital improvement projects and, when depleted, the fund will be eliminated.

FUND SUMMARIES - Capital - Capital Improvements

INFRASTRUCTURE IMPROVEMENT FUND									
	2013 Actual	2014 Actual	2015 Actual	2016 Projected	2017 Proposed	2018 Proposed	2019 Projected	2020 Projected	2021 Projected
Revenues:									
Reimbursements/OPWC	\$ -	\$ -	\$ 980,000	\$ 507,588	\$ 1,369,735	\$ 228,200	\$ 303,900	\$ 246,500	\$ 246,500
Transfers in									
General Fund	750,000	750,000	750,000	750,000	750,000	750,000	750,000	750,000	750,000
Capital Asset Management Fund	-	-	-	1,000,000	3,686,100	2,000,000	2,000,000	2,000,000	2,000,000
Total revenues	<u>750,000</u>	<u>750,000</u>	<u>1,730,000</u>	<u>2,257,588</u>	<u>5,805,835</u>	<u>2,978,200</u>	<u>3,053,900</u>	<u>2,996,500</u>	<u>2,996,500</u>
Expenditures:									
Capital improvements	300,895	194,912	1,004,491	1,735,509	4,258,033	6,697,221	2,571,300	3,494,600	2,896,100
Total expenditures	<u>300,895</u>	<u>194,912</u>	<u>1,004,491</u>	<u>1,735,509</u>	<u>4,258,033</u>	<u>6,697,221</u>	<u>2,571,300</u>	<u>3,494,600</u>	<u>2,896,100</u>
Excess (def) of revenues & transfer in over expenditures & transfers out	449,105.00	555,088.00	725,509.00	522,079.00	1,547,802	(3,719,021)	482,600	(498,100)	100,400
Fund balance at beginning of year	772,306	1,262,213	1,825,912	2,551,421	3,073,500	4,621,302	902,281	1,384,881	886,781
Lapsed encumbrances	40,802	8,611	-	-	-	-	-	-	-
Fund balance at end of year	<u>\$ 1,262,213</u>	<u>\$ 1,825,912</u>	<u>\$ 2,551,421</u>	<u>\$ 3,073,500</u>	<u>\$ 4,621,302</u>	<u>\$ 902,281</u>	<u>\$ 1,384,881</u>	<u>\$ 886,781</u>	<u>\$ 987,181</u>

The Infrastructure Fund, used for funding capital projects, is funded by a yearly fund transfer of \$750,000 from the General Fund and, with the passage of Issue 23, a transfer of income tax dollars deposited in the Capital Asset Management Fund.

COMMUNITY FIBER OPTIC FUND									
	2013 Actual	2014 Actual	2015 Actual	2016 Projected	2017 Proposed	2018 Proposed	2019 Projected	2020 Projected	2021 Projected
Revenues:									
Grant/Loan Proceeds	\$ -	\$ -	\$ -	\$ 1,492,000	\$ -	\$ -	\$ -	\$ -	\$ -
School, Library, City annual payments	-	-	-	-	194,700	194,700	194,700	194,700	194,700
Transfers/Advances in									
General Fund	-	-	2,500,000	-	68,400	68,400	68,400	68,400	68,400
Total revenues	<u>-</u>	<u>-</u>	<u>2,500,000</u>	<u>1,492,000</u>	<u>263,100</u>	<u>263,100</u>	<u>263,100</u>	<u>263,100</u>	<u>263,100</u>
Expenditures:									
Capital improvements	-	-	2,439,846	550,000	-	-	-	-	-
Transfers/Advances out									
General Bond Retirement Fund	-	-	-	61,200	121,500	121,500	121,500	121,500	121,500
General Fund	-	-	-	700,000	125,000	125,000	125,000	125,000	125,000
Total expenditures	<u>-</u>	<u>-</u>	<u>2,439,846</u>	<u>1,311,200</u>	<u>246,500</u>	<u>246,500</u>	<u>246,500</u>	<u>246,500</u>	<u>246,500</u>
Excess (def) of revenues & transfer in over expenditures & transfers out	-	-	60,154	180,800	16,600	16,600	16,600	16,600	16,600
Fund balance at beginning of year	-	-	-	60,154	240,954	257,554	274,154	290,754	307,354
Lapsed encumbrances	-	-	-	-	-	-	-	-	-
Anticipated lapsed appropriations	-	-	-	-	-	-	-	-	-
Fund balance at end of year	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 60,154</u>	<u>\$ 240,954</u>	<u>\$ 257,554</u>	<u>\$ 274,154</u>	<u>\$ 290,754</u>	<u>\$ 307,354</u>	<u>\$ 323,954</u>

This fund was created to account for the installation and repayment of the construction for the Upper Arlington fiber optic network. This joint project between the Upper Arlington School District, the Upper Arlington Library and the City will serve the public entities as well as provide fiber to the Ohio State University Medical building. The network will also provide accessibility to fiber for local businesses. The City obtained a \$500,000 grant from the State of Ohio, and a \$1 million low interest loan from Franklin County to fund a portion of the construction costs. The General Fund has advanced the remainder of the funding. It is anticipated that the entire cost of the project, shared by the City, School District and the Library, will be repaid within the next 8 to 10 years.



FUND SUMMARIES – Capital – Debt, Capital Asset Management, and Tax Increment Financing

DEBT and CAPITAL ASSET MANAGEMENT

These funds account for the financing and payment of principal and interest on all general obligation bonds regardless of funding source.

General Bond Retirement Fund

The fund was established to account for the retirement of debt issued by the City. Sources of funding include property tax levies to retire both voted general obligation and special assessment debt. Debt issued for capital improvements are also funded through cash transfers from the Capital Asset Management Fund and the enterprise funds. Federal interest subsidies received for the Build America Bonds and Recovery Zone Economic Development Bonds issued are also deposited into the fund.

Capital Asset Management Fund

The City will deposit 28% of gross income tax collections into the fund for the 2017 and 2018 budget cycle. This fund is used to pay the cost of capital improvements or the debt payments incurred in connection with the City's capital improvements. This fund reflects estimated payments of the proposed bond anticipation notes and general obligation bonds except where the estimated payment will be paid with enterprise funds.

TAX INCREMENT FINANCING (TIF)

TIF funds are those funds which are used to account for established TIF districts.

Horizon Tax Incentive Fund

Established by Ordinance 45-2004, this fund provided the majority of more than \$900,000 for the cost of constructed improvements to the Horizons TIF district, which were essentially completed in 2006. Reimbursement to the City for these improvements began in 2007 from the incremental increase in property values via payments in lieu of taxes (PILOT).

Kingsdale West TIF Fund

Established by Ordinance 125-2007, this district was established to capture any incremental increases in value within parcels located west of Tremont Road within the Kingsdale corridor. PILOT payments received will be used for future traffic and road improvements to the section of Tremont Road located within the corridor. The fund began collecting payments in 2009.

Kingsdale Core TIF Fund

Established by Ordinance 104-2009, this fund was created to receive the PILOT payments for the redevelopment of the retail portion of the Kingsdale Shopping Center. The redevelopment began in 2010. Reimbursements to the City for these improvements began in 2012.

Arlington Crossing TIF Fund

Established by Ordinance 9-2008, this district was established to capture any incremental increases in value within residential parcels located within the Kingsdale Corridor. This district is the City's only residential TIF district. To date, the fund is not collecting any PILOT payment. Any future PILOT payments received will be used for future traffic and road improvements to the section of Tremont Road located within the corridor.

FUND SUMMARIES – Capital – Debt, Capital Asset Management, and Tax Increment Financing

Lane Avenue TIF Fund

Established by Ordinance 89-2008, this fund was created to receive PILOT payments for the redevelopment of various parcels on West Lane Avenue and adjacent streets. The redevelopment began in 2009, and reimbursements to the City for these improvements began in 2011.

Riverside North TIF Fund

Established by Ordinance 88-2010, this fund was created to receive the PILOT payments for infrastructure improvements made to the area on and around 3518 Riverside Dr.

Riverside South TIF Fund

Established by Ordinance 89-2010, this fund was created to receive the PILOT payment for infrastructure improvements made to the area on and around 2196 Riverside Drive.

Lane Avenue Mixed-Use TIF Fund

Established by Ordinance 38-2012, this fund was created to receive PILOT payments for the redevelopment of the property formerly owned by Lane Avenue Baptist Church. The redevelopment began in 2012.

Tremont Road TIF Fund

Established by Ordinance 81-2015, this fund was created to receive PILOT payments for the redevelopment of various parcels on Tremont Road and adjacent streets. The redevelopment began in 2005, and reimbursements to the City are scheduled to begin in 2018.

Arlington Centre TIF Fund

Established by Ordinance 80-2015, this fund was created to receive PILOT payments for the redevelopment of various parcels on Arlington Centre Boulevard and adjacent streets. The redevelopment began in 2005, and reimbursements to the City are scheduled to begin in 2018.

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FUND SUMMARIES - Capital - Debt, Capital Asset Management and Tax Increment Financing (TIF)

2017 SUMMARY PRESENTATION

	General Bond Retirement Fund	Capital Asset Management Fund	Total Debt and Capital Asset Management	Horizon TIF Fund	Kingsdale West TIF Fund
Revenues:					
Income tax	\$ -	\$ 7,080,000	\$ 7,080,000	\$ -	\$ -
Property tax	993,200	-	993,200	-	-
Other revenue	-	-	-	330,000	42,900
Total revenues	993,200	7,080,000	8,073,200	330,000	42,900
Expenditures:					
<i>Operating</i>					
Administrative support	15,000	-	15,000	7,000	1,500
<i>Debt service</i>					
Debt payments	7,389,900	-	7,389,900	-	-
Total expenditures	7,404,900	-	7,404,900	7,000	1,500
Net revenue over/(under) expenditures	(6,411,700)	7,080,000	668,300	323,000	41,400
Other financing sources/(uses)					
Proceeds of bonds/notes	886,900	-	886,900	-	-
Transfer/Advances in	4,670,600	-	4,670,600	-	-
Transfers/Advances out	-	(6,179,100)	(6,179,100)	-	-
Total other financing sources(uses)	5,557,500	(6,179,100)	(621,600)	-	-
Net change in fund balance	(854,200)	900,900	46,700	323,000	41,400
Beginning balance	1,502,402	9,977,200	11,479,602	1,426,058	112,420
Ending balance	\$ 648,202	\$ 10,878,100	\$ 11,526,302	\$ 1,749,058	\$ 153,820

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Kingsdale Core TIF Fund	Arlington Crossing TIF Fund	Lane Ave TIF Fund	Riverside North TIF Fund	Riverside South TIF Fund	Lane Ave Mixed Use TIF Fund	Tremont Road TIF Fund	Arlington Centre TIF Fund	Total Tax Increment Financing
\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
-	-	-	-	-	-	-	-	-
488,000	195,000	78,400	1,600	4,200	425,500	-	-	1,565,600
488,000	195,000	78,400	1,600	4,200	425,500	-	-	1,565,600
459,000	3,500	1,200	100	100	200,000	-	-	672,400
-	-	-	-	-	-	-	-	-
459,000	3,500	1,200	100	100	200,000	-	-	672,400
29,000	191,500	77,200	1,500	4,100	225,500	-	-	893,200
-	-	-	-	-	-	-	-	-
-	-	-	-	-	-	-	-	-
(369,400)	-	-	-	-	(363,300)	-	-	(732,700)
(369,400)	-	-	-	-	(363,300)	-	-	(732,700)
(340,400)	191,500	77,200	1,500	4,100	(137,800)	-	-	160,500
554,306	304,889	190,432	7,377	16,836	1,195,778	-	-	3,808,096
\$ 213,906	\$ 496,389	\$ 267,632	\$ 8,877	\$ 20,936	\$ 1,057,978	\$ -	\$ -	\$ 3,968,596

FUND SUMMARIES - Capital - Debt and Capital Asset Management

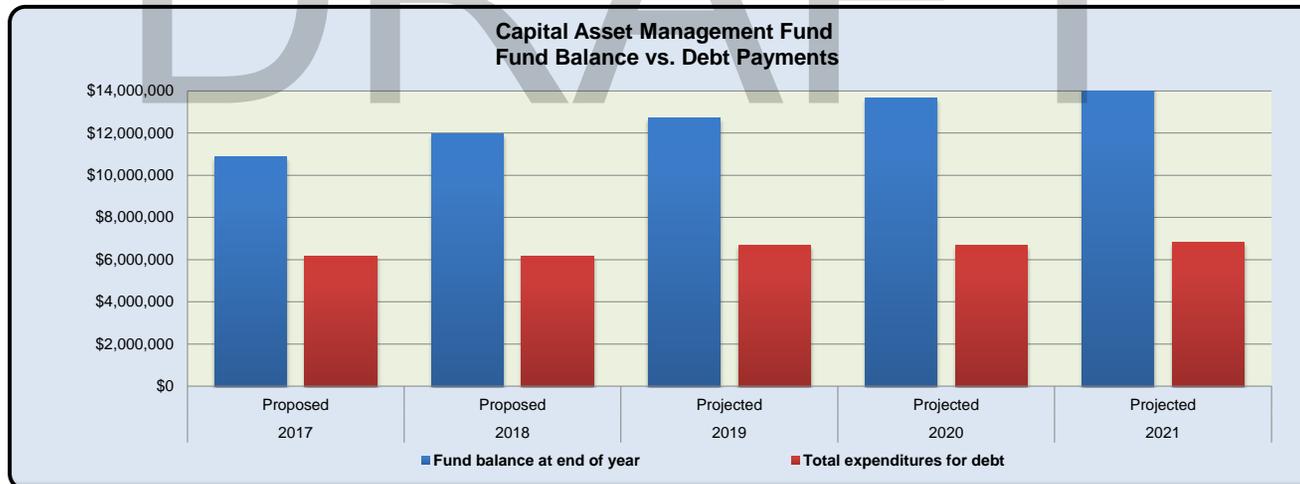
GENERAL BOND RETIREMENT FUND									
	2013	2014	2015	2016	2017	2018	2019	2020	2021
	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Projected</u>	<u>Proposed</u>	<u>Proposed</u>	<u>Projected</u>	<u>Projected</u>	<u>Projected</u>
Revenues:									
Property tax	\$ 834,519	\$ 845,498	\$ 843,561	\$ 847,100	\$ 855,500	\$ 380,900	\$ 384,000	\$ 384,000	\$ -
Special assessments	122,782	110,058	109,537	101,400	137,700	141,600	-	-	-
Federal interest subsidy	207,726	105,012	2,131	-	-	-	-	-	-
Proceeds from bonding of notes	-	-	2,814,525	-	-	-	-	-	-
Premium on Bonds Issued	-	-	1,344,951	1,106,200	886,900	-	307,600	-	205,800
Proceeds from bonds refunded	8,640,000	6,265,000	6,082,000	-	-	-	-	-	-
Premium on bonds refunded	774,565	217,304	606,360	-	-	-	-	-	-
Transfers/Advances in									
Capital Asset Management Fund (current)	2,592,130	2,715,453	3,197,961	3,521,600	1,962,900	3,650,500	3,650,800	3,639,200	3,404,400
Capital Asset Management Fund (proposed)	-	-	-	-	530,100	529,300	1,037,400	1,038,800	1,432,100
EMS Billing Fund	449,485	445,873	440,349	439,800	434,000	438,100	436,500	433,000	433,500
Water Surcharge Fund (current)	-	-	33,773	54,300	53,600	53,600	53,500	53,700	53,600
Water Surcharge Fund (proposed)	-	-	-	-	276,000	275,600	647,700	648,400	759,800
Sanitary Sewer Surcharge Fund (current)	-	-	-	53,700	53,600	53,600	53,500	53,700	53,600
Sanitary Sewer Surcharge Fund (proposed)	-	-	-	-	268,300	267,900	485,300	486,000	703,400
Stormwater Management Fund (current)	182,600	179,400	252,946	293,200	287,900	107,100	107,000	107,300	107,100
Stormwater Management Fund (proposed)	-	-	-	-	50,000	50,000	85,900	86,000	121,900
Lane Avenue Mixed Use TIF Fund	180,070	199,731	199,231	198,800	363,300	364,500	360,600	361,700	362,700
Kingsdale Core TIF Fund	-	-	169,837	269,700	269,400	269,200	268,900	269,700	269,300
Community Fiber Optic Fund	-	-	-	61,200	121,500	121,500	121,500	121,500	121,500
Total revenues	<u>13,983,877</u>	<u>11,083,329</u>	<u>16,097,162</u>	<u>6,947,000</u>	<u>6,550,700</u>	<u>6,703,400</u>	<u>8,000,200</u>	<u>7,683,000</u>	<u>8,028,700</u>
Expenditures:									
Payment to bond escrow agent	9,273,030	6,348,262	6,759,764	-	-	-	-	-	-
Debt service payments - current debt	4,529,439	4,563,129	9,037,191	5,802,400	6,030,100	5,475,500	5,331,100	5,319,700	4,699,700
Debt service payments - proposed debt	-	-	-	-	1,228,800	1,227,200	2,256,300	2,363,700	3,121,700
Bond issuance costs	138,313	134,042	288,072	176,000	131,000	-	307,600	-	205,800
Property tax collection fees	12,344	8,753	11,646	12,000	15,000	10,000	10,000	10,000	10,000
Total expenditures	<u>13,953,126</u>	<u>11,054,186</u>	<u>16,096,673</u>	<u>5,990,400</u>	<u>7,404,900</u>	<u>6,712,700</u>	<u>7,905,000</u>	<u>7,693,400</u>	<u>8,037,200</u>
Excess (def) of revenues & transfers in over expenditures & transfers out	30,751	29,143	489	956,600	(854,200)	(9,300)	95,200	(10,400)	(8,500)
Fund balance at beginning of year	485,419	516,170	545,313	545,802	1,502,402	648,202	638,902	734,102	723,702
Fund balance at end of year	<u>\$ 516,170</u>	<u>\$ 545,313</u>	<u>\$ 545,802</u>	<u>\$ 1,502,402</u>	<u>\$ 648,202</u>	<u>\$ 638,902</u>	<u>\$ 734,102</u>	<u>\$ 723,702</u>	<u>\$ 715,202</u>

FUND SUMMARIES - Capital - Debt and Capital Asset Management

CAPITAL ASSET MANAGEMENT FUND

	2013 Actual	2014 Actual	2015 Actual	2016 Projected	2017 Proposed	2018 Proposed	2019 Projected	2020 Projected	2021 Projected
Revenues:									
Income tax	\$ 2,237,963	\$ 2,253,642	\$ 5,300,684	\$ 6,907,000	\$ 7,080,000	\$ 7,256,000	\$ 7,438,000	\$ 7,624,000	\$ 7,815,000
Total revenues	2,237,963	2,253,642	5,300,684	6,907,000	7,080,000	7,256,000	7,438,000	7,624,000	7,815,000
Expenditures:									
Lease payments									
Transfers out									
Bond Retirement Fund (current)	2,592,130	2,715,453	3,197,961	3,521,600	1,962,900	3,650,500	3,650,800	3,639,200	3,404,400
Bond Retirement Fund (proposed)	-	-	-	-	530,100	529,300	1,037,400	1,038,800	1,432,100
Infrastructure Fund	-	-	-	1,000,000	3,686,100	2,000,000	2,000,000	2,000,000	2,000,000
Total expenditures	2,592,130	2,715,453	3,197,961	4,521,600	6,179,100	6,179,800	6,688,200	6,678,000	6,836,500
Excess (def) of revenues & transfers in over expenditures & transfers out	(354,167)	(461,811)	2,102,723	2,385,400	900,900	1,076,200	749,800	946,000	978,500
Fund balance at beginning of year	6,305,055	5,950,888	5,489,077	7,591,800	9,977,200	10,878,100	11,954,300	12,704,100	13,650,100
Fund balance at end of year	\$ 5,950,888	\$ 5,489,077	\$ 7,591,800	\$ 9,977,200	\$ 10,878,100	\$ 11,954,300	\$ 12,704,100	\$ 13,650,100	\$ 14,628,600
Debt coverage	3.30	3.02	3.37	4.12	6.84	4.34	4.14	4.35	4.44

The revenue source of the fund is income tax receipts used for capital improvements or paying debt issued for capital improvements. With the passage of Issue 23, Council increased the percentage of income tax deposited into the fund to 28% to fund the capital improvements program as well as provide an income stream for the existing general obligation debt of the City. In 2017, transfers to the bond retirement fund for debt retirement are lower than other years as a result of projected 2016/2017 debt issuance (excess premium used for debt retirement). This reduction is offset with an increased transfer to the Infrastructure Fund to fund capital improvements with cash. Amounts will be adjusted with upon the sale of the bonds.



FUND SUMMARIES - Capital - Tax Increment Financing (TIF)

HORIZON TAX INCENTIVE FUND

	2013 Actual	2014 Actual	2015 Actual	2016 Projected	2017 Proposed	2018 Proposed	2019 Projected	2020 Projected	2021 Projected
Revenues:									
Payment in lieu of taxes	\$ 313,575	\$ 329,807	\$ 278,832	\$ 335,000	\$ 330,000	\$ 330,000	\$ 330,000	\$ 330,000	\$ 330,000
Total revenues	<u>313,575</u>	<u>329,807</u>	<u>278,832</u>	<u>335,000</u>	<u>330,000</u>	<u>330,000</u>	<u>330,000</u>	<u>330,000</u>	<u>330,000</u>
Expenditures:									
TIF expenses	370,845	2,928	3,159	6,000	7,000	7,000	7,000	7,000	7,000
Transfers out									
General Fund	600,000	-	-	-	-	-	-	-	-
Total expenditures	<u>970,845</u>	<u>2,928</u>	<u>3,159</u>	<u>6,000</u>	<u>7,000</u>	<u>7,000</u>	<u>7,000</u>	<u>7,000</u>	<u>7,000</u>
Excess (def) of revenues & transfers in over expenditures & transfers out	(657,270)	326,879	275,673	329,000	323,000	323,000	323,000	323,000	323,000
Fund balance at beginning of year	1,151,776	494,506	821,385	1,097,058	1,426,058	1,749,058	2,072,058	2,395,058	2,718,058
Fund balance at end of year	<u>\$ 494,506</u>	<u>\$ 821,385</u>	<u>\$ 1,097,058</u>	<u>\$ 1,426,058</u>	<u>\$ 1,749,058</u>	<u>\$ 2,072,058</u>	<u>\$ 2,395,058</u>	<u>\$ 2,718,058</u>	<u>\$ 3,041,058</u>

The Horizon TIF financed Horizons Drive and other infrastructure improvements in the district. Total City investment through 2008 is \$960,097. This included \$365,150 allowable TIF expenses captured prior to the creation of the fund. The original investment was repaid in 2013.

KINGSDALE WEST TIF FUND

	2013 Actual	2014 Actual	2015 Actual	2016 Projected	2017 Proposed	2018 Proposed	2019 Projected	2020 Projected	2021 Projected
Revenues:									
Payment in lieu of taxes	\$ 33,713	\$ 47,593	\$ 42,930	\$ 42,900	\$ 42,900	\$ 42,900	\$ 42,900	\$ 42,900	\$ 42,900
Total revenues	<u>33,713</u>	<u>47,593</u>	<u>42,930</u>	<u>42,900</u>	<u>42,900</u>	<u>42,900</u>	<u>42,900</u>	<u>42,900</u>	<u>42,900</u>
Expenditures:									
TIF expenses	385	1,670	490	1,500	1,500	1,500	1,500	1,500	1,500
Capital improvements	-	-	200,000	-	-	-	-	-	-
Total expenditures	<u>385</u>	<u>1,670</u>	<u>200,490</u>	<u>1,500</u>	<u>1,500</u>	<u>1,500</u>	<u>1,500</u>	<u>1,500</u>	<u>1,500</u>
Excess (def) of revenues & transfers in over expenditures & transfers out	33,328	45,923	(157,560)	41,400	41,400	41,400	41,400	41,400	41,400
Fund balance at beginning of year	149,329	182,657	228,580	71,020	112,420	153,820	195,220	236,620	278,020
Fund balance at end of year	<u>\$ 182,657</u>	<u>\$ 228,580</u>	<u>\$ 71,020</u>	<u>\$ 112,420</u>	<u>\$ 153,820</u>	<u>\$ 195,220</u>	<u>\$ 236,620</u>	<u>\$ 278,020</u>	<u>\$ 319,420</u>

The Kingsdale West TIF was created to finance improvements in the Tremont Road corridor.

FUND SUMMARIES - Capital - Tax Increment Financing (TIF)

KINGSDALE CORE TIF FUND									
	2013 <u>Actual</u>	2014 <u>Actual</u>	2015 <u>Actual</u>	2016 <u>Projected</u>	2017 <u>Proposed</u>	2018 <u>Proposed</u>	2019 <u>Projected</u>	2020 <u>Projected</u>	2021 <u>Projected</u>
Revenues:									
Proceeds from bond anticipation notes/bonds	\$ 4,828,656	\$ 4,800,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Proceeds from the sale of land			1,300,000						
Payments in lieu of taxes	349,893	262,702	938,787	492,000	488,000	893,000	893,000	893,000	893,000
Transfers in:									
General Fund	-	-	1,000,000	-	-	-	-	-	-
Total revenues	<u>5,178,549</u>	<u>5,062,702</u>	<u>3,238,787</u>	<u>492,000</u>	<u>488,000</u>	<u>893,000</u>	<u>893,000</u>	<u>893,000</u>	<u>893,000</u>
Expenditures:									
Note repayment	4,863,852	4,866,050	1,011,600	-	-	-	-	-	-
TIF expenses	178,631	138,943	933,153	240,000	459,000	459,000	459,000	459,000	459,000
Transfers/Advances out									
General Bond Retirement Fund	-	-	169,837	269,700	269,400	269,200	268,900	269,700	269,300
General Fund	-	-	1,000,000	-	100,000	100,000	200,000	200,000	200,000
Total expenditures	<u>5,042,483</u>	<u>5,004,993</u>	<u>3,114,590</u>	<u>509,700</u>	<u>828,400</u>	<u>828,200</u>	<u>927,900</u>	<u>928,700</u>	<u>928,300</u>
Excess (def) of revenues & transfers in over expenditures & transfers out	136,066	57,709	124,197	(17,700)	(340,400)	64,800	(34,900)	(35,700)	(35,300)
Fund balance at beginning of year	254,034	390,100	447,809	572,006	554,306	213,906	278,706	243,806	208,106
Fund balance at end of year	<u>\$ 390,100</u>	<u>\$ 447,809</u>	<u>\$ 572,006</u>	<u>\$ 554,306</u>	<u>\$ 213,906</u>	<u>\$ 278,706</u>	<u>\$ 243,806</u>	<u>\$ 208,106</u>	<u>\$ 172,806</u>

In 2009 and 2010, the General Fund advanced a total of \$1.018 million to cover the original investment associated with the improvements at the Kingsdale shopping center. The repayment of this amount is budgeted to begin in 2017. The 2011 activity within the Kingsdale CORE TIF Fund includes the issuance of bond anticipation notes to purchase a parcel of land and infrastructure improvements at the Kingsdale shopping center and the receipt and disbursement of grant money relating to the demolition of an existing Zollinger Road building located within the parcel. The City renewed the notes every year through 2014. In 2015, the City elected not to renew the note, but rather retired \$1 million of the debt and issued \$3.8 million in bonds. Starting in 2015, this fund began making payments on this debt. In 2016, the OSU Wexner Medical was built and added to the TIF.

ARLINGTON CROSSING TIF FUND									
	2013 <u>Actual</u>	2014 <u>Actual</u>	2015 <u>Actual</u>	2016 <u>Projected</u>	2017 <u>Proposed</u>	2018 <u>Proposed</u>	2019 <u>Projected</u>	2020 <u>Projected</u>	2021 <u>Projected</u>
Revenues:									
Payments in lieu of taxes	\$ 238,739	\$ 195,854	\$ 284,000	\$ 152,700	\$ 195,000	\$ 195,000	\$ 195,000	\$ 195,000	\$ 195,000
Capital contributions in previous years	-	-	-	-	-	-	-	-	-
Total revenues	<u>238,739</u>	<u>195,854</u>	<u>284,000</u>	<u>152,700</u>	<u>195,000</u>	<u>195,000</u>	<u>195,000</u>	<u>195,000</u>	<u>195,000</u>
Expenditures:									
TIF expenses	3,632	2,841	6,431	3,500	3,500	3,500	3,500	3,500	3,500
Capital improvements	-	-	550,000	-	-	-	-	-	-
Total expenditures	<u>3,632</u>	<u>2,841</u>	<u>556,431</u>	<u>3,500</u>	<u>3,500</u>	<u>3,500</u>	<u>3,500</u>	<u>3,500</u>	<u>3,500</u>
Excess (def) of revenues & transfers in over expenditures & transfers out	235,107	193,013	(272,431)	149,200	191,500	191,500	191,500	191,500	191,500
Fund balance at beginning of year	-	235,107	428,120	155,689	304,889	496,389	687,889	879,389	1,070,889
Fund balance at end of year	<u>\$ 235,107</u>	<u>\$ 428,120</u>	<u>\$ 155,689</u>	<u>\$ 304,889</u>	<u>\$ 496,389</u>	<u>\$ 687,889</u>	<u>\$ 879,389</u>	<u>\$ 1,070,889</u>	<u>\$ 1,262,389</u>

This residential TIF was created for future improvements to the district.

FUND SUMMARIES - Capital - Tax Increment Financing (TIF)

LANE AVENUE TIF FUND

	2013 Actual	2014 Actual	2015 Actual	2016 Projected	2017 Proposed	2018 Proposed	2019 Projected	2020 Projected	2021 Projected
Revenues:									
Payments in lieu of taxes	\$ 46,345	\$ 150,368	\$ 78,331	\$ 78,400	\$ 78,400	\$ 78,400	\$ 78,400	\$ 78,400	\$ 78,400
Total revenues	<u>46,345</u>	<u>150,368</u>	<u>78,331</u>	<u>78,400</u>	<u>78,400</u>	<u>78,400</u>	<u>78,400</u>	<u>78,400</u>	<u>78,400</u>
Expenditures:									
TIF expenses	547	1,736	887	1,000	1,200	1,200	1,200	1,200	1,200
Transfers/Advances out									
General Fund	-	-	-	400,000	-	-	-	-	-
Total expenditures	<u>547</u>	<u>1,736</u>	<u>887</u>	<u>401,000</u>	<u>1,200</u>	<u>1,200</u>	<u>1,200</u>	<u>1,200</u>	<u>1,200</u>
Excess (def) of revenues & transfers in over expenditures & transfers out	45,798	148,632	77,444	(322,600)	77,200	77,200	77,200	77,200	77,200
Fund balance at beginning of year	205,608	286,956	435,588	513,032	190,432	267,632	344,832	422,032	499,232
Lapsed encumbrances	35,550	-	-	-	-	-	-	-	-
Fund balance at end of year	<u>\$ 286,956</u>	<u>\$ 435,588</u>	<u>\$ 513,032</u>	<u>\$ 190,432</u>	<u>\$ 267,632</u>	<u>\$ 344,832</u>	<u>\$ 422,032</u>	<u>\$ 499,232</u>	<u>\$ 576,432</u>

This TIF was created for future improvements to the district. The General Fund advanced \$400,000 through 2012 for improvements. This amount was fully repaid in 2016.

RIVERSIDE NORTH TIF FUND

	2013 Actual	2014 Actual	2015 Actual	2016 Projected	2017 Proposed	2018 Proposed	2019 Projected	2020 Projected	2021 Projected
Revenues:									
Payments in lieu of taxes	\$ 1,517	\$ 1,525	\$ 1,525	\$ 1,500	\$ 1,600	\$ 1,600	\$ 1,600	\$ 1,600	\$ 1,600
Total revenues	<u>1,517</u>	<u>1,525</u>	<u>1,525</u>	<u>1,500</u>	<u>1,600</u>	<u>1,600</u>	<u>1,600</u>	<u>1,600</u>	<u>1,600</u>
Expenditures:									
TIF expenses	17	10	17	100	100	100	100	100	100
Total expenditures	<u>17</u>	<u>10</u>	<u>17</u>	<u>100</u>	<u>100</u>	<u>100</u>	<u>100</u>	<u>100</u>	<u>100</u>
Excess (def) of revenues & transfers in over expenditures & transfers out	1,500	1,515	1,508	1,400	1,500	1,500	1,500	1,500	1,500
Fund balance at beginning of year	1,454	2,954	4,469	5,977	7,377	8,877	10,377	11,877	13,377
Anticipated lapse of appropriation									
Fund balance at end of year	<u>\$ 2,954</u>	<u>\$ 4,469</u>	<u>\$ 5,977</u>	<u>\$ 7,377</u>	<u>\$ 8,877</u>	<u>\$ 10,377</u>	<u>\$ 11,877</u>	<u>\$ 13,377</u>	<u>\$ 14,877</u>

This TIF was created for future improvements to the district.

FUND SUMMARIES - Capital - Tax Increment Financing (TIF)

RIVERSIDE SOUTH TIF FUND

	2013 Actual	2014 Actual	2015 Actual	2016 Projected	2017 Proposed	2018 Proposed	2019 Projected	2020 Projected	2021 Projected
Revenues:									
Payments in lieu of taxes	\$ 4,083	\$ 4,777	\$ 4,104	\$ 4,100	\$ 4,200	\$ 4,200	\$ 4,200	\$ 4,200	\$ 4,200
Capital contributions in previous years									
Total revenues	<u>4,083</u>	<u>4,777</u>	<u>4,104</u>	<u>4,100</u>	<u>4,200</u>	<u>4,200</u>	<u>4,200</u>	<u>4,200</u>	<u>4,200</u>
Expenditures:									
TIF expenses	46	35	47	100	100	100	100	100	100
Total expenditures	<u>46</u>	<u>35</u>	<u>47</u>	<u>100</u>	<u>100</u>	<u>100</u>	<u>100</u>	<u>100</u>	<u>100</u>
Excess (def) of revenues & transfers in over expenditures & transfers out	4,037	4,742	4,057	4,000	4,100	4,100	4,100	4,100	4,100
Fund balance at beginning of year	-	4,037	8,779	12,836	16,836	20,936	25,036	29,136	33,236
Anticipated lapse of appropriation									
Fund balance at end of year	<u>\$ 4,037</u>	<u>\$ 8,779</u>	<u>\$ 12,836</u>	<u>\$ 16,836</u>	<u>\$ 20,936</u>	<u>\$ 25,036</u>	<u>\$ 29,136</u>	<u>\$ 33,236</u>	<u>\$ 37,336</u>

This TIF was created for future improvements to the district.

LANE AVENUE MIXED USE TIF FUND

	2013 Actual	2014 Actual	2015 Actual	2016 Projected	2017 Proposed	2018 Proposed	2019 Projected	2020 Projected	2021 Projected
Revenues:									
Payments in lieu of taxes	\$ -	\$ -	\$ 964,599	\$ 1,260,400	\$ 425,500	\$ 891,000	\$ 891,000	\$ 891,000	\$ 891,000
Total revenues	<u>-</u>	<u>-</u>	<u>964,599</u>	<u>1,260,400</u>	<u>425,500</u>	<u>891,000</u>	<u>891,000</u>	<u>891,000</u>	<u>891,000</u>
Expenditures:									
TIF expenses	278,555	15,702	415,641	578,000	200,000	400,000	400,000	400,000	400,000
Transfers/Advances out									
General Bond Retirement Fund	180,070	199,731	199,231	198,800	363,300	364,500	360,600	361,700	362,700
General Fund	-	-	-	479,000	-	-	-	-	-
Total expenditures	<u>458,625</u>	<u>215,433</u>	<u>614,872</u>	<u>1,255,800</u>	<u>563,300</u>	<u>764,500</u>	<u>760,600</u>	<u>761,700</u>	<u>762,700</u>
Excess (def) of revenues & transfers in over expenditures & transfers out	(458,625)	(215,433)	349,727	4,600	(137,800)	126,500	130,400	129,300	128,300
Fund balance at beginning of year	1,217,326	804,271	841,451	1,191,178	1,195,778	1,057,978	1,184,478	1,314,878	1,444,178
Lapsed encumbrances	45,570	252,613	-	-	-	-	-	-	-
Fund balance at end of year	<u>\$ 804,271</u>	<u>\$ 841,451</u>	<u>\$ 1,191,178</u>	<u>\$ 1,195,778</u>	<u>\$ 1,057,978</u>	<u>\$ 1,184,478</u>	<u>\$ 1,314,878</u>	<u>\$ 1,444,178</u>	<u>\$ 1,572,478</u>

This TIF was created for future improvements to the district. The General Fund advanced \$4,200,000 in 2012 for improvements. The full amount has been repaid as of 2016.

FUND SUMMARIES - Capital - Tax Increment Financing (TIF)

TREMONT ROAD TIF FUND									
	2013 <u>Actual</u>	2014 <u>Actual</u>	2015 <u>Actual</u>	2016 <u>Projected</u>	2017 <u>Proposed</u>	2018 <u>Proposed</u>	2019 <u>Projected</u>	2020 <u>Projected</u>	2021 <u>Projected</u>
Revenues:									
Payments in lieu of taxes	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 43,000	\$ 43,000	\$ 43,000	\$ 43,000
Advances in from General Fund				291,135					
Total revenues	<u>-</u>	<u>-</u>	<u>-</u>	<u>291,135</u>	<u>-</u>	<u>43,000</u>	<u>43,000</u>	<u>43,000</u>	<u>43,000</u>
Expenditures:									
TIF expenses	-	-	-	291,135	-	1,000	1,000	1,000	1,000
Transfers/Advances out General Fund	-	-	-	-	-	25,000	25,000	50,000	50,000
Total expenditures	<u>-</u>	<u>-</u>	<u>-</u>	<u>291,135</u>	<u>-</u>	<u>26,000</u>	<u>26,000</u>	<u>51,000</u>	<u>51,000</u>
Excess (def) of revenues & transfers in over expenditures & transfers out	-	-	-	-	-	17,000	17,000	(8,000)	(8,000)
Fund balance at beginning of year	-	-	-	-	-	-	17,000	34,000	26,000
Fund balance at end of year	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 17,000</u>	<u>\$ 34,000</u>	<u>\$ 26,000</u>	<u>\$ 18,000</u>

This TIF was created for future improvements to the district.

ARLINGTON CENTRE TIF FUND									
	2013 <u>Actual</u>	2014 <u>Actual</u>	2015 <u>Actual</u>	2016 <u>Projected</u>	2017 <u>Proposed</u>	2018 <u>Proposed</u>	2019 <u>Projected</u>	2020 <u>Projected</u>	2021 <u>Projected</u>
Revenues:									
Payments in lieu of taxes	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 31,500	\$ 31,500	\$ 31,500	\$ 31,500
Advances in from General Fund				5,000					
Total revenues	<u>-</u>	<u>-</u>	<u>-</u>	<u>5,000</u>	<u>-</u>	<u>31,500</u>	<u>31,500</u>	<u>31,500</u>	<u>31,500</u>
Expenditures:									
TIF expenses	-	-	-	5,000	-	1,000	1,000	1,000	1,000
Transfers/Advances out General Fund	-	-	-	-	-	5,000	-	-	-
Total expenditures	<u>-</u>	<u>-</u>	<u>-</u>	<u>5,000</u>	<u>-</u>	<u>6,000</u>	<u>1,000</u>	<u>1,000</u>	<u>1,000</u>
Excess (def) of revenues & transfers in over expenditures & transfers out	-	-	-	-	-	25,500	30,500	30,500	30,500
Fund balance at beginning of year	-	-	-	-	-	-	25,500	56,000	86,500
Fund balance at end of year	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 25,500</u>	<u>\$ 56,000</u>	<u>\$ 86,500</u>	<u>\$ 117,000</u>

This TIF was created for future improvements to the district.



FUND SUMMARIES – Internal Service

Internal service funds are used to account for financing services provided by one department of the City generally on a cost-reimbursement basis. These funds are not included in the consolidated financial presentation.

Employee Benefit Fund

Monies are deposited into the fund for the purpose of paying the cost of employee benefits including, but not limited to, health care, dental care, life insurance, and short-term disability. Deposits come from the proportionate cost of employee benefits charged to the operating accounts of departments, third-party reimbursements, and the cost of dependent coverage.

BWC Administration Fund

The City is self-insured for Bureau of Workers Compensation claims. This fund was created to account for the claims and the administrative services of the program.

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FUND SUMMARIES - Internal Service

EMPLOYEE BENEFIT FUND									
	2013	2014	2015	2016	2017	2018	2019	2020	2021
	Actual	Actual	Actual	Projected	Proposed	Proposed	Projected	Projected	Projected
Revenues:									
Health care premiums	\$ 2,813,881	\$ 2,734,629	\$ 2,304,672	\$ 2,600,000	\$ 3,040,000	\$ 3,040,000	\$ 3,192,000	\$ 3,272,000	\$ 3,354,000
Dental premiums	192,082	184,658	176,838	200,000	200,000	200,000	200,000	200,000	200,000
Dependent care reimbursement	176,062	154,211	311,959	278,000	280,780	283,588	286,424	292,152	295,074
Other reimbursements	241,809	57,067	-	-	26,000	52,000	53,000	54,000	55,000
Total revenues	<u>3,423,834</u>	<u>3,130,565</u>	<u>2,793,469</u>	<u>3,078,000</u>	<u>3,546,780</u>	<u>3,575,588</u>	<u>3,731,424</u>	<u>3,818,152</u>	<u>3,904,074</u>
Expenditures:									
Health care payments	2,656,885	2,299,599	2,232,770	2,500,000	2,800,000	2,870,000	2,942,000	3,016,000	3,091,000
Dental insurance payments	226,723	170,487	173,176	200,000	215,000	220,000	226,000	230,520	236,000
Disability payments	-	-	-	-	-	-	-	-	-
Administrative fees	503,231	615,132	591,031	620,000	670,000	685,000	700,000	716,000	732,000
Total expenditures	<u>3,386,839</u>	<u>3,085,218</u>	<u>2,996,977</u>	<u>3,320,000</u>	<u>3,685,000</u>	<u>3,775,000</u>	<u>3,868,000</u>	<u>3,962,520</u>	<u>4,059,000</u>
Excess (def) of revenues & transfers in over expenditures & transfers out	36,995	45,347	(203,508)	(242,000)	(138,220)	(199,412)	(136,576)	(144,368)	(154,926)
Fund balance at beginning of year	1,990,609	2,027,604	2,079,483	1,877,077	1,635,077	1,496,857	1,297,445	1,160,868	1,016,501
Lapsed encumbrances	-	6,532	1,102	-	-	-	-	-	-
Fund balance at end of year	<u>\$ 2,027,604</u>	<u>\$ 2,079,483</u>	<u>\$ 1,877,077</u>	<u>\$ 1,635,077</u>	<u>\$ 1,496,857</u>	<u>\$ 1,297,445</u>	<u>\$ 1,160,868</u>	<u>\$ 1,016,501</u>	<u>\$ 861,574</u>

BWC ADMINISTRATION FUND									
	2013	2014	2015	2016	2017	2018	2019	2020	2021
	Actual	Actual	Actual	Projected	Proposed	Proposed	Projected	Projected	Projected
Revenues:									
Workers compensation premiums	\$ 226,936	\$ 236,157	\$ 236,563	\$ 250,000	\$ 260,000	\$ 260,000	\$ 260,000	\$ 260,000	\$ 260,000
Reimbursements	377	-	-	-	-	-	-	-	-
Total revenues	<u>227,313</u>	<u>236,157</u>	<u>236,563</u>	<u>250,000</u>	<u>260,000</u>	<u>260,000</u>	<u>260,000</u>	<u>260,000</u>	<u>260,000</u>
Expenditures:									
Workers compensation claims	44,507	65,756	27,857	100,000	100,000	100,000	100,000	100,000	100,000
Administrative fees	125,253	99,032	101,768	160,000	150,000	153,000	156,000	160,000	164,000
Total expenditures	<u>169,760</u>	<u>164,788</u>	<u>129,625</u>	<u>260,000</u>	<u>250,000</u>	<u>253,000</u>	<u>256,000</u>	<u>260,000</u>	<u>264,000</u>
Excess (def) of revenues & transfers in over expenditures & transfers out	57,553	71,369	106,938	(10,000)	10,000	7,000	4,000	-	(4,000)
Fund balance at beginning of year	180,692	240,930	312,299	419,237	409,237	419,237	426,237	430,237	430,237
Lapsed encumbrances	2,685	-	-	-	-	-	-	-	-
Anticipated appropriation lapses	-	-	-	-	-	-	-	-	-
Fund balance at end of year	<u>\$ 240,930</u>	<u>\$ 312,299</u>	<u>\$ 419,237</u>	<u>\$ 409,237</u>	<u>\$ 419,237</u>	<u>\$ 426,237</u>	<u>\$ 430,237</u>	<u>\$ 430,237</u>	<u>\$ 426,237</u>

Monies are deposited into the funds for the purpose of paying the cost of employee benefits including, but not limited to, health care, dental care, life insurance, short-term disability, and workers compensation. Deposits come from the proportionate cost of employee benefits charged to department operations, third-party reimbursements, and the cost of dependent coverage. These funds are not included in the Consolidated Funds presentation.



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CITY ATTORNEY



2015 - 2016 Accomplishments

- Completed the sale of City property for Upper Chelsea Road and settled the lawsuit in the City’s favor.
- Participated in the fiber project which will result in improved broadband services and future cost savings for the City.
- Secured a grant and created a Mayor’s Court Pilot Drug Court.
- Tobacco 21 Legislation – drafted ordinance to prohibit the sale of tobacco to persons under the age of 21.
- Legal Manual for Animal Control Ordinance and Dog Bites – created a guide to aid the prosecution and conviction of criminal offenders.
- Participated in the successful negotiation of the Police contract.
- Verizon Wireless Settlement Agreement – negotiated a settlement of outstanding obligations as well as increased rent for the new lease.
- Updated Boards and Commission Ordinance – including appointments, process, duties and terms.
- Legal Manual for OVI Charges - updated and redesigned the OVI manual used by Police.
- Taser Policy – provided an analysis and developed a Taser policy for the Upper Arlington Police.
- Instrumental in changing the process for Mayor’s Court transfers to Franklin County Municipal Court.

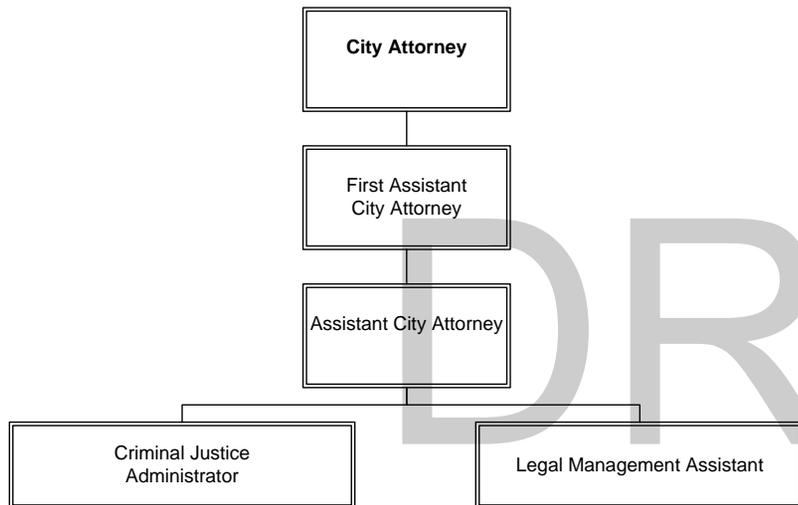
Workload Measures

	2015	2016	Projected	
			2017	2018
Cases prosecuted:				
Franklin County Municipal Court	509	375*	560	588
Upper Arlington Mayor’s Court	1017	812*	1120	1176
Mediation, diversion, or Juvenile & Adult	189	108*	218	229
Contracts reviewed	230	186*	300	350
Opinions	729	885*	1000	1200
Probation cases:				
Adult	203	196*	224	235
Juvenile	98	55*	108	113
Atty. Memorandums	674	707*	742	779
Special Projects	28	31*	35	37

*THROUGH SEPTEMBER 2016

CITY ATTORNEY

The City Attorney's mission is to provide advice on municipal ordinances and state statutes, provide representation to City Council, staff, and citizens on their rights and responsibilities, and to advocate on behalf of the City. The office is also responsible for carrying out the duties of the City Attorney as required by the laws of the City and of the State of Ohio, to coordinate all legal actions involving the City, and to research legal questions regarding city matters. This office also issues legal opinions by the City Attorney to staff and Council and serves as Parliamentarian to Council. Administered by the Criminal Justice Administrator, the City Attorney's Office also provides both adult and juvenile probation services.



	<u>Actual</u> 2015	<u>Amended</u> 2016	<u>Proposed</u>	
			2017	2018
City Attorney	\$649,786	\$765,900	\$770,100	\$786,300
Total General Fund	\$649,786	\$765,900	\$770,100	\$786,300
Total - All Funds	\$649,786	\$765,900	\$770,100	\$786,300
<u>Expenditures by Category</u>				
Personal Services	\$583,615	\$626,400	\$649,600	\$665,800
Other Than Personal Services	66,171	139,500	120,500	120,500
Total	\$649,786	\$765,900	\$770,100	\$786,300
Authorized Personnel	2015	2016	2017	2018
Full-Time Budgeted	5.00	5.00	5.00	5.00
FTE	.58	.58	.58	.58
Total	5.58	5.58	5.58	5.58

On the Horizon

- Training with Fire Department on law enforcement.
- Economic development projects.
- Drug Court.

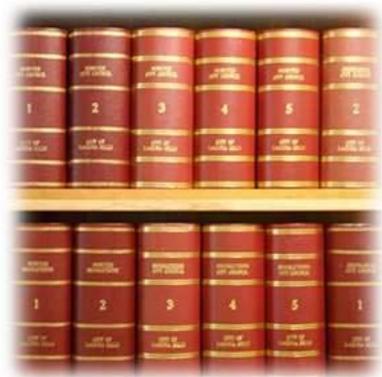
City Attorney

	2011	2012	2013	2014	2015	2016	2016	Proposed	
	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Adopted</u>	<u>Amended</u>	2017	2018
Salaries and wages	\$ 442,037	\$ 425,160	\$ 455,277	\$ 469,349	\$ 452,967	\$ 483,200	\$ 489,200	\$ 499,000	\$ 512,700
Retirement	79,602	69,338	67,305	63,618	62,704	67,700	67,700	69,900	71,800
Fringe benefits	89,728	79,765	80,827	80,028	67,944	69,500	69,500	80,700	81,300
Total Personal Services	611,367	574,263	603,409	612,995	583,615	620,400	626,400	649,600	665,800
Materials and supplies	1,287	1,582	1,806	1,899	1,863	2,000	2,500	2,000	2,000
Utilities	1,668	463	1,107	734	969	1,000	1,000	1,000	1,000
Maintenance and repairs	868	1,128	736	436	391	500	500	500	500
Professional development	32,605	28,951	31,564	29,597	20,777	24,000	18,000	24,000	24,000
Professional services	50,341	81,784	37,103	60,646	36,533	88,000	112,500	88,000	88,000
Other services	907	502	905	914	1,982	1,000	1,000	1,000	1,000
Miscellaneous expenditures	5,045	4,280	5,191	3,515	3,656	4,000	4,000	4,000	4,000
Total Supplies and Services	92,721	118,690	78,412	97,741	66,171	120,500	139,500	120,500	120,500
Grand Total	\$ 704,088	\$ 692,953	\$ 681,821	\$ 710,736	\$ 649,786	\$ 740,900	\$ 765,900	\$ 770,100	\$ 786,300

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CITY CLERK



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Workload Measurements

City Council Meetings	Projected			
	2015	2016	2017	2018
Agendas prepared	37	40*	40	40
Packets prepared	34	38*	40	40
Meeting minutes prepared	37	40*	40	40
Ordinances/resolutions	104	93*	100	100
Proclamations/resolutions	33	50*	50	50
Codification changes	14	11*	15	15
Research/records requests	279	320*	350	350

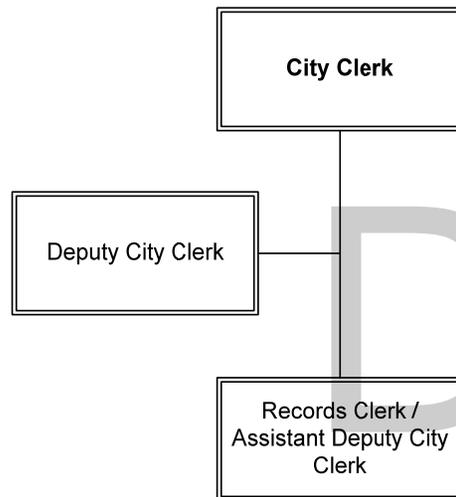
***THROUGH SEPTEMBER 2016**

2015 - 2016 Accomplishments

- Scanned the City's permanent minute, ordinance, and resolution books dating back to 1918.
- Audited and disposed of over 400 boxes totaling approximately 12,600 lbs. of paper.
- Filled 280 public records requests in 2015 – up 45% from 2014.
- Completed an entire transfer of records from the Municipal Service Center to offsite storage.
- Provided agenda management, meeting setup, legislative support and meeting minutes for 75 city council meetings.
- Uploaded all of the City's permanent minutes, ordinances, and resolutions, (approximately 13,000 documents) into the OnBase Document Management System.

CITY CLERK

The objective of the City Clerk's office is to employ effective and efficient records management procedures that promote the timely retrieval of information required for decision making. In addition, the City Clerk's office ensures proper use through retention and disposition schedules developed in accordance with a record's fiscal, historical, and/or legal value. The department also provides meeting coverage and minutes which accurately reflect the policy recommendations and decisions of City Council or City Boards and Commissions, assists with the development of legislation and supporting materials relative to items appearing on agendas, ensures the content of council information packets for purpose of policy/decision making, maintains City Code, and assists with re-codification efforts.



On the Horizon

- Continue to digitize permanent records of the City and advance document accessibility.
- Audit and dispose of boxes in 2017 that have met retention for City.
- Digitize 2018 City Council orientation manual.

Budget Summary

	<u>Actual</u> 2015	<u>Amended</u> 2016	<u>Proposed</u> 2017	<u>2018</u>
City Clerk	\$246,182	\$285,100	\$314,600	\$310,900
Total General Fund	\$246,182	\$285,100	\$314,600	\$310,900
Total - All Funds	\$246,182	\$285,100	\$314,600	\$310,900

Expenditures by Category

Personal Services	\$219,334	\$258,800	\$269,700	\$276,000
Other Than Personal Services	26,848	26,300	44,900	34,900
Total	\$246,182	\$285,100	\$314,600	\$310,900

Authorized Personnel	2015	2016	2017	2018
Full-Time Budgeted	3.00	3.00	3.00	3.00
FTE	.63	.63	.63	.63
Total	3.63	3.63	3.63	3.63

City Clerk

	2011	2012	2013	2014	2015	2016	2016	Proposed	
	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Adopted</u>	<u>Amended</u>	<u>2017</u>	<u>2018</u>
Salaries and wages	\$ 154,989	\$ 175,952	\$ 151,890	\$ 139,901	\$ 159,309	\$ 195,900	\$ 190,900	\$ 195,100	\$ 200,400
Retirement	25,896	25,245	22,505	19,586	22,300	27,400	27,400	27,300	28,100
Fringe benefits	34,401	49,856	40,642	37,551	37,725	40,500	40,500	47,300	47,500
Total Personal Services	215,286	251,053	215,037	197,038	219,334	263,800	258,800	269,700	276,000
Materials and supplies	1,549	1,901	494	1,340	1,529	2,000	2,000	6,600	1,600
Maintenance and repairs	-	-	-	365	-	300	300	300	300
Professional development	1,351	2,306	1,061	1,053	601	2,500	2,500	2,000	2,000
Other services	5,246	4,787	11,113	13,178	22,540	15,500	20,500	35,000	30,000
Miscellaneous expenditures	2,829	441	355	510	2,178	1,000	1,000	1,000	1,000
Total Supplies and Services	10,975	9,435	13,023	16,446	26,848	21,300	26,300	44,900	34,900
Grand Total	\$ 226,261	\$ 260,488	\$ 228,060	\$ 213,484	\$ 246,182	\$ 285,100	\$ 285,100	\$ 314,600	\$ 310,900

Notes:

Materials and supplies: Includes a proposed increase for the purchase of board and commission software. (2017)

Other Services: Includes a proposed increase for additional costs related to Municode and additional scanning.



CITY COUNCIL

2016 Council Members



Front Row: (Left to Right): Sue M. Ralph, President Debbie Johnson, Vice President Kip Greenhill, Carolyn Casper, Back Row: David DeCapua, Brendan King, John C. Adams

2015 - 2016 Accomplishments

- Approved the sale of 5.53 acres at Kingsdale Shopping Center to The Ohio State University's Wexner Medical Center for a 100,000 square foot medical facility that will generate approximately \$400,000 annually in new municipal income tax revenues.
- Approved an economic development incentive agreement with Columbus Aesthetic & Plastic Surgery that will generate approximately \$200,000 annually in municipal income tax revenue over a 10-year period.
- Approved the contracts for the two-phased Tremont Road reconstruction project.
- Entered into an agreement with the Upper Arlington Board of Education and Upper Arlington Libraries for the installation of a fiber optic network throughout the City.
- Unanimously voted to approve Ordinance No. 35-2015, raising the age of tobacco purchases to 21 years old in Upper Arlington.
- Adopted Resolution No. 8-2016 to rename Parkway Park to Jack Nicklaus Park.
- Approved economic development incentives for Hamilton Capital, New Avenue Architects, Engineers, LLC, UA Veterinary Hospital, Organic Trails Café, LLC and North Trail Partners, Inc.
- Directed the City Manager to proceed with Northam Park Phase II improvements consisting of a new Tremont Pool, Playground, and reading areas.

CITY COUNCIL

The City Council strives to achieve its mission through innovative policies and programs, which preserve and enhance the excellence of quality of life in Upper Arlington, while providing a base for enhancing the economic health and preserving the unique residential character of our community.

City Council consists of seven members elected at large. It has full power to pass ordinances, adopt resolutions, and exercise all legislative powers and executive authorities vested in municipal officers under State statute. As elected representatives, City Council is responsible to all residents of the City, and is charged with making decisions that are in the best interest of public welfare.



Ohio State University's Wexner Medical Center

On the Horizon

- Support projects that embrace the Master Plan.
- Support the 10 year Capital Improvement Program.
- Continue to explore opportunities to collaborate and share services.
- Continue to pursue operating efficiencies.

Budget Summary

	<u>Actual</u> <u>2015</u>	<u>Amended</u> <u>2016</u>	<u>Proposed</u>	
			<u>2017</u>	<u>2018</u>
City Council	\$118,874	\$139,600	\$124,000	\$124,000
Total General Fund	\$118,874	\$139,600	\$124,000	\$124,000
Total - All Funds	\$118,874	\$139,600	\$124,000	\$124,000
<u>Expenditures by Category</u>				
Personal Services	\$117,517	\$133,600	\$118,200	\$118,200
Other Than Personal Services	1,357	6,000	5,800	5,800
Total	\$118,874	\$139,600	\$124,000	\$124,000

City Council

	2011	2012	2013	2014	2015	2016	2016	Proposed	
	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Adopted</u>	<u>Amended</u>	2017	2018
Salaries and wages	\$ 19,092	\$ 20,800	\$ 20,800	\$ 47,500	\$ 47,500	\$ 52,200	\$ 52,200	\$ 52,200	\$ 52,200
Retirement	4,391	4,110	3,536	6,650	6,650	7,300	7,300	7,300	7,300
Fringe benefits	81,658	79,653	90,972	73,613	63,367	74,100	74,100	58,700	58,700
Total Personal Services	105,141	104,563	115,308	127,763	117,517	133,600	133,600	118,200	118,200
Materials and supplies	307	162	264	465	35	500	-	300	300
Uniforms and clothing	-	79	-	-	-	-	-	-	-
Professional development	780	150	50	60	-	1,000	1,000	1,000	1,000
Other services	7,434	1,919	2,191	-	641	2,500	2,500	2,500	2,500
Miscellaneous expenditures	1,059	2,620	1,145	1,267	681	2,000	2,500	2,000	2,000
Total Supplies and Services	9,580	4,930	3,650	1,792	1,357	6,000	6,000	5,800	5,800
Grand Total	\$ 114,721	\$ 109,493	\$ 118,958	\$ 129,555	\$ 118,874	\$ 139,600	\$ 139,600	\$ 124,000	\$ 124,000

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CITY MANAGER



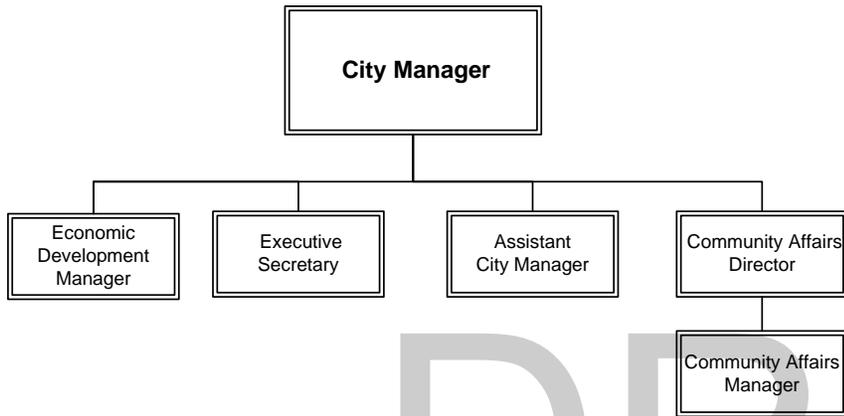
Theodore J. Staton
City Manager

2015 - 2016 Accomplishments

- Expanded the City's community engagement and surveying efforts on a number of projects, some already mentioned:
 - Launched the UA Open Town Hall - an online community forum platform for seeking resident input on a variety of topics.
 - Launched a smartphone Public Service Request app for reporting issues such as potholes.
 - Launched UA OpenGov - a tool that will allow residents to access data and understand how their tax dollars are spent.
- Expanded online communications efforts that included expansion of the City's e-newsletter outreach, social media activities, and the inclusion of informative service videos.
- Several significant economic development projects were begun and/or completed, including:
 - OSU Wexner Medical Center Outpatient Care Upper Arlington facility at Kingsdale.
 - Medical office building on Arlington Centre Boulevard that will enable Columbus Aesthetic and Plastic Surgery to expand and consolidate its Central Ohio practices into one location.
 - Medical office building on Tremont Road for OhioHealth.
- In tandem with Parks & Recreation, began participating in and providing support to a volunteer driven planning effort for celebrating Upper Arlington's 100th anniversary in 2018.
- Facilitated the successful installation of a fiber optic network connecting all City, Schools, and Library buildings in the community.
- Oversaw updates to the City's 10-year Capital Improvement Program (CIP) and numerous projects including a two-phase reconstruction of Tremont Road.
- Assisted in a successful effort to secure \$.5 million in grant funding to support a shared-use path on Zollinger Road and extension of the Scioto Greenway Trail along West Fifth Avenue as part of a partnership with Marble Cliff and Columbus.

CITY MANAGER

The City Manager's Office is charged with overseeing the administration of all professional staff in the provision of services and implementation of policies as directed by City Council.



Municipal Service Center

Budget Summary

	<u>Actual</u> 2015	<u>Amended</u> 2016	<u>Proposed</u> 2017	<u>2018</u>
City Manager	\$806,859	\$1,067,149	\$1,038,650	\$1,060,250
Total General Fund	\$806,859	\$1,067,150	\$1,038,650	\$1,060,250
Total - All Funds	\$806,859	\$1,067,150	\$1,038,650	\$1,060,250

Expenditures by Category

Personal Services	\$702,256	\$876,500	\$880,200	\$901,800
Other Than Personal Services	104,603	190,649	158,450	158,450
Total	\$806,859	\$1,067,149	\$1,038,650	\$1,060,250

Authorized Personnel	2015	2016	2017	2018
Full-Time Budgeted	5.00	6.00	6.00	6.00
FTE	.58	.58	.29	.29
Total	5.58	6.58	6.29	6.29

City Manager

	2011	2012	2013	2014	2015	2016	2016	Proposed	
	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Adopted</u>	<u>Amended</u>	2017	2018
Salaries and wages	\$ 688,425	\$ 481,480	\$ 499,919	\$ 440,851	\$ 545,216	\$ 680,490	\$ 680,490	\$ 671,400	\$ 689,600
Retirement	105,423	70,372	70,842	61,037	76,233	95,305	95,305	94,000	96,500
Fringe benefits	114,561	79,010	76,614	68,751	80,807	100,705	100,705	114,800	115,700
Total Personal Services	908,409	630,862	647,375	570,639	702,256	876,500	876,500	880,200	901,800
Materials and supplies	1,780	3,860	2,326	1,755	3,442	3,200	3,200	3,000	3,000
Uniforms and clothing	2,732	1,648	324	43	77	-	-	-	-
Rents and leases	411	340	354	362	408	400	400	450	450
Utilities	4,142	2,737	3,952	2,923	5,056	1,800	3,099	4,000	4,000
Maintenance and repairs	1,459	1,500	1,526	2,233	2,982	2,000	2,000	2,000	2,000
Professional development	9,652	12,461	7,482	10,699	17,394	20,000	23,000	33,000	33,000
Professional services	47,171	23,402	39,073	14,799	33,633	80,000	75,700	75,000	75,000
Other services	35,427	22,651	18,839	20,793	36,275	76,000	76,000	35,000	35,000
Miscellaneous expenditures	14,210	6,453	4,969	3,768	5,336	7,250	7,250	6,000	6,000
Total Supplies and Services	116,984	75,052	78,845	57,375	104,603	190,650	190,649	158,450	158,450
Grand Total	\$ 1,025,393	\$ 705,914	\$ 726,220	\$ 628,014	\$ 806,859	\$ 1,067,150	\$ 1,067,149	\$ 1,038,650	\$ 1,060,250

Notes:

Personal services:

Includes a proposed upgrade of the Assistant Community Affairs Coordinator position associated with increased responsibilities and to allow evening and weekend hours.

Professional development:

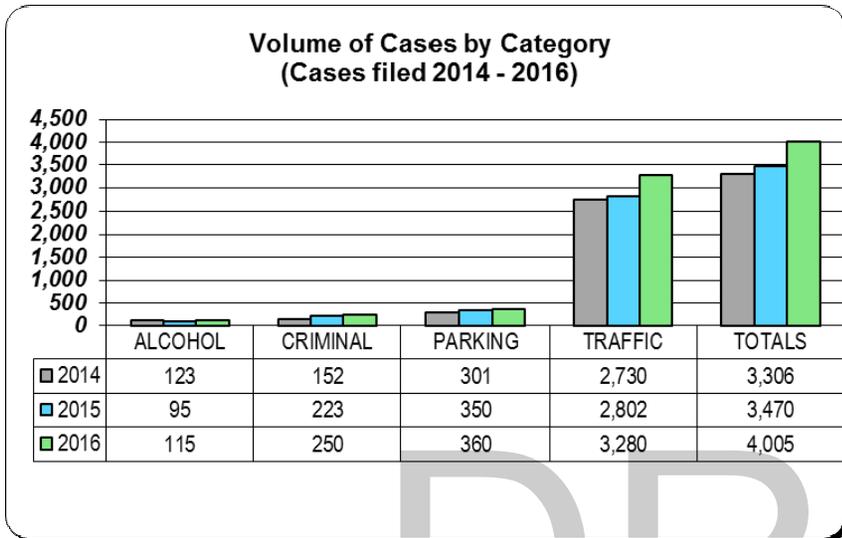
Includes a proposed increase for the Assistant City Manager to attend the University of Virginia, Senior Executive Institute and the reallocation of professional development for the Economic Development Director (previously charged to Fund 250).

Professional services:

Includes a proposed continued increase for additional community surveys.



CLERK OF COURT



- 2015 - 2016 Accomplishments**
- Partnered with Finance & Administrative Services to implement the “one-stop shop” designed to receive payments related to Mayor’s Court, Development, and Finance & Administrative Services at one location.
 - Worked with City Attorney’s Office establishing The Upper Arlington Drug Court Program and court rules.

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Workload Measurements

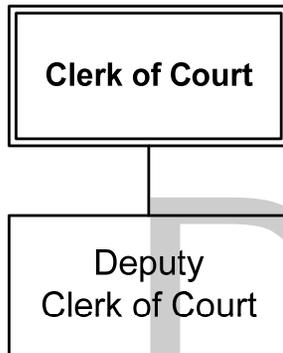
	Projected			
	<u>2015</u>	<u>2016</u>	<u>2017</u>	<u>2018</u>
Traffic cases filed	2,897	3,216*	3,300	3,300
Criminal cases filed	225	240*	250	250
Parking cases filed	350	418*	375	375
Warrants issued	244	344*	350	350
Court sessions held	52	52*	52	52
Fines & costs collected	\$335,152	\$367,572*	\$380,000	\$380,000
Clerk of Court costs	\$393,830	\$465,850	\$481,300	\$485,400
Court cost recovery ratio	0.85	0.79*	0.79	0.78

Does not include prosecution or probation costs.
*THROUGH SEPTEMBER 2016



CLERK OF COURT

The purpose of the Clerk of Court's office is to process all misdemeanor traffic and criminal violations written under Upper Arlington City Code and filed within the jurisdiction of Mayor's Court. The Mayor's Court serves as the judicial branch of the City, and the Mayor's staff functions as the Violations Bureau, thereby collecting payments for violations that do not require court appearances. Staff keeps abreast of changes in pertinent laws and regulations, and strives to enhance the day-to-day operation with all individuals associated with the court.



On The Horizon

- Continue to monitor fee structures including fine and court costs.
- Work in conjunction with the Police department to implement an electronic ticket system.
- Update computer software program.

Budget Summary

	Actual 2015	Amended 2016	Proposed 2017	2018
Clerk of Court	\$375,191	\$456,150	\$474,300	\$479,100
Total General Fund	\$375,191	\$456,150	\$474,300	\$479,100
Clerk of Courts Fund	\$10,587	\$27,000	\$32,000	\$17,000
Mayor's Court				
Computer Fund	8,056	24,900	26,600	11,600
Special Project Fund	0	15,000	24,000	15,000
Total - All Funds	\$393,834	\$523,050	\$556,900	\$522,700

Expenditures by Category

Personal Services	\$159,051	\$168,700	\$181,900	\$186,700
Other Than Personal Services	234,783	339,150	345,000	336,000
Capital Equipment	0	15,200	30,000	0
Total	\$393,834	\$523,050	\$556,900	\$522,700

Authorized Personnel	2015	2016	2017	2018
Full-Time Budgeted	2.00	2.00	2.00	2.00
FTE			.63	.63
Total	2.00	2.00	2.63	2.63

Clerk of Court - Total

	2011	2012	2013	2014	2015	2016	2016	Proposed	
	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Adopted</u>	<u>Amended</u>	2017	2018
Salaries and wages	\$ 102,864	\$ 107,896	\$ 113,023	\$ 115,919	\$ 119,008	\$ 127,200	\$ 127,200	\$ 144,600	\$ 148,600
Retirement	23,702	21,696	19,335	16,357	16,661	17,800	17,800	20,200	20,800
Fringe benefits	24,346	24,279	24,418	24,323	23,382	23,700	23,700	17,100	17,300
Total Personal Services	150,912	153,871	156,776	156,599	159,051	168,700	168,700	181,900	186,700
Materials and supplies	5,438	4,740	6,763	10,694	6,631	30,750	32,450	16,500	16,500
Utilities	-	102	329	709	1,182	500	500	1,000	1,000
Maintenance and repairs	9,854	10,149	10,567	10,527	10,910	17,800	18,800	18,000	18,000
Professional development	681	1,363	414	550	855	1,400	1,400	1,400	1,400
Professional services	31,146	30,191	32,867	34,700	34,003	40,200	40,400	62,100	53,100
Other services	3,073	2,242	3,118	3,951	2,733	5,000	5,000	4,500	4,500
Miscellaneous expenditures	77,116	66,718	102,957	141,419	178,469	201,500	240,600	241,500	241,500
Total Supplies and Services	127,308	115,505	157,015	202,550	234,783	297,150	339,150	345,000	336,000
Grand Total	\$ 278,220	\$ 269,376	\$ 313,791	\$ 359,149	\$ 393,834	\$ 465,850	\$ 507,850	\$ 526,900	\$ 522,700

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Clerk of Court - General Fund

	2011	2012	2013	2014	2015	2016	2016	Proposed	
	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Adopted</u>	<u>Amended</u>	<u>2017</u>	<u>2018</u>
Salaries and wages	\$ 102,864	\$ 107,896	\$ 113,023	\$ 115,919	\$ 119,008	\$ 127,200	\$ 127,200	\$ 144,600	\$ 148,600
Retirement	23,702	21,696	19,335	16,357	16,661	17,800	17,800	20,200	20,800
Fringe benefits	24,346	24,279	24,418	24,323	23,382	23,700	23,700	17,100	17,300
Total Personal Services	150,912	153,871	156,776	156,599	159,051	168,700	168,700	181,900	186,700
Materials and supplies	635	319	617	256	720	750	750	1,000	1,000
Maintenance and repairs	-	248	23	462	315	300	1,300	500	500
Professional development	681	1,363	414	550	855	1,400	1,400	1,400	1,400
Professional services	31,146	30,191	32,663	34,700	34,003	40,000	40,000	45,000	45,000
Other services	2,449	2,056	3,118	3,951	2,733	5,000	5,000	4,500	4,500
Miscellaneous expenditures	76,434	66,444	101,330	140,275	177,514	200,000	239,000	240,000	240,000
Total Supplies and Services	111,345	100,621	138,165	180,194	216,140	247,450	287,450	292,400	292,400
Grand Total	\$ 262,257	\$ 254,492	\$ 294,941	\$ 336,793	\$ 375,191	\$ 416,150	\$ 456,150	\$ 474,300	\$ 479,100

Notes:

Personal services: Includes a proposed increase for a new part-time position to assist with an increased Mayor's Court workload.

Professional services: Includes a proposed increase for costs related to court appointed attorneys. With the increased number of defendants being put in jail, fees are expected to increase.

Clerk of Courts Fund

	2011	2012	2013	2014	2015	2016	2016	Proposed	
	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Adopted</u>	<u>Amended</u>	<u>2017</u>	<u>2018</u>
Revenues:									
Court fee revenue	\$ 13,500	\$ 9,603	\$ 13,500	\$ 9,431	\$ 10,101	\$ 10,000	\$ 10,000	\$ 10,000	\$ 10,000
Total Revenues	13,500	9,603	13,500	9,431	10,101	10,000	10,000	10,000	10,000
Materials and supplies	4,442	3,595	2,862	7,496	4,592	10,000	12,300	10,000	10,000
Maintenance and repairs	5,054	5,376	4,880	4,989	5,340	6,000	6,000	6,000	6,000
Professional services	-	-	102	-	-	-	200	-	-
Miscellaneous expenditures	585	274	967	726	655	1,000	1,000	1,000	1,000
Total Supplies and Services	10,174	9,338	8,811	13,211	10,587	17,000	19,500	17,000	17,000
Total Expenditures	\$ 10,174	\$ 9,338	\$ 8,811	\$ 13,211	\$ 10,587	\$ 17,000	\$ 19,500	\$ 17,000	\$ 17,000

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Mayor's Court Computer Fund

	<u>2011</u>	<u>2012</u>	<u>2013</u>	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2016</u>	<u>Proposed</u>	
	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Adopted</u>	<u>Amended</u>	<u>2017</u>	<u>2018</u>
Revenues:									
Court fee revenue	\$ 10,289	\$ 9,603	\$ 8,871	\$ 9,430	\$ 10,101	\$ 10,000	\$ 10,000	\$ 10,000	\$ 10,000
Total Revenues	10,289	9,603	8,871	9,430	10,101	10,000	10,000	10,000	10,000
Materials and supplies	361	826	3,284	2,942	1,319	10,000	9,400	3,500	3,500
Utilities	-	102	329	709	1,182	500	500	1,000	1,000
Maintenance and repairs	4,800	4,525	5,664	5,076	5,255	6,500	6,500	6,500	6,500
Professional services	-	-	102	-	-	200	200	100	100
Miscellaneous expenditures	97	-	660	418	300	500	600	500	500
Total Supplies and Services	5,789	5,546	10,039	9,145	8,056	17,700	17,200	11,600	11,600
Total Expenditures	\$ 5,789	\$ 5,546	\$ 10,039	\$ 9,145	\$ 8,056	\$ 17,700	\$ 17,200	\$ 11,600	\$ 11,600

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Mayor's Court Special Project Fund

	<u>2011 Actual</u>	<u>2012 Actual</u>	<u>2013 Actual</u>	<u>2014 Actual</u>	<u>2015 Actual</u>	<u>2016 Adopted</u>	<u>2016 Amended</u>	<u>Proposed</u>	
								<u>2017</u>	<u>2018</u>
Revenues:									
Court fee revenue	\$ -	\$ -	\$ 11,758	\$ 30,911	\$ 33,434	\$ 30,000	\$ 30,000	\$ 30,000	\$ 30,000
Total Revenues	-	-	11,758	30,911	33,434	30,000	30,000	30,000	30,000
Materials and supplies	-	-	-	-	-	10,000	10,000	2,000	2,000
Maintenance and repairs	-	-	-	-	-	5,000	5,000	5,000	5,000
Professional services	-	-	-	-	-	-	-	17,000	8,000
Total Supplies and Services	-	-	-	-	-	15,000	15,000	24,000	15,000
Total Expenditures	\$ -	\$ 15,000	\$ 15,000	\$ 24,000	\$ 15,000				

Notes:

Professional services:

Includes a proposed increase for the costs related to the magistrate for Drug Court (twice a month). An additional proposed increase is included in 2017 for an evaluation of the first year of the Drug Court program.

DRAFT



COMMUNITY DEVELOPMENT DEPARTMENT



OSU Wexner Medical Center



Columbus Aesthetic & Plastic Surgery Medical Center

Workload Measurements

			Projected	
	2015	2016	2017	2018
Permits Issued	2,954	2,109*	3,000	3,000
Building Inspections Conducted	4,853	3,409*	5,000	5,000
Total Construction Value	\$94.7M	\$105M**	\$95M	\$95M
Permit Fees Collected	\$852K	\$452K*	\$800K	\$800K
Number of BZAP Applications	53	36*	55	55
Number of Code Compliance Cases	735	488*	750	750

*THROUGH SEPTEMBER 2016

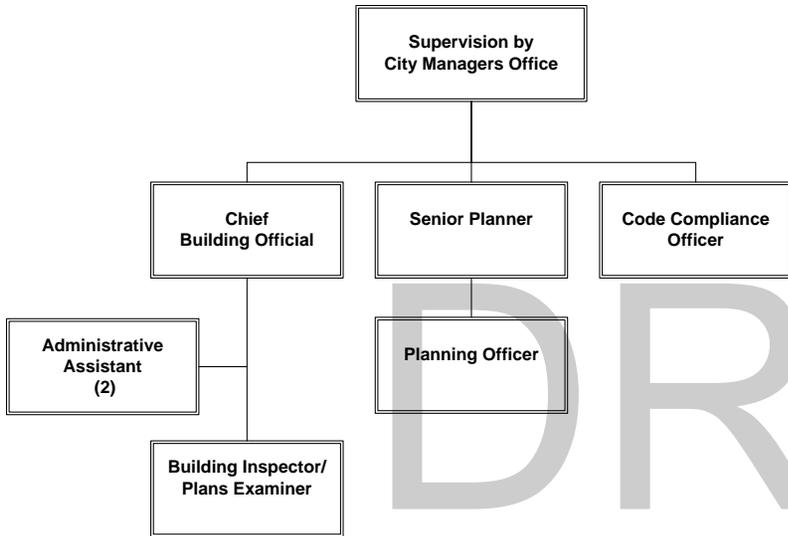
**ESTIMATED

2015-2016 Accomplishments

- Reviewed over 3,700 permits both in 2015 & 2016 with construction values of \$95M in 2015 and estimated to exceed \$100M in 2016.
- Conversion of numerous paper applications to an online format with online payment functionality.
- Facilitated multiple revisions to the Unified Development Ordinance which enhanced administration and streamlined regulations.
- Worked cooperatively with residents to correct code enforcement issues with over a 95% voluntary compliance rate.
- Administered the zoning and building codes and performed inspections for numerous development projects including:
 - New two-story 20,000 SF Columbus Aesthetic and Plastic Surgery (CAPS) medical office at 5005 Arlington Centre Blvd.
 - New five-story 104,000 SF OSU Wexner Medical Center at Kingsdale (1800 Zollinger Road).
 - New two-story 26,000 SF OhioHealth medical office building at 3363 Tremont Road.
 - U.S. Senior Open event facilities at Scioto Country Club.
 - New Wendy's restaurant at 1851 West Henderson Road.
 - New 6,600 SF retail outbuilding in front of the Shops on Lane Avenue (Whole Foods) which will include tenants such as Mutts & Company.
 - Over 20 new homes built in 2016 alone.

COMMUNITY DEVELOPMENT DEPARTMENT

The Community Development Department is comprised of three divisions: Planning, Building, and Code Compliance. The goals of each division compliment one another to guide the physical changes occurring within the community while preserving residential character and ensuring that facilities and buildings, once constructed, are properly maintained over time. The Community Development Department has continued to function at a high level in spite of reduced staffing and historically high work volume.



On The Horizon

- Implementation of new software to streamline permit submittals and processing.
- Various redevelopment/mixed-use projects including: Arlington Gateway, former Macy's site (Kingsdale), W. Lane Ave. projects.
- Conversion of 2 existing office buildings at West Lane Ave.: veterinary clinic and Brassica restaurant with corporate headquarters.

Budget Summary

	<u>Actual</u> <u>2015</u>	<u>Amended</u> <u>2016</u>	<u>Proposed</u> <u>2017</u>	<u>2018</u>
Community Development	\$845,591	\$835,400	\$894,500	\$861,200
Total General Fund	\$845,591	\$835,400	\$894,500	\$861,200

Total - All Funds **\$845,591** **\$835,400** **\$894,500** **\$861,200**

Expenditures by Category

Personal Services	\$710,232	\$687,900	\$706,400	\$723,100
Other Than Personal Services	135,359	147,500	188,100	138,100
Total	\$845,591	\$835,400	\$894,500	\$861,200

Authorized Personnel	2015	2016	2017	2018
Full-Time Budgeted	8.00	7.00	7.00	7.00
FTE	.75	.75	.75	.75
Total	8.75	7.75	7.75	7.75

Community Development

	2011	2012	2013	2014	2015	2016	2016	Proposed	
	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Adopted</u>	<u>Amended</u>	<u>2017</u>	<u>2018</u>
Salaries and wages	\$ 615,578	\$ 648,213	\$ 668,057	\$ 645,453	\$ 533,981	\$ 516,200	\$ 516,200	\$ 517,600	\$ 531,900
Retirement	126,646	116,526	105,767	89,332	74,758	72,300	72,300	72,500	74,500
Fringe benefits	136,272	132,861	138,055	136,681	101,493	99,400	99,400	116,300	116,700
Total Personal Services	878,496	897,600	911,879	871,466	710,232	687,900	687,900	706,400	723,100
Materials and supplies	3,554	3,497	3,711	2,691	1,603	4,500	4,500	3,500	3,500
Uniforms and clothing	794	793	333	320	383	1,000	1,000	650	650
Utilities	2,862	2,364	2,877	1,839	3,006	3,500	3,500	3,200	3,200
Maintenance and repairs	2,110	1,397	777	629	2,941	2,500	2,500	2,750	2,750
Professional development	5,234	6,022	2,578	8,933	2,333	12,000	12,000	10,000	10,000
Professional services	59,166	73,166	69,166	103,905	104,668	110,000	110,000	160,000	110,000
Other services	1,872	832	1,377	4,341	19,014	12,000	12,000	6,000	6,000
Miscellaneous expenditures	8	-	1,232	531	1,411	2,000	2,000	2,000	2,000
Total Supplies and Services	75,600	88,071	82,051	123,189	135,359	147,500	147,500	188,100	138,100
Grand Total	\$ 954,096	\$ 985,671	\$ 993,930	\$ 994,655	\$ 845,591	\$ 835,400	\$ 835,400	\$ 894,500	\$ 861,200

Notes:

Professional services:

The additional \$50,000 requested in the proposed 2017 budget under "professional services" is for a comprehensive study of housing in the River Ridge and Kingsdale areas of the City which is likely to see significant redevelopment over the next 20 years due to its relatively lower cost of housing and aging housing stock. Compatibility with the existing neighborhood has been a significant concern with this redevelopment. In the near term we need to examine strategies for encouraging housing redevelopment along Tremont and Northwest near Kingsdale in order to continue positive trends in this area. Staff has proactively reached out to local firms and asked about the potential cost of this project; we found that such a scope with public participation will be as much as \$50,000.



FACILITIES MAINTENANCE



Municipal Services Center

2015 - 2016 Accomplishments

- Created a new recreational classroom space from previous records room at the Municipal Service Center (MSC).
- Repaired concrete and painted the City Attorney's patio entrance.
- Repaired MSC roof.
- Weatherproofed the leaking areas at MSC entranceway.
- Cleaned all carpets at the MSC.
- Contracted with outside vendors to provide all MSC floor mats.



Public Service Center



Amelita Mirolo Barn

FACILITIES MAINTENANCE

Facilities Maintenance is a cost center accounting for the operations and maintenance of the City buildings that include the Municipal Service Center, the Public Service Center, Fire Stations 1 and 2, the Senior Center, the Amelito Mirolo Barn and the Recreation Station (formerly Fire Station 3). The budget contains custodial services, facility support, utilities and maintenance services.



Fire Station 71



Fire Station 72

On The Horizon

- Renovation of MSC space to create
 - One-stop shop
 - Additional meeting space
 - Breakroom
- Replacement of exterior door at the Senior Center building #4.
- Replacement of broken and/or cracked windows at the Senior Center.
- Installation of lower level magnetic door release.
- Weatherproofing the exterior of the MSC.
- Replacement of PSC roof.
- New sound system installation in Council Chambers.

Budget Summary

	<u>Actual</u> 2015	<u>Amended</u> 2016	<u>Proposed</u> 2017	<u>2018</u>
Facilities Maintenance	\$1,001,691	\$1,497,000	\$1,499,600	\$1,182,600
Total General Fund	\$1,001,691	\$1,497,000	\$1,499,600	\$1,182,600
Total - All Funds	\$1,001,691	\$1,497,000	\$1,499,600	\$1,182,600

Expenditures by Category

Personal Services				
Other Than Personal Services	\$1,001,691	\$1,497,000	\$1,499,600	\$1,182,600
Total	\$1,001,691	\$1,497,000	\$1,499,600	\$1,182,600

Authorized Personnel	2015	2016	2017	2018
Full-Time Budgeted	0.00	0.00	0.00	0.00
FTE				
Total	0.00	0.00	0.00	0.00

Facilities Maintenance

	2011	2012	2013	2014	2015	2016	2016	Proposed	
	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Adopted</u>	<u>Amended</u>	<u>2017</u>	<u>2018</u>
Salaries and wages	\$ 51,880	\$ 43,548	\$ 6,242	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Retirement	9,823	8,758	-	-	-	-	-	-	-
Fringe benefits	16,938	16,779	-	-	-	-	-	-	-
Total Personal Services	78,641	69,085	6,242	-	-	-	-	-	-
Materials and supplies	45,288	46,300	50,915	48,475	55,025	61,000	61,000	57,000	57,000
Uniforms and clothing	827	251	-	-	-	-	-	-	-
Rents and leases	-	-	56	-	73	-	-	100	100
Utilities	447,842	491,400	373,001	503,169	477,051	525,000	525,000	475,000	475,000
Maintenance and repairs	171,130	205,458	211,551	309,394	391,203	685,000	685,000	817,000	500,000
Professional services	-	-	1,804	-	26,400	150,000	150,000	75,000	75,000
Other services	103,343	76,972	107,559	68,018	51,939	75,000	75,000	75,000	75,000
Miscellaneous expenditures	9,300	-	660	-	-	1,000	1,000	500	500
Total Supplies and Services	777,730	820,381	745,546	929,056	1,001,691	1,497,000	1,497,000	1,499,600	1,182,600
Grand Total	\$ 856,371	\$ 889,466	\$ 751,788	\$ 929,056	\$ 1,001,691	\$ 1,497,000	\$ 1,497,000	\$ 1,499,600	\$ 1,182,600

Notes:

Maintenance and repairs:

Includes proposed increases related to:

<u>Year</u>	<u>Project</u>	<u>Amount</u>	<u>Description</u>
2017	PSC 2nd Floor Updates	\$ 115,000	The carpet and furniture is from 1993 when there were only 8 employees upstairs in the PSC. Currently there are 15 employees in this space. The changes in staff and technology over the past 25 years warrant changes to the work space layouts. There are less storage requirements with technology advancements, but additional needs in work spaces and common team discussion areas.
2017	Station 71 Evaluation	\$ 20,000	As we get closer to expiration of debt on Station 71, there has been indication that a number of basic repair items at Station 71 need to be addressed. A study to put together a capital replacement plan for Station 71 is the goal.
2017	PSC Roof Replacement	\$ 300,000	Design/engineering contract is currently being finalized to evaluate and develop bid specs for the roof replacement.
2017	PSC Garage Doors (3)	\$ 32,000	Garage doors are worn and not weather tight causing significant air loss during the winter months.



FINANCE AND ADMINISTRATIVE SERVICES



Finance and Administrative Services Department receiving an award for “Excellence in Financial Reporting” from the Ohio Auditor of State.

2015 - 2016 Accomplishments

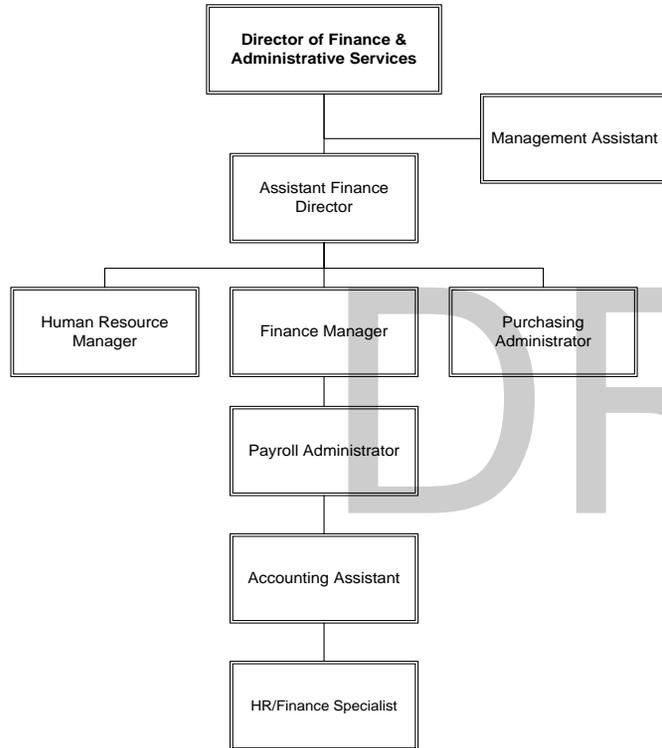
- Received the following awards from the Government Finance Officer’s Association:
 - Distinguished Budget Presentation Award for the 2015-2016 Biennial Budget
 - Certificate of Achievement for Excellence in Financial Reporting for the 2014 and 2015 Comprehensive Annual Financial Reports
 - Award for Outstanding Achievement for the 2014 and 2015 Popular Annual Financial Reports
- Ohio Auditor of State Award with Distinction for the 2015 Financial Audit.
- Maintained the AAA bond rating status from both Moody’s and Standard & Poor’s for the 2015 and 2016 bond issues.
- Recovered \$141,242 by online auctioning of surplus items.
- Collected approximately \$400,000 in delinquent income taxes in 2015.
- Implemented (with the collaboration of other departments) the following technological advances:
 - Performance evaluation software
 - Online data management
 - Online payroll reporting system
 - OpenGov – a financial transparency and open data solution
 - Converted 75% of all HR forms to electronic access
- Refunded approximately \$6 million in general obligations bonds resulting in savings of approximately \$300,000.

Workload Measurements

	Actual		Projected	
	2015	2016	2017	2018
Accounts Payable				
Checks issued	4,537	3,801	4,500	4,500
CIP Bond Issuances (in millions)	\$25	\$8.8	\$6.6	\$0
Investment Portfolio Managed (in millions)	\$68.5	\$70.5	\$80	\$70
Online Bids	65	50	35	35
Utility billing accounts maintained	13,400	13,419	13,419	13,419

FINANCE AND ADMINISTRATIVE SERVICES

The mission of the Finance and Administrative Services Department is to maintain a fiscally sound governmental organization that conforms to legal requirements and to generally accepted financial accounting and management principles (GAAP), prepare and monitor annual city operating budgets, recruit and hire personnel, administer employee benefits, maintain effective and efficient procurement procedures, provide accurate and efficient payroll services, maximize the investment of City funds, ensure enforcement of the income tax ordinance, and provide accurate billing services for stormwater utility fees, solid waste service fees, miscellaneous fees, and user charges.



On The Horizon

- Implementation of online performance evaluation system.
- Continued assistance with the Police scheduling and time keeping system.

Budget Summary

	<u>Actual</u> 2015	<u>Amended</u> 2016	<u>Proposed</u> 2017	<u>2018</u>
Finance and Administrative Services	\$1,035,934	\$1,145,400	\$1,188,100	\$1,212,500
Total - General Fund	\$1,035,934	\$1,145,400	\$1,188,100	\$1,212,500
Total - All Funds	\$1,035,934	\$1,145,400	\$1,188,100	\$1,212,500

Expenditures by Category

Personal Services	\$918,523	\$977,400	\$1,020,100	\$1,044,500
Other Than Personal Services	117,411	168,000	168,000	168,000
Total	\$1,035,934	\$1,145,400	\$1,188,100	\$1,212,500

Authorized Personnel	2015	2016	2017	2018
Full-Time Budgeted	9.00	9.00	9.00	9.00
FTE	.60	.60	1.20	1.20
Total	9.60	9.60	10.20	10.20

Finance and Administrative Services

	2011	2012	2013	2014	2015	2016	2016	Proposed	
	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Adopted</u>	<u>Amended</u>	2017	2018
Salaries and wages	\$ 599,238	\$ 750,868	\$ 662,859	\$ 690,084	\$ 711,986	\$ 762,000	\$ 762,000	\$ 775,500	\$ 796,300
Retirement	126,495	138,297	102,954	96,885	99,678	106,700	106,700	108,600	111,500
Fringe benefits	128,271	149,063	112,840	110,497	106,859	108,700	108,700	136,000	136,700
Total Personal Services	854,004	1,038,228	878,653	897,466	918,523	977,400	977,400	1,020,100	1,044,500
Materials and supplies	2,127	2,743	2,392	2,712	2,937	4,000	4,000	3,500	3,500
Non-capital assets	-	491	-	-	-	-	-	-	-
Utilities	821	557	1,946	1,683	2,099	1,500	1,500	1,500	1,500
Maintenance and repairs	220	220	220	220	892	500	500	500	500
Professional development	10,929	11,646	11,442	18,588	22,121	20,000	20,000	22,000	22,000
Professional services	81,662	70,796	112,877	82,750	79,952	128,000	128,000	129,000	129,000
Other services	1,598	2,743	14,929	7,776	7,347	10,000	10,000	8,000	8,000
Miscellaneous expenditures	3,778	3,870	2,537	1,712	2,063	4,000	4,000	3,500	3,500
Total Supplies and Services	101,135	93,066	146,343	115,441	117,411	168,000	168,000	168,000	168,000
Grand Total	\$ 955,139	\$ 1,131,294	\$ 1,024,996	\$ 1,012,907	\$ 1,035,934	\$ 1,145,400	\$ 1,145,400	\$ 1,188,100	\$ 1,212,500



FIRE DIVISION

Incident Totals	2015	2016*
Structure Fires	73	64
Vehicle Fires	2	5
Vegetation Fires	13	8
Refuse/ Rubbish Fires	3	2
Other Fires	4	1
EMS and Rescue Response	3128	2098
Hazardous Conditions	187	105
Service Calls	286	234
Good Intent Calls	995	507
Malicious False Alarms	7	3
Other False Alarms	333	218
Mutual Aid Given	1748	832

***THROUGH SEPTEMBER 2016**



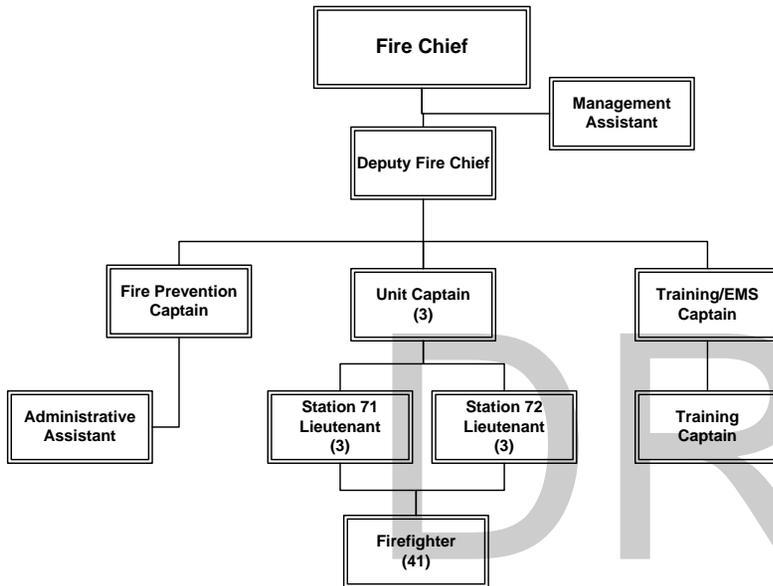
Fire Station 72

2015 - 2016 Accomplishments

- Received the American Heart Association Mission Lifeline Award for performance levels related to cardiac care for the second consecutive year.
- Provided CPR training to 930 residents at Station 72.
- Participated in Project Dawn program with the State of Ohio and local public health officials to expand local access to Naloxone (Narcan).
- Formed a group of UA paramedics, other local fire departments, and hospital professionals to evaluate the applicability and benefit of a community based paramedicine program.
- Firefighter Mike McKibben graduated as the honor graduate (highest academic score) from the Central Ohio Fire Chiefs paramedic program at Grant Hospital in 2015.
- Appointed Deputy Mark Zambito to this new Fire Division position in 2016.
- Implemented a new process for spring fire hydrant flushing of all City hydrants that reduces rusty water and low pressure.

FIRE DIVISION

The Upper Arlington Fire Division responds to fire, rescue, medical, and similar emergencies experienced by the community with the intent of stabilization and a return to normalcy. Through training and education, the Division provides for the safety and well being of the public and our peers in a professional and competent manner.



Labor Day Arts Festival

Budget Summary

	Actual 2015	Amended 2016	Proposed 2017	2018
Fire Division	\$7,635,989	\$8,461,900	\$8,267,800	\$8,387,200
Total General Fund	\$7,635,989	\$8,461,900	\$8,267,800	\$8,387,200
EMS Fund	\$410,448	\$445,086	\$465,100	\$265,000
Total - All Funds	\$8,046,437	\$8,906,986	\$8,732,900	\$8,652,200

Expenditures by Category

Personal Services	\$7,404,330	\$8,215,400	\$7,975,800	\$8,095,200
Other Than Personal Services	538,819	661,586	757,100	557,000
Capital Equipment	103,288	30,000	0	0
Total	\$8,046,437	\$8,906,986	\$8,732,900	\$8,652,200

Authorized Personnel	2015	2016	2017	2018
Full-Time Budgeted	57.00	57.00	57.00	57.00
FTE				
Total	57.00	57.00	57.00	57.00

Fire Division - Total

	2011	2012	2013	2014	2015	2016	2016	Proposed	
	Actual	Actual	Actual	Actual	Actual	Adopted	Amended	2017	2018
Salaries and wages	\$ 5,130,855	\$ 5,119,558	\$ 4,913,714	\$ 4,969,943	\$ 5,069,996	\$ 5,716,100	\$ 5,716,100	\$ 5,510,600	\$ 5,556,300
Retirement	1,630,328	1,638,749	1,594,882	1,517,579	1,450,981	1,574,400	1,574,400	1,413,700	1,486,000
Fringe benefits	1,011,551	996,953	954,645	914,232	883,353	924,900	924,900	1,051,500	1,052,900
Total Personal Services	7,772,734	7,755,260	7,463,241	7,401,754	7,404,330	8,215,400	8,215,400	7,975,800	8,095,200
Materials and supplies	106,580	93,332	95,669	107,352	134,247	122,500	120,500	190,000	180,000
Uniforms and clothing	34,633	32,714	25,005	30,761	38,311	34,000	34,000	49,000	49,000
Utilities	6,379	13,320	14,749	12,527	8,725	15,000	15,000	15,000	15,000
Maintenance and repairs	23,217	19,110	22,856	25,448	33,111	23,000	25,000	25,000	25,000
Professional development	42,596	32,751	30,344	38,023	25,134	43,000	41,000	42,000	42,000
Professional services	76,906	78,784	75,946	62,859	68,091	85,000	85,000	85,000	85,000
Other services	61,336	46,292	54,001	44,565	38,397	63,000	64,000	73,000	73,000
Miscellaneous expenditures	632	392	352	3,482	2,717	1,000	2,000	3,000	3,000
Total Supplies and Services	352,279	316,695	318,922	325,017	348,733	386,500	386,500	482,000	472,000
Grand Total	\$ 8,125,013	\$ 8,071,955	\$ 7,782,163	\$ 7,726,771	\$ 7,753,063	\$ 8,601,900	\$ 8,601,900	\$ 8,457,800	\$ 8,567,200

Fire Division - General Fund

	2011	2012	2013	2014	2015	2016	2016	Proposed	
	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Adopted</u>	<u>Amended</u>	<u>2017</u>	<u>2018</u>
Salaries and wages	\$ 5,093,509	\$ 5,088,397	\$ 4,868,568	\$ 4,969,943	\$ 5,069,996	\$ 5,716,100	\$ 5,716,100	\$ 5,510,600	\$ 5,556,300
Retirement	1,621,724	1,632,440	1,586,852	1,517,579	1,450,981	1,574,400	1,574,400	1,413,700	1,486,000
Fringe benefits	994,842	984,337	948,807	914,232	883,353	924,900	924,900	1,051,500	1,052,900
Total Personal Services	7,710,075	7,705,174	7,404,227	7,401,754	7,404,330	8,215,400	8,215,400	7,975,800	8,095,200
Materials and supplies	47,534	32,961	39,085	33,564	55,136	47,500	45,500	60,000	60,000
Uniforms and clothing	34,633	32,714	25,005	30,761	38,311	34,000	34,000	49,000	49,000
Utilities	6,379	13,320	14,749	12,527	8,725	15,000	15,000	15,000	15,000
Maintenance and repairs	18,858	18,105	22,028	18,994	30,859	18,000	20,000	20,000	20,000
Professional development	42,596	32,751	30,300	38,023	25,134	43,000	41,000	42,000	42,000
Professional services	76,906	78,784	75,946	62,859	68,091	85,000	85,000	85,000	85,000
Other services	1,004	3,026	576	1,132	2,686	3,000	4,000	18,000	18,000
Miscellaneous expenditures	632	392	352	3,482	2,717	1,000	2,000	3,000	3,000
Total Supplies and Services	228,542	212,053	208,041	201,342	231,659	246,500	246,500	292,000	292,000
Grand Total	\$ 7,938,617	\$ 7,917,227	\$ 7,612,268	\$ 7,603,096	\$ 7,635,989	\$ 8,461,900	\$ 8,461,900	\$ 8,267,800	\$ 8,387,200

Notes:

Materials and supplies:

Includes a proposed increase due to increased support for Community CPR education and AED Program as well as active shooter materials and supplies. There are also several specific non-capital items that need replaced including some firehose purchased prior to 1980 as well as the Fire Division's gas monitors.

Uniforms and clothing:

Includes a proposed increase which supports the firefighters personal protective equipment and with changing safety standards, increased awareness of the hazards of skin cancer as well as staff turnover requiring the need to outfit multiple new firefighters as well as replace some legacy safety clothing.

Other services:

Includes a proposed increase to more accurately reflect some services performed by outside contractors annually such as pump, ladder, personal protective equipment, and breathing air quality testing as well as adding hose pressure testing.

Fire Division - EMS Billing Fund

	2011	2012	2013	2014	2015	2016	2016	Proposed	
	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Adopted</u>	<u>Amended</u>	<u>2017</u>	<u>2018</u>
Revenues:									
EMS revenue	\$ 786,810	\$ 831,707	\$ 740,410	\$ 732,400	\$ 741,476	\$ 725,000	\$ 725,000	\$ 630,000	\$ 630,000
Lease Proceeds	-	-	918,049	-	-	-	-	-	-
Total Revenues	786,810	831,707	1,658,459	732,400	741,476	725,000	725,000	630,000	630,000
Salaries and wages	37,346	31,161	45,146	-	-	-	-	-	-
Retirement	8,604	6,309	8,030	-	-	-	-	-	-
Fringe benefits	16,709	12,616	5,838	-	-	-	-	-	-
Total Personal Services	62,659	50,086	59,014	-	-	-	-	-	-
Materials and supplies	59,046	60,371	56,584	73,788	79,111	75,000	75,000	130,000	120,000
Maintenance and repairs	4,359	1,005	828	6,454	2,252	5,000	5,000	5,000	5,000
Professional development	-	-	44	-	-	-	-	-	-
Other services	60,332	43,266	53,425	43,433	35,711	60,000	60,000	55,000	55,000
Total Supplies and Services	123,737	104,642	110,881	123,675	117,074	140,000	140,000	190,000	180,000
Total Expenditures	\$ 186,396	\$ 154,728	\$ 169,895	\$ 123,675	\$ 117,074	\$ 140,000	\$ 140,000	\$ 190,000	\$ 180,000

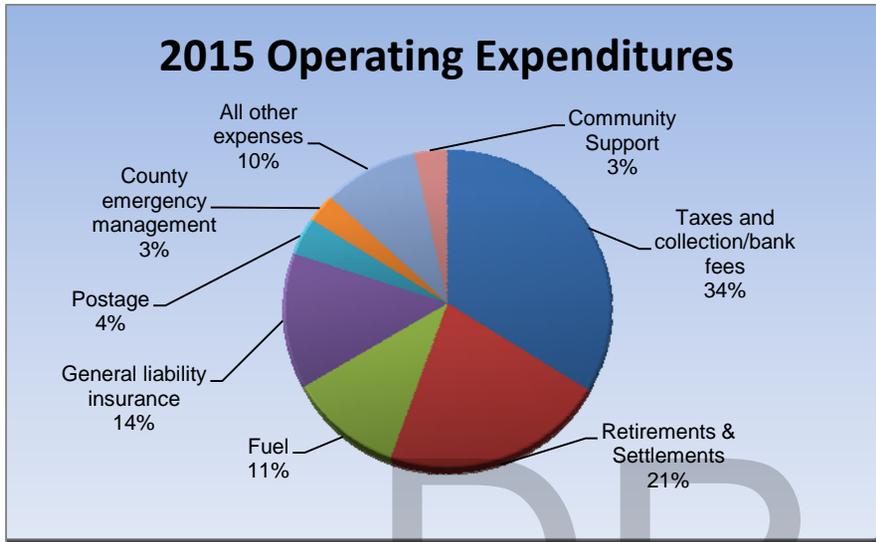
Notes:

Materials and supplies:

Includes a proposed increase for the Fire Division to begin the process of replacing the current portable radios in service. The current portable radios have been in service an average of ten years and are approaching the end of their service life. Due to their age and the dated technology, repair parts availability will be a crucial issue beginning next year with no guarantee to purchase manufacturer repair parts. The Fire Division is requesting to spread this total \$90,000 replacement project cost over two year period allowing us to manage the process as most beneficial to the City and Fire Division personnel.



GENERAL ADMINISTRATION



The City of Upper Arlington contributes to organizations in the community that request funding for programs that benefit or serve the residents of Upper Arlington. The following is a list of the organizations who have received funding support in 2015/2016. All contributions are expensed in “Supplies/Services – Community Support.”

- Syntero
- Upper Arlington Leadership Program
- Upper Arlington Commission on Aging
- UA Historical Society

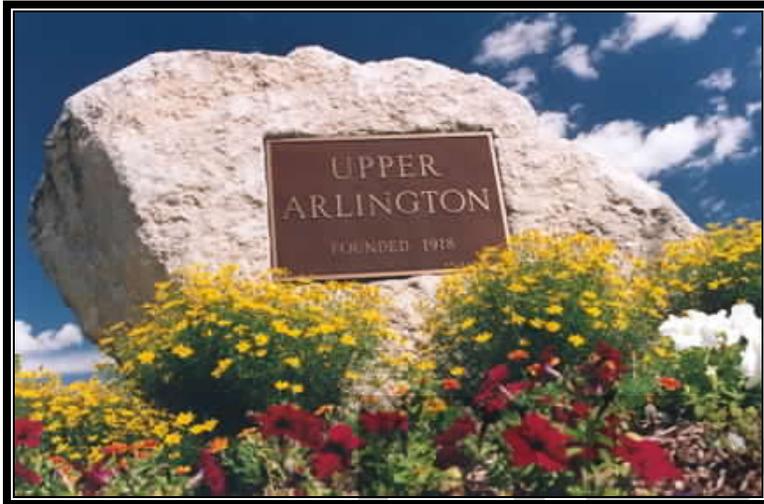
General Administration provides funding for those operating expenditures that effect and/or benefit the entire City. The types of expenditures paid from General Administration include:

- Supplies such as fuel, copy paper, and printed letterhead and postage
- City memberships such as Ohio Municipal League, Mid-Ohio Regional Planning Commission, and Emergency Management System
- Postage
- Services such as income tax administration, general liability insurance, banking charges, and any fees charged by other governmental agencies
- Community Support Funding

Additionally, general administration funds other expenditures that are not part of any department’s on-going services. Examples include:

- Human resources consultants
- Retirement and termination payments

GENERAL ADMINISTRATION



Budget Summary

	<u>Actual</u> 2015	<u>Amended</u> 2016	<u>Proposed</u> 2017	<u>2018</u>
General Administration	\$1,919,223	\$2,329,300	\$2,357,700	\$2,236,300
Total General Fund	\$1,919,223	\$2,329,300	\$2,357,700	\$2,236,300
Total – All Funds	\$1,919,223	\$2,329,300	\$2,357,700	\$2,236,300
<u>Expenditures by Category</u>				
Personal Services	\$410,502	\$583,300	\$608,700	\$507,300
Other Than Personal Services	1,508,721	1,746,000	1,749,000	1,729,000
Total	\$1,919,223	\$2,329,300	\$2,357,700	\$2,236,300

On the Horizon

- Continued support for local non-profit organizations.

General Administration

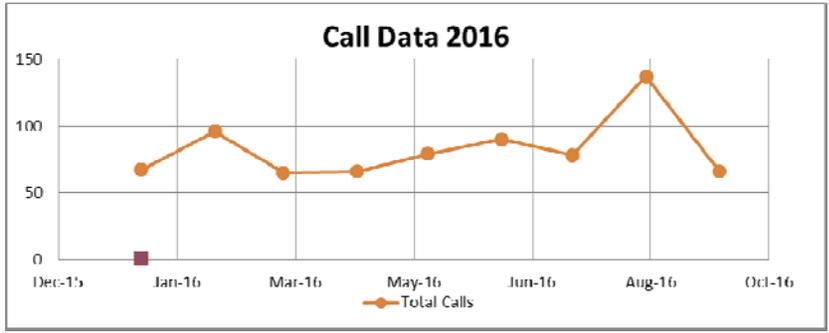
	2011	2012	2013	2014	2015	2016	2016	Proposed	
	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Adopted</u>	<u>Amended</u>	<u>2017</u>	<u>2018</u>
Salaries and wages	\$ 148,606	\$ 246,853	\$ 135,347	\$ 405,412	\$ 401,063	\$ 575,000	\$ 575,000	\$ 600,000	\$ 500,000
Retirement	2,558	284	404	-	-	-	-	-	-
Fringe benefits	2,593	-	55	4,367	9,439	8,300	8,300	8,700	7,300
Total Personal Services	153,757	247,137	135,806	409,779	410,502	583,300	583,300	608,700	507,300
Materials and supplies	373,147	367,691	328,779	341,697	220,758	390,000	390,000	350,000	350,000
Non-capital assets	-	639	-	-	-	-	-	-	-
Rents and leases	5,880	4,101	2,322	1,161	-	6,000	6,000	29,000	29,000
Maintenance and repairs	8,399	9,279	11,392	11,792	22,895	10,000	10,000	15,000	15,000
Professional development	26,213	27,788	20,773	24,198	46,758	35,000	35,000	55,000	55,000
Professional services	39,226	25,273	133,412	22,370	15,352	50,000	50,000	70,000	50,000
Intra-city services	-	-	-	-	-	-	-	-	-
Other services	601,002	542,062	615,328	695,547	798,569	720,000	855,000	830,000	830,000
Miscellaneous expenditures	342,822	390,081	346,487	397,766	404,389	400,000	400,000	400,000	400,000
Total Supplies and Services	1,396,689	1,366,914	1,458,493	1,494,531	1,508,721	1,611,000	1,746,000	1,749,000	1,729,000
Grand Total	\$ 1,550,446	\$ 1,614,051	\$ 1,594,299	\$ 1,904,310	\$ 1,919,223	\$ 2,194,300	\$ 2,329,300	\$ 2,357,700	\$ 2,236,300

Notes:

- Rents and leases: Includes a proposed increase for the lease of the St. Agatha parking lot.
- Professional services: Includes a proposed increase for additional leadership based training. (2017)
- Other services: Includes a proposed increase for higher tax collection fees as result of increased tax collections.



INFORMATION TECHNOLOGY

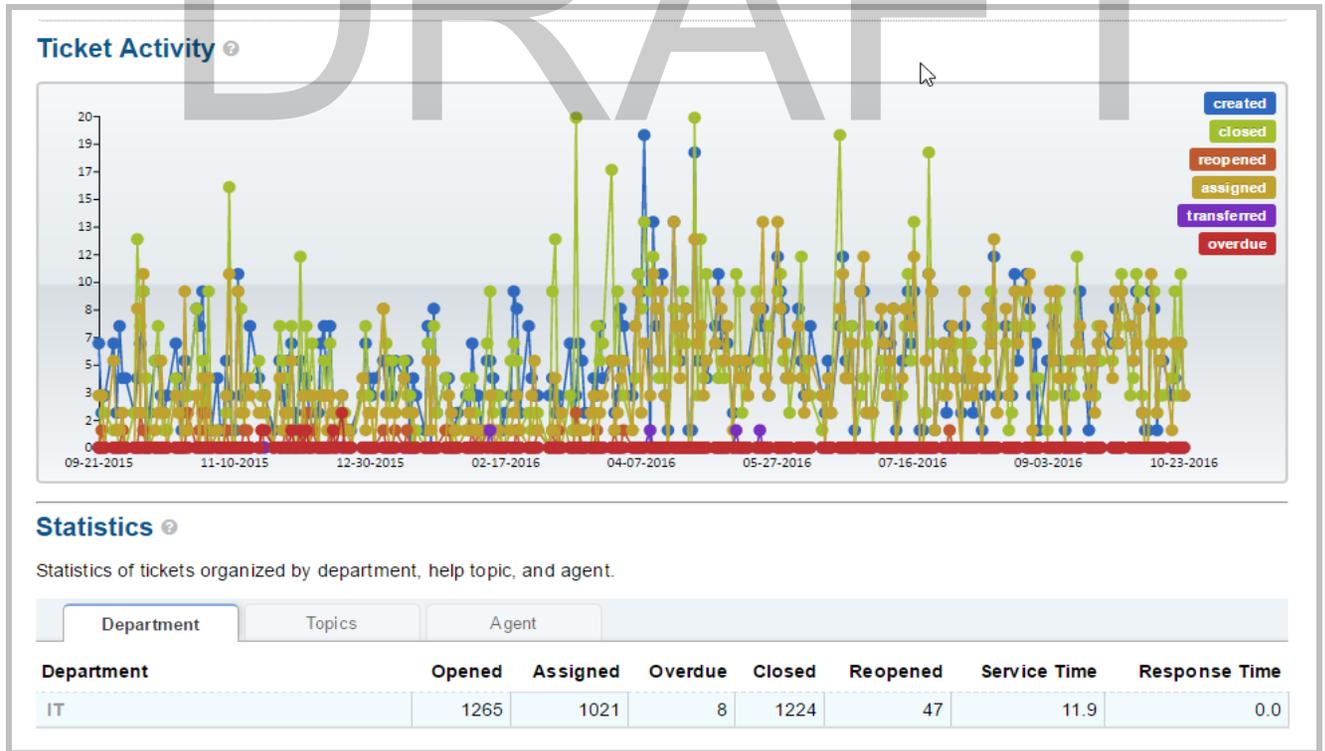


MONTHLY CALL VOLUME

2015-2016 Accomplishments

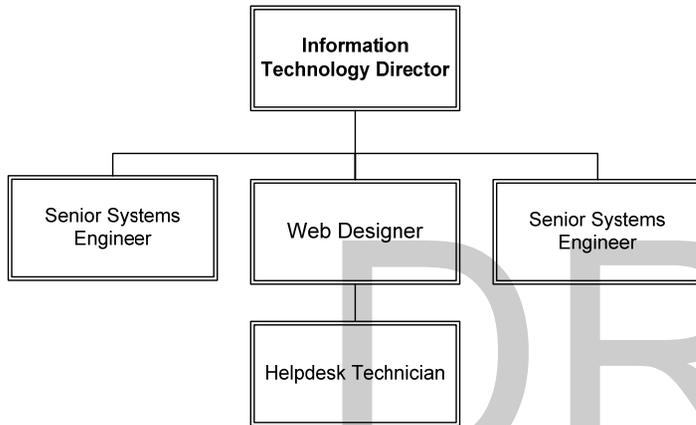
- Construction of the City's fiber network and ensuring proper functioning connectivity to all of the facilities that are part of the network.
- Network refresh allowing for more efficiencies, cost effectiveness, and easier to manage.
- Launched Office365 SharePoint online, delivering a more valuable intranet that would provide services, training, online forms, and collaboration tools.

DRAFT



INFORMATION TECHNOLOGY

The Information Technology Department seeks to develop information management systems that will provide the City with an integrated, shared network of applications thereby enhancing decision-making and contributing to the overall efficiency of the service delivery. Information Technology supports the computerized information systems and the staff who depend upon these systems to communicate, process data, and develop information necessary to the mission of the organization, its policies, and management. The development, implementation, and support of a network and integrated geographical information system (GIS) are the primary objectives of the department, as well as the support of the City's financial system, public safety system, and personal computers.



On The Horizon

- Replacing traditional desktop computers with a small form factor PC.
- Installing new software for Community Development, Clerk of Courts, and Public Service.
- Implementation of police electronic citation system.
- Replacing in-car recording camera systems in police cruisers.

Budget Summary

	Actual 2015	Amended 2016	Proposed 2017	Proposed 2018
Information Technology	\$928,018	\$1,049,300	\$1,163,400	\$1,176,000
Total General Fund	\$928,018	\$1,049,300	\$1,163,400	\$1,176,000
Capital Equipment	\$135,921	\$159,400	\$418,900	\$0
Technology Fund	88,615	93,600	78,900	75,000
Total - All Funds	\$1,152,554	\$1,302,300	\$1,661,200	\$1,251,000

Expenditures by Category

Personal Services	\$354,242	\$423,300	\$517,400	\$530,000
Other Than Personal Services	588,776	719,600	724,900	721,000
Capital Equipment	209,536	159,400	418,900	0
Total	\$1,152,554	\$1,302,300	\$1,661,200	\$1,251,000

Authorized Personnel	2015	2016	2017	2018
Full-Time Budgeted	3.00	4.00	5.00	5.00
FTE	.72			
Total	3.72	4.00	5.00	5.00

Information Technology - Total

	2011 Actual	2012 Actual	2013 Actual	2014 Actual	2015 Actual	2016 Adopted	2016 Amended	Finance Proposal 2017	Finance Proposal 2018
Salaries and wages	\$ 230,799	\$ 249,102	\$ 255,770	\$ 258,478	\$ 265,655	\$ 319,800	\$ 319,800	\$ 386,500	\$ 397,200
Retirement	43,087	42,686	39,787	36,089	36,939	44,800	44,800	54,100	55,600
Fringe benefits	46,378	53,633	53,829	53,324	51,648	58,700	58,700	76,800	77,200
Total Personal Services	320,264	345,421	349,386	347,891	354,242	423,300	423,300	517,400	530,000
Materials and supplies	86,318	78,764	87,437	89,598	118,509	163,600	189,600	173,900	170,000
Utilities	44,900	22,967	19,707	25,318	33,182	30,000	40,000	30,000	30,000
Maintenance and repairs	267,212	313,056	316,126	394,704	406,410	480,000	469,000	480,000	480,000
Professional development	10,648	20,422	11,598	9,857	9,140	20,000	20,000	17,500	17,500
Professional services	3,980	-	18,470	4,695	20,309	15,000	-	12,500	12,500
Other services	21,276	12,094	6,012	-	-	10,000	-	10,000	10,000
Miscellaneous expenditures	759	17	1,306	4,502	1,226	1,000	1,000	1,000	1,000
Total Supplies and Services	435,093	447,320	460,656	528,674	588,776	719,600	719,600	724,900	721,000
Grand Total	\$ 755,357	\$ 792,741	\$ 810,042	\$ 876,565	\$ 943,018	\$ 1,142,900	\$ 1,142,900	\$ 1,242,300	\$ 1,251,000

Information Technology - General Fund

	<u>2011 Actual</u>	<u>2012 Actual</u>	<u>2013 Actual</u>	<u>2014 Actual</u>	<u>2015 Actual</u>	<u>2016 Adopted</u>	<u>2016 Amended</u>	<u>Finance Proposal 2017</u>	<u>Finance Proposal 2018</u>
Salaries and wages	\$ 230,799	\$ 249,102	\$ 255,770	\$ 258,478	\$ 265,655	\$ 319,800	\$ 319,800	\$ 386,500	\$ 397,200
Retirement	43,087	42,686	39,787	36,089	36,939	44,800	44,800	54,100	55,600
Fringe benefits	46,378	53,633	53,829	53,324	51,648	58,700	58,700	76,800	77,200
Total Personal Services	320,264	345,421	349,386	347,891	354,242	423,300	423,300	517,400	530,000
Materials and supplies	86,318	78,764	87,437	89,598	103,509	70,000	96,000	95,000	95,000
Utilities	44,900	22,967	19,707	25,318	33,182	30,000	40,000	30,000	30,000
Maintenance and repairs	267,212	313,056	316,126	394,704	406,410	480,000	469,000	480,000	480,000
Professional development	10,648	20,422	11,598	9,857	9,140	20,000	20,000	17,500	17,500
Professional services	3,980	-	18,470	4,695	20,309	15,000	-	12,500	12,500
Intra-city services	-	-	-	-	-	-	-	-	-
Other services	21,276	12,094	6,012	-	-	10,000	-	10,000	10,000
Miscellaneous expenditures	759	17	1,306	4,502	1,226	1,000	1,000	1,000	1,000
Total Supplies and Services	435,093	447,320	460,656	528,674	573,776	626,000	626,000	646,000	646,000
Grand Total	\$ 755,357	\$ 792,741	\$ 810,042	\$ 876,565	\$ 928,018	\$ 1,049,300	\$ 1,049,300	\$ 1,163,400	\$ 1,176,000

Notes:

Personal services: Includes a proposed increase to hire a full-time position to design and maintain the City's website.

Materials and supplies: Includes a proposed increase to purchase AV upgrades for the Council Committee and Lower Level meeting rooms (estimated cost at \$23,000) and new furniture for the IT Department (estimated cost \$4,500).

Information Technology Division - Technology Fund

	<u>2011 Actual</u>	<u>2012 Actual</u>	<u>2013 Actual</u>	<u>2014 Actual</u>	<u>2015 Actual</u>	<u>2016 Adopted</u>	<u>2016 Amended</u>	<u>Finance Proposal 2017</u>	<u>Finance Proposal 2018</u>
Revenues:									
Cellular Tower Rental Fees General Fund	\$ 143,078	\$ 139,622	\$ 134,581	\$ 81,213	\$ 76,204	\$ 85,000	\$ 75,000	\$ 75,000	\$ 75,000
Total Revenues	143,078	139,622	134,581	81,213	76,204	85,000	75,000	75,000	75,000
Materials and supplies	-	-	-	-	15,000	93,600	93,600	78,900	75,000
Total Supplies and Services	-	-	-	-	15,000	93,600	93,600	78,900	75,000
Grand Total	\$ -	\$ -	\$ -	\$ -	\$ 15,000	\$ 93,600	\$ 93,600	\$ 78,900	\$ 75,000

Notes:

Non-capital assets includes the following items for 2017:

- | | |
|---|-----------|
| 1 Replacing PC's that are due for replacement with small form factor PC. These devices take up less desktop space and reduce overall power consumption. | \$ 45,000 |
| 2 Laptops and mobile devices refresh. | 12,000 |
| 3 Police ID Printer is end of life. | 4,900 |
| 4 Presentation TV Cart to replace Clerk of Courts smartboard. | 1,500 |
| 5 City Attorney office copier replacement. | 4,500 |
| 6 Large TV monitors to replace screens/projectors. | 6,000 |
| 7 Monitor replacement/upgrades. | 5,000 |
| | \$ 78,900 |



PARKS AND RECREATION



Parkway Park



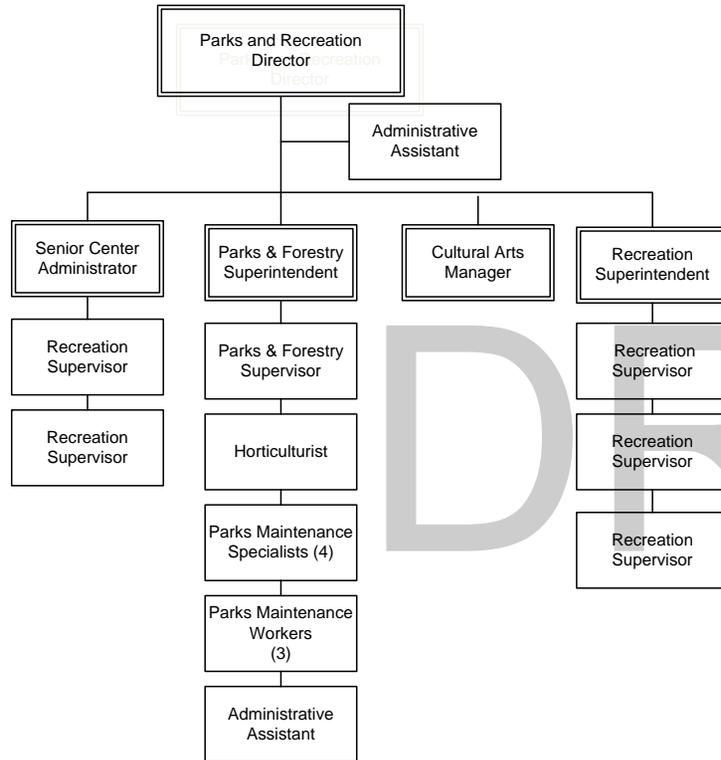
Labor Day Arts Festival

2015-2016 Accomplishments

- Construction of Phase II of Northam Park improvements began including: the new pool, drop-off, playground, and reading garden areas.
- Parkway Park was renamed Jack Nicklaus Park to commemorate the golf legend's childhood living by and playing golf in this park.
- Partnered with the Upper Arlington Community Foundation to create a new butterfly garden in Westover Park; private funding raised for Mallway landscaping maintenance; sponsorship obtained of the four 2015 seasonal special events.
- 2016 celebrated the 50th anniversary of the Labor Day Arts Festival.
- The Recreation Division offered over 1,000 program opportunities in 2015-2016 and averaged over 20,000 participants yearly.
- Introduced #uarthere to impact social media with art encounters.
- The Amelita Mirolo Barn averaged 190 external reservations with \$145,000 in rental fees annually. The facility is also used for department programs.
- The Senior Center membership reached its highest level in several years at over 2,000 members in 2015.
- Through a \$20,000 private donation all computers in the Senior Center were replaced.
- Partnered with the UA Public Library for story time at the swimming pools.
- Worked with the Friends of UA Parks to clear thousands of invasive plants from our parks and natural areas.
- New dugouts were installed at Thompson and Fancyburg Parks; drainage was installed in the Northwest Kiwanis park outfields; four parking lots repaved at Thompson Park.
- "Activity Link," a departmental monthly e-newsletter, was created to inform over 9,000 department customers on programs and services.

PARKS AND RECREATION

The Parks and Recreation Department manages public lands, facilities, and recreation services for use by all who live or work in Upper Arlington. We strive to preserve and promote these public assets in a quality way for the enhancement of lives of the residents. In our efforts, we seek and encourage public input using various boards, commissions, and committees to ensure that the interests of the public are well served.



On The Horizon

- Opening of the new Tremont Pool.
- Resurfacing rink and court surfaces at Sunny 95 Park.
- The City's centennial celebration and art installation.
- Park pathway repaving and improvements.
- Renovation of locker and concession facilities at Devon Pool.

Budget Summary

	Actual 2015	Amended 2016	Proposed 2017	Proposed 2018
Administrative	\$220,144	\$223,600	\$232,700	\$238,200
Parks & Forestry	1,227,778	1,455,800	1,453,900	1,476,700
Recreation	612,253	797,900	665,700	677,000
Cultural Arts	212,759	226,900	232,750	236,050
Senior Center	417,895	483,600	468,200	487,400
Tennis	94,865	109,250	118,100	119,800
Shelter Barn	0	0	170,100	173,600
Total General Fund	\$2,785,694	\$3,297,050	\$3,341,450	\$3,408,750
Lifelong Learning	\$147,307	\$168,900	\$173,500	\$175,100
Tree Planting Fund	14,601	20,000	20,000	20,000
Swimming Pool	537,739	604,800	596,300	607,600
Capital Equipment	61,069	14,000	249,500	0
Capital Improvements	0	0	85,400	87,800
Total - All Funds	\$3,546,410	\$4,104,750	\$4,466,150	\$4,299,250
<u>Expenditures by Category</u>				
Personal Services	\$2,094,757	\$2,875,700	\$2,946,100	\$3,028,700
Other Than Personal Services	1,390,584	1,215,050	1,270,550	1,270,550
Capital Equipment	61,069	14,000	249,500	0
Total	\$3,546,410	\$4,104,750	\$4,466,150	\$4,299,250

Authorized Personnel	2015	2016	2017	2018
Full-Time Budgeted	19.00	21.00	21.00	21.00
FTE	35.40	34.08	34.40	34.40
Total	54.40	55.08	55.40	55.40

Parks and Recreation Division - Total

	2011	2012	2013	2014	2015	2016	2016	Proposed	
	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Adopted</u>	<u>Amended</u>	2017	2018
Salaries and wages	\$ 1,895,425	\$ 1,896,597	\$ 1,888,082	\$ 1,904,973	\$ 1,604,531	\$ 2,232,700	\$ 2,232,700	\$ 2,272,300	\$ 2,342,800
Retirement	368,519	335,595	296,685	263,329	223,621	\$ 317,000	317,000	318,100	328,000
Fringe benefits	331,428	314,753	288,578	279,110	266,605	\$ 326,000	326,000	355,700	357,900
Total Personal Services	2,595,372	2,546,945	2,473,345	2,447,412	2,094,757	2,875,700	2,875,700	2,946,100	3,028,700
Materials and supplies	225,276	224,100	180,331	210,834	190,036	245,400	265,300	245,800	245,800
Uniforms and clothing	6,497	11,007	5,858	6,480	6,096	6,350	12,350	8,400	8,400
Rents and leases	60,288	54,703	54,619	52,108	54,625	62,600	57,600	61,600	61,600
Utilities	70,659	88,550	78,119	84,596	78,728	88,800	89,800	99,050	99,050
Maintenance and repairs	262,460	284,679	305,917	283,990	267,440	319,600	324,700	376,400	376,400
Professional development	12,025	12,999	13,370	14,611	16,963	14,900	20,900	26,900	26,900
Professional services	242,980	248,312	258,034	276,713	662,135	317,000	298,000	308,500	308,500
Other services	114,637	103,856	99,424	106,962	94,474	119,000	122,000	119,000	119,000
Miscellaneous expenditures	18,670	22,723	25,626	14,696	20,087	21,400	24,400	24,900	24,900
Total Supplies and Services	1,013,492	1,050,929	1,021,298	1,050,990	1,390,584	1,195,050	1,215,050	1,270,550	1,270,550
Grand Total	\$ 3,608,864	\$ 3,597,874	\$ 3,494,643	\$ 3,498,402	\$ 3,485,341	\$ 4,070,750	\$ 4,090,750	\$ 4,216,650	\$ 4,299,250

Parks and Recreation Division - General Fund

	2011	2012	2013	2014	2015	2016	2016	Proposed	
	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Adopted</u>	<u>Amended</u>	<u>2017</u>	<u>2018</u>
Salaries and wages	\$ 1,480,408	\$ 1,478,227	\$ 1,461,814	\$ 1,518,851	\$ 1,502,152	\$ 1,830,600	\$ 1,830,600	\$ 1,796,800	\$ 1,854,300
Retirement	302,538	271,820	233,772	209,069	209,288	257,900	257,900	251,600	259,600
Fringe benefits	320,051	303,248	276,868	268,492	253,960	304,200	304,200	331,100	332,900
Total Personal Services	2,102,997	2,053,295	1,972,454	1,996,412	1,965,400	2,392,700	2,392,700	2,379,500	2,446,800
Materials and supplies	172,398	166,673	134,021	157,132	169,211	190,400	210,300	187,300	187,300
Uniforms and clothing	5,872	9,848	5,241	5,788	6,096	5,350	11,350	6,900	6,900
Rents and leases	50,424	46,006	49,132	49,510	48,051	52,600	47,600	52,600	52,600
Utilities	6,381	8,528	8,668	5,692	15,141	18,800	19,800	19,050	19,050
Maintenance and repairs	225,956	241,377	265,269	218,660	254,840	252,100	257,200	328,400	328,400
Professional development	10,526	11,413	11,938	12,392	16,678	12,400	17,400	24,900	24,900
Professional services	165,501	178,659	192,168	191,549	222,243	237,000	228,000	229,500	229,500
Other services	89,970	78,185	78,918	81,044	69,398	95,000	90,500	90,000	90,000
Miscellaneous expenditures	18,638	21,847	25,258	14,279	18,636	20,700	22,200	23,300	23,300
Total Supplies and Services	745,666	762,536	770,613	736,046	820,294	884,350	904,350	961,950	961,950
Grand Total	\$ 2,848,663	\$ 2,815,831	\$ 2,743,067	\$ 2,732,458	\$ 2,785,694	\$ 3,277,050	\$ 3,297,050	\$ 3,341,450	\$ 3,408,750

Parks and Recreation Division - Cultural Arts (General Fund sub-department)

	2011	2012	2013	2014	2015	2016	2016	Proposed	
	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Adopted</u>	<u>Amended</u>	<u>2017</u>	<u>2018</u>
Salaries and wages	\$ 86,583	\$ 76,693	\$ 82,831	\$ 81,913	\$ 86,923	\$ 98,100	\$ 98,100	\$ 100,800	\$ 103,600
Retirement	17,210	14,274	13,489	11,586	12,138	13,700	13,700	14,100	14,500
Fringe benefits	18,065	17,591	17,848	17,582	17,123	17,500	17,500	20,500	20,600
Total Personal Services	121,858	108,558	114,168	111,081	116,184	129,300	129,300	135,400	138,700
Materials and supplies	7,345	4,775	3,224	3,339	9,120	10,000	10,000	7,500	7,500
Uniforms and clothing	-	-	-	360	-	-	-	-	-
Rents and leases	14,162	14,745	13,975	12,896	13,094	13,000	13,000	13,000	13,000
Utilities	234	272	425	303	-	1,000	1,000	750	750
Maintenance and repairs	-	-	142	-	-	100	100	100	100
Professional development	2,204	1,836	2,904	2,891	3,736	2,500	2,500	3,000	3,000
Professional services	9,800	19,936	23,916	24,725	28,851	24,000	24,000	26,000	26,000
Other services	51,636	45,811	45,594	34,926	35,628	40,000	40,000	40,000	40,000
Miscellaneous expenditures	14,361	14,191	9,984	5,021	6,146	7,000	7,000	7,000	7,000
Total Supplies and Services	99,742	101,566	100,164	84,461	96,575	97,600	97,600	97,350	97,350
Grand Total	\$ 221,600	\$ 210,124	\$ 214,332	\$ 195,542	\$ 212,759	\$ 226,900	\$ 226,900	\$ 232,750	\$ 236,050

Parks and Recreation Division - Parks & Forestry (General Fund sub-department)

	2011	2012	2013	2014	2015	2016	2016	Proposed	
	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Adopted</u>	<u>Amended</u>	<u>2017</u>	<u>2018</u>
Salaries and wages	\$ 661,597	\$ 623,393	\$ 587,478	\$ 584,609	\$ 608,885	\$ 789,300	\$ 789,300	\$ 732,500	\$ 752,000
Retirement	144,888	122,703	97,304	81,517	85,085	110,500	110,500	102,600	105,300
Fringe benefits	169,601	147,764	126,526	121,111	125,851	165,500	165,500	169,800	170,400
Total Personal Services	976,086	893,860	811,308	787,237	819,821	1,065,300	1,065,300	1,004,900	1,027,700
Materials and supplies	108,898	118,999	87,736	115,839	112,030	120,000	118,000	120,000	120,000
Uniforms and clothing	5,123	5,377	4,438	5,214	6,096	4,500	6,500	6,000	6,000
Rents and leases	2,570	2,943	2,206	2,616	2,651	3,000	3,000	3,000	3,000
Utilities	3,774	4,882	4,287	3,005	2,831	4,500	4,500	4,000	4,000
Maintenance and repairs	223,337	227,878	263,161	216,701	252,620	247,000	245,000	303,000	303,000
Professional development	3,305	5,634	5,366	4,918	7,565	5,000	7,000	6,500	6,500
Professional services	7	700	-	-	18,925	1,000	1,000	1,000	1,000
Other services	2,553	3,452	675	5,490	2,430	2,000	500	2,000	2,000
Miscellaneous expenditures	2,909	113	1,171	694	2,809	3,500	5,000	3,500	3,500
Total Supplies and Services	352,476	369,978	369,040	354,477	407,957	390,500	390,500	449,000	449,000
Grand Total	\$ 1,328,562	\$ 1,263,838	\$ 1,180,348	\$ 1,141,714	\$ 1,227,778	\$ 1,455,800	\$ 1,455,800	\$ 1,453,900	\$ 1,476,700

Notes:

Maintenance and repairs:

Includes a proposed increase for additional contracted landscape maintenance services to cover new installations (additional city gateways; Tremont Rd. medians, hedges, and bioswales near Kingsdale; Northam Park phase II - pool, playground, reading garden, and centennial art project area, hanging flower baskets on Tremont Rd. near Kingsdale).

Parks and Recreation Division - Recreation (General Fund sub-department)

	2011	2012	2013	2014	2015	2016	2016	Proposed	
	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Adopted</u>	<u>Amended</u>	<u>2017</u>	<u>2018</u>
Salaries and wages	\$ 257,592	\$ 300,123	\$ 285,803	\$ 303,725	\$ 334,855	\$ 452,200	\$ 452,200	\$ 348,100	\$ 357,700
Retirement	47,716	49,699	44,189	42,671	47,133	63,300	63,300	48,700	50,100
Fringe benefits	41,168	45,148	44,700	41,498	46,144	52,800	52,800	51,400	51,700
Total Personal Services	346,476	394,970	374,692	387,894	428,132	568,300	568,300	448,200	459,500
Materials and supplies	39,140	26,848	25,437	18,410	25,991	39,100	39,100	30,000	30,000
Uniforms and clothing	53	4,850	164	39	-	100	4,100	100	100
Rents and leases	32,437	26,962	31,212	32,843	30,706	35,000	30,000	35,000	35,000
Utilities	1,269	1,105	1,722	974	499	2,000	3,000	1,500	1,500
Maintenance and repairs	901	1,155	600	539	651	900	900	900	900
Professional development	2,008	1,845	1,297	2,615	1,560	2,000	2,000	5,000	5,000
Professional services	102,662	97,442	98,938	92,300	94,375	120,000	120,000	110,000	110,000
Other services	22,801	14,568	18,627	15,701	22,323	25,500	25,500	27,000	27,000
Miscellaneous expenditures	1,018	6,964	7,862	7,204	8,016	5,000	5,000	8,000	8,000
Total Supplies and Services	202,289	181,739	185,859	170,625	184,121	229,600	229,600	217,500	217,500
Grand Total	\$ 548,765	\$ 576,709	\$ 560,551	\$ 558,519	\$ 612,253	\$ 797,900	\$ 797,900	\$ 665,700	\$ 677,000

Notes:

A new sub-department has been established to better track the activities at the barn and shelter houses. Activities were previously tracked in this sub-department.

Professional development: Includes a proposed increase for additional professional development opportunities for staff (NRPA, Management School, Executive Development Program).

Parks and Recreation - Administration (General Fund sub-department)

	2011	2012	2013	2014	2015	2016	2016	Proposed	
	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Adopted</u>	<u>Amended</u>	<u>2017</u>	<u>2018</u>
Salaries and wages	\$ 172,369	\$ 196,055	\$ 216,630	\$ 253,966	\$ 169,615	\$ 166,400	\$ 166,400	\$ 170,500	\$ 175,200
Retirement	26,889	30,552	30,775	31,877	22,707	23,300	23,300	23,900	24,500
Fringe benefits	29,318	42,346	35,690	36,053	20,023	24,500	24,500	29,000	29,200
Total Personal Services	228,576	268,953	283,095	321,896	212,345	214,200	214,200	223,400	228,900
Materials and supplies	4,673	1,413	2,842	4,063	4,503	3,500	3,500	1,500	1,500
Uniforms and clothing	400	65	426	-	-	400	400	200	200
Utilities	175	1,619	1,738	1,201	406	1,300	1,300	-	-
Maintenance and repairs	-	-	12	203	49	100	100	100	100
Professional development	1,840	1,478	1,388	1,319	1,647	1,500	1,500	4,800	4,800
Professional services	-	-	100	1,000	-	1,000	1,000	2,000	2,000
Other services	2,387	2,683	1,936	1,949	1,194	1,500	1,500	-	-
Miscellaneous expenditures	2	1	112	20	-	100	100	700	700
Total Supplies and Services	9,477	7,259	8,554	9,755	7,799	9,400	9,400	9,300	9,300
Grand Total	\$ 238,053	\$ 276,212	\$ 291,649	\$ 331,651	\$ 220,144	\$ 223,600	\$ 223,600	\$ 232,700	\$ 238,200

Notes:

Reallocation of expenses.

Parks and Recreation Division - Senior Center (General Fund sub-department)

	2011	2012	2013	2014	2015	2016	2016	Proposed	
	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Adopted</u>	<u>Amended</u>	<u>2017</u>	<u>2018</u>
Salaries and wages	\$ 265,836	\$ 245,736	\$ 251,306	\$ 256,511	\$ 266,148	\$ 280,700	\$ 280,700	\$ 283,400	\$ 299,900
Retirement	60,735	49,405	43,190	36,080	37,248	41,000	41,000	39,700	42,000
Fringe benefits	60,897	49,403	51,066	51,200	43,841	42,700	42,700	49,700	50,100
Total Personal Services	387,468	344,544	345,562	343,791	347,237	364,400	364,400	372,800	392,000
Materials and supplies	2,874	4,041	3,532	3,596	5,656	5,800	25,800	5,800	5,800
Rents and leases	395	456	480	680	610	600	600	600	600
Utilities	929	650	410	209	-	-	-	-	-
Maintenance and repairs	952	1,579	894	724	950	1,500	1,500	1,500	1,500
Professional development	1,069	520	883	549	2,070	1,300	4,300	4,500	4,500
Professional services	25,840	41,581	42,216	46,044	52,612	60,000	60,000	60,000	60,000
Other services	10,084	11,558	11,898	22,603	7,125	25,000	22,000	20,000	20,000
Miscellaneous expenditures	318	532	6,129	1,340	1,635	5,000	5,000	3,000	3,000
Total Supplies and Services	42,461	60,917	66,442	75,745	70,658	99,200	119,200	95,400	95,400
Grand Total	\$ 429,929	\$ 405,461	\$ 412,004	\$ 419,536	\$ 417,895	\$ 463,600	\$ 483,600	\$ 468,200	\$ 487,400

Notes:

Professional development: Includes a proposed increase for software upgrade training and other staff training.

Parks and Recreation Division - Tennis (General Fund sub-department)

	2011	2012	2013	2014	2015	2016	2016	Proposed	
	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Adopted</u>	<u>Amended</u>	<u>2017</u>	<u>2018</u>
Salaries and wages	\$ 36,431	\$ 36,227	\$ 37,766	\$ 38,127	\$ 35,726	\$ 43,900	\$ 43,900	\$ 51,500	\$ 52,900
Retirement	5,100	5,187	4,825	5,338	4,977	6,100	6,100	7,200	7,400
Fringe benefits	1,002	996	1,038	1,048	978	1,200	1,200	1,400	1,500
Total Personal Services	42,533	42,410	43,629	44,513	41,681	51,200	51,200	60,100	61,800
Materials and supplies	9,468	10,597	11,250	11,885	11,911	12,000	13,900	13,000	13,000
Uniforms and clothing	296	(445)	213	175	-	350	350	300	300
Rents and leases	860	900	1,259	475	990	1,000	1,000	1,000	1,000
Utilities	-	-	86	-	11,405	10,000	10,000	10,000	10,000
Maintenance and repairs	766	10,765	460	493	570	2,500	9,600	2,500	2,500
Professional development	100	100	100	100	100	100	100	100	100
Professional services	27,192	19,000	26,998	27,480	27,480	31,000	22,000	30,000	30,000
Other services	509	113	188	375	698	1,000	1,000	1,000	1,000
Miscellaneous expenditures	30	46	-	-	30	100	100	100	100
Total Supplies and Services	39,221	41,077	40,554	40,983	53,184	58,050	58,050	58,000	58,000
Grand Total	\$ 81,754	\$ 83,487	\$ 84,183	\$ 85,496	\$ 94,865	\$ 109,250	\$ 109,250	\$ 118,100	\$ 119,800

Notes:

Personal services:

Includes a proposed increase due to attempting to capture all operating costs of the tennis courts by charging 15% of the Aquatic/Tennis Manager position expenses to the Tennis sub-department. Previously, all costs have been charged to the Swimming Pool Fund.

Parks and Recreation Division - Shelter Barn (General Fund sub-department)

	2011	2012	2013	2014	2015	2016	2016	Proposed	
	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Adopted</u>	<u>Amended</u>	<u>2017</u>	<u>2018</u>
Salaries and wages	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 110,000	\$ 113,000
Retirement	-	-	-	-	-	-	-	15,400	15,800
Fringe benefits	-	-	-	-	-	-	-	9,300	9,400
Total Personal Services	-	-	-	-	-	-	-	134,700	138,200
Materials and supplies	-	-	-	-	-	-	-	9,500	9,500
Uniforms and clothing	-	-	-	-	-	-	-	300	300
Utilities	-	-	-	-	-	-	-	2,800	2,800
Maintenance and repairs	-	-	-	-	-	-	-	20,300	20,300
Professional development	-	-	-	-	-	-	-	1,000	1,000
Professional services	-	-	-	-	-	-	-	500	500
Miscellaneous expenditures	-	-	-	-	-	-	-	1,000	1,000
Total Supplies and Services	-	-	-	-	-	-	-	35,400	35,400
Grand Total	\$ -	\$ -	\$ 170,100	\$ 173,600					

Notes:

A new sub-department has been established to better track the activities at the barn and shelter houses.

Maintenance and repairs:

Includes a proposed increase (\$20,000) for a sound solution and improved technology (projector, mounted screen, laptop, speakers) for the Barn in 2017. For 2018, a proposed increase (\$20,000) for refinishing the floors.

Parks and Recreation Division - Life Long Learning and Leisure Fund

	2011	2012	2013	2014	2015	2016	2016	Proposed	
	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Adopted</u>	<u>Amended</u>	<u>2017</u>	<u>2018</u>
Revenues:									
Charges for services	\$ 188,296	\$ 198,387	\$ 159,008	\$ 178,721	\$ 160,705	\$ 180,000	\$ 175,000	\$ 175,000	\$ 175,000
Total Revenues	188,296	198,387	159,008	178,721	160,705	180,000	175,000	175,000	175,000
Salaries and wages	58,491	44,660	47,603	51,594	50,437	47,000	47,000	52,200	53,600
Retirement	13,416	8,962	8,553	7,268	7,061	8,000	8,000	7,300	7,500
Fringe benefits	1,608	1,228	1,309	1,419	6,302	6,700	6,700	7,700	7,700
Total Personal Services	73,515	54,850	57,465	60,281	63,800	61,700	61,700	67,200	68,800
Materials and supplies	8,594	9,250	5,439	5,018	4,372	7,000	7,000	6,500	6,500
Rents and leases	9,864	8,697	5,487	2,598	6,574	10,000	10,000	9,000	9,000
Utilities	219	257	-	-	-	-	-	-	-
Professional development	395	-	179	-	-	-	1,000	-	-
Professional services	76,435	66,965	64,690	82,260	60,260	78,000	68,000	76,000	76,000
Other services	11,093	13,983	8,858	15,527	11,527	12,000	19,500	14,000	14,000
Miscellaneous expenditures	-	436	137	277	774	200	1,700	800	800
Total Supplies and Services	106,600	99,588	84,790	105,680	83,507	107,200	107,200	106,300	106,300
Total Expenditures	\$ 180,115	\$ 154,438	\$ 142,255	\$ 165,961	\$ 147,307	\$ 168,900	\$ 168,900	\$ 173,500	\$ 175,100

Parks and Recreation Division - Tree Planting Fund

	<u>2011</u>	<u>2012</u>	<u>2013</u>	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2016</u>	<u>Proposed</u>	
	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Adopted</u>	<u>Amended</u>	<u>2017</u>	<u>2018</u>
Revenues:									
Tree planting donation	\$ 24,386	\$ 13,500	\$ 13,500	\$ 27,160	\$ 17,514	\$ 13,500	\$ 13,500	\$ 13,500	\$ 13,500
Total Revenues	24,386	13,500	13,500	27,160	17,514	13,500	13,500	13,500	13,500
Materials and supplies	10,694	13,389	7,150	19,029	9,351	7,500	7,500	12,000	12,000
Maintenance and repairs	4,320	-	2,080	-	5,250	12,500	12,500	8,000	8,000
Total Supplies and Services	15,014	13,389	9,230	19,029	14,601	20,000	20,000	20,000	20,000
Total Expenditures	\$ 15,014	\$ 13,389	\$ 9,230	\$ 19,029	\$ 14,601	\$ 20,000	\$ 20,000	\$ 20,000	\$ 20,000

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Parks and Recreation Division - Swimming Pool Fund

	2011	2012	2013	2014	2015	2016	2016	Proposed	
	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Adopted</u>	<u>Amended</u>	<u>2017</u>	<u>2018</u>
Revenues:									
Charges for services	\$ 638,001	\$ 645,056	\$ 588,377	\$ 565,919	\$ 541,009	\$ 540,000	\$ 600,000	\$ 675,000	\$ 675,000
Total Revenues	638,001	645,056	588,377	565,919	541,009	540,000	600,000	675,000	675,000
Salaries and wages	356,526	373,710	378,665	334,528	51,942	355,100	355,100	350,100	359,700
Retirement	52,565	54,813	54,360	46,992	7,272	51,100	51,100	49,000	50,400
Fringe benefits	9,769	10,277	10,401	9,199	6,343	15,100	15,100	14,900	15,200
Total Personal Services	418,860	438,800	443,426	390,719	65,557	421,300	421,300	414,000	425,300
Materials and supplies	33,590	34,788	33,721	29,655	7,102	40,500	40,500	40,000	40,000
Uniforms and clothing	625	1,159	617	692	-	1,000	1,000	1,500	1,500
Utilities	64,059	79,765	69,451	78,904	63,587	70,000	70,000	80,000	80,000
Maintenance and repairs	32,184	43,302	38,568	65,330	7,350	55,000	55,000	40,000	40,000
Professional development	1,104	1,586	1,253	2,219	285	2,500	2,500	2,000	2,000
Professional services	1,044	2,688	1,176	2,904	379,632	2,000	2,000	3,000	3,000
Other services	13,574	11,688	11,648	10,391	13,549	12,000	12,000	15,000	15,000
Miscellaneous expenditures	32	440	231	140	677	500	500	800	800
Total Supplies and Services	146,212	175,416	156,665	190,235	472,182	183,500	183,500	182,300	182,300
Grand Total	\$ 565,072	\$ 614,216	\$ 600,091	\$ 580,954	\$ 537,739	\$ 604,800	\$ 604,800	\$ 596,300	\$ 607,600

Notes:

- Personal services: Includes a proposed increase for adjustment in the number of hours and pay rates of pool staff. However, this is offset by a proposed decrease by allocating only 85% of Aquatic and Tennis Manager position expenses to the Swimming Pool Fund (100% in prior year).
- Uniforms: Includes a proposed increase for cost associated with the purchase of lifeguard swimsuits.
- Utilities: Includes a proposed increase for additional costs associated with the heating of Tremont Pool.
- Other Services: Includes is a proposed increase for advertising, such as recruitment.

Parks and Recreation Division - Capital

	<u>2011</u> <u>Actual</u>	<u>2012</u> <u>Actual</u>	<u>2013</u> <u>Actual</u>	<u>2014</u> <u>Actual</u>	<u>2015</u> <u>Actual</u>	<u>2016</u> <u>Adopted</u>	<u>2016</u> <u>Amended</u>
Salaries and wages	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Retirement	-	-	-	-	-	-	-
Fringe benefits	-	-	-	-	-	-	-
Total Personal Services	-	-	-	-	-	-	-
Grand Total	\$ -	\$ -					

<u>Proposed</u>	
<u>2017</u>	<u>2018</u>
\$ 73,200	\$ 75,200
10,200	10,500
2,000	2,100
85,400	87,800
\$ 85,400	\$ 87,800

Notes:

Personal services: Allocated 90% of the Parks Capital Project Manager's personal service costs to capital. 100% allocated to General Fund in prior year(s).

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POLICE DIVISION

TYPE OF OFFENSE	2014	2015	COMPARISON
Accident report taken	452	405	-10.40%
Citations	3138	3112	-0.83%
OVI	165	127	-23.03%
Arrests	497	561	+12.87%
Police Action Request	28	34	+21.43%
Arson	2	2	0.00%
Aggravated Assault	0	1	+100.00%
Criminal Damage	167	113	-32.34%
Domestic Disputes	53	52	-1.89%
Drug Abuse	68	88	+29.41%
Sex Offenses**	5	1	-80.00%

** Does not include rape

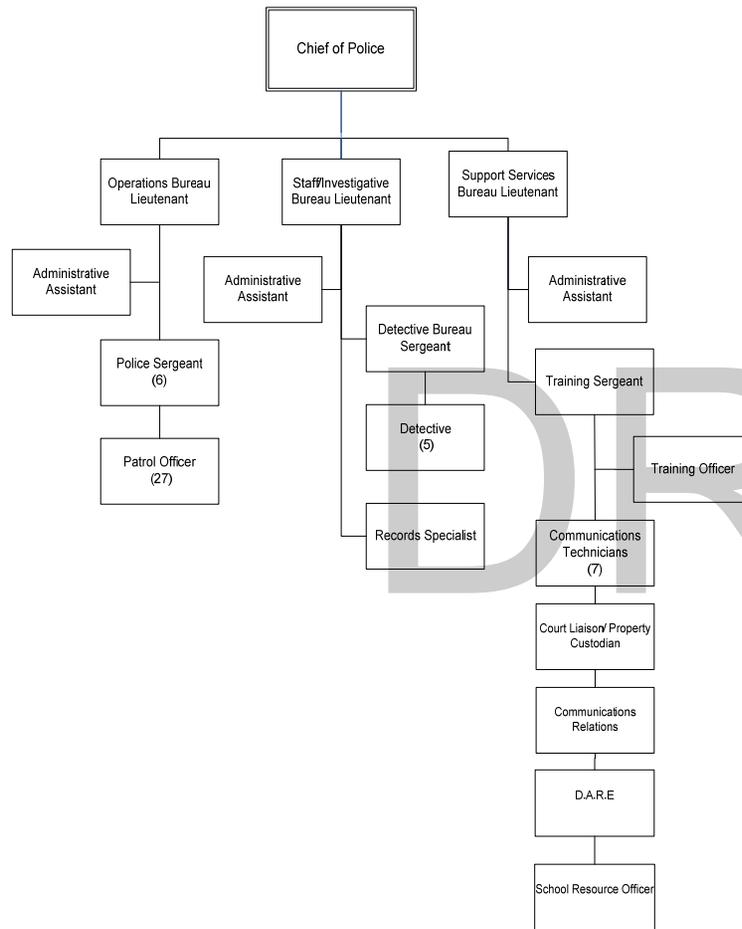
2015 – 2016 Accomplishments

- The Police Division passed an onsite examination in November 2016 to receive its 8th accreditation award from the Commission on Accreditation for Law Enforcement Agencies.
- The City of Upper Arlington was named one of the “Top 100 Safest City in America” for 2015 based on crime data research conducted by Neighborhood Scout.
- Officer Kulp was recognized by Mothers Against Drunk Driving (MADD) in 2015.
- The “drug takeback” box was put into place in 2015 and collected over 200 pounds of prescription drugs for disposal.
- The 10th Citizens Police Academy was conducted in 2016.
- Over 800 children attended the Safety Town program during the summers of 2015 & 2016.
- In collaboration with the United States Golf Association, the Police Division provided security for the Senior Open Golf Tournament at the Scioto Country Club in August of 2016.



POLICE DIVISION

In the spirit of partnership and service to the community, the Upper Arlington Division of Police shall maintain integrity, dedication, professionalism, and courtesy while working to protect the constitutional rights and freedoms for all.



On The Horizon

- Implementation of electronic ticketing
- Implementation of Online Citizen reporting program

Budget Summary

	<u>Actual</u> <u>2015</u>	<u>Amended</u> <u>2016</u>	<u>Proposed</u> <u>2017</u>	<u>2018</u>
Patrol	\$4,784,489	\$5,121,100	\$5,016,600	\$5,199,100
Investigations	1,211,860	1,211,950	1,231,500	1,256,700
Support Services	934,269	1,164,200	1,144,200	1,162,700
Training	148,300	321,550	359,300	363,900
Community Relations	274,237	304,200	309,000	316,500
Total General Fund	\$7,353,155	\$8,123,000	\$8,060,600	\$8,298,900
Law Enforcement	\$396,528	\$326,000	\$322,400	\$300,900
Enforcement Education	444	2,500	2,500	2,500
Capital Equipment	102,304	68,000	100,000	0
Total - All Funds	\$7,852,431	\$8,519,500	\$8,485,500	\$8,602,300
<u>Expenditures by Category</u>				
Personal Services	\$7,272,348	\$7,759,700	\$7,735,500	\$7,986,200
Other Than Personal Services	311,988	653,800	622,000	616,100
Capital Equipment	268,095	106,000	128,000	0
Total	\$7,852,431	\$8,519,500	\$8,485,500	\$8,602,300

Authorized Personnel	2015	2016	2017	2018
Full-Time Budgeted	60.00	60.00	60.00	60.00
FTE	2.98	2.98	2.98	2.98
Total	62.98	62.98	62.98	62.98

Police Division -Total

	2011	2012	2013	2014	2015	2016	2016	Finance Proposal	Finance Proposal
	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Adopted</u>	<u>Amended</u>	<u>2017</u>	<u>2018</u>
Salaries and wages	\$ 5,139,556	\$ 5,013,250	\$ 5,102,173	\$ 5,491,214	\$ 5,480,092	\$ 5,794,800	\$ 5,794,800	\$ 5,662,703	\$ 5,868,203
Retirement	1,015,359	982,869	965,750	1,013,158	981,261	1,091,200	1,091,200	1,077,136	1,116,336
Fringe benefits	948,526	940,551	931,381	933,144	810,995	873,700	873,700	995,661	1,001,661
Total Personal Services	7,103,441	6,936,670	6,999,304	7,437,516	7,272,348	7,759,700	7,759,700	7,735,500	7,986,200
Materials and supplies	50,671	47,005	67,804	46,277	99,440	148,300	151,850	126,000	123,100
Uniforms and clothing	37,375	31,027	36,924	49,286	54,493	45,000	45,000	50,000	50,000
Rents and leases	48,685	46,161	55,580	58,224	53,139	72,000	67,700	70,000	70,000
Utilities	9,842	15,522	22,173	15,494	15,153	25,000	25,000	17,500	17,500
Maintenance and repairs	15,648	7,142	11,111	10,134	15,978	17,500	17,500	18,500	18,500
Professional development	29,906	30,880	37,641	44,302	49,298	46,500	46,500	53,200	50,200
Professional services	76,743	66,431	71,867	56,514	19,277	289,500	289,500	277,000	277,000
Other services	6,224	6,793	6,181	4,774	4,659	9,000	9,400	8,700	8,700
Miscellaneous expenditures	189	156	53	1,924	551	1,000	1,350	1,100	1,100
Total Supplies and Services	275,283	251,117	309,334	286,929	311,988	653,800	653,800	622,000	616,100
Grand Total	\$ 7,378,724	\$ 7,187,787	\$ 7,308,638	\$ 7,724,445	\$ 7,584,336	\$ 8,413,500	\$ 8,413,500	\$ 8,357,500	\$ 8,602,300

Police Division - General Fund

	2011	2012	2013	2014	2015	2016	2016	Finance Proposal	Finance Proposal
	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Adopted</u>	<u>Amended</u>	<u>2017</u>	<u>2018</u>
Salaries and wages	\$ 5,099,841	\$ 4,966,653	\$ 4,988,819	\$ 5,331,631	\$ 5,327,043	\$ 5,616,200	\$ 5,616,200	\$ 5,475,603	\$ 5,675,803
Retirement	1,008,221	973,927	944,796	982,454	951,658	1,056,400	1,056,400	1,040,636	1,078,836
Fringe benefits	944,492	928,100	906,765	898,668	784,862	839,100	839,100	954,861	960,661
Total Personal Services	7,052,554	6,868,680	6,840,380	7,212,753	7,063,563	7,511,700	7,511,700	7,471,100	7,715,300
Materials and supplies	41,224	46,032	45,423	37,978	89,422	120,800	120,050	108,500	105,600
Uniforms and clothing	37,375	31,027	36,924	49,286	54,493	45,000	45,000	50,000	50,000
Rents and leases	44,423	38,783	45,520	45,468	40,761	57,000	57,000	55,000	55,000
Utilities	9,842	15,522	22,173	15,494	15,153	25,000	25,000	17,500	17,500
Maintenance and repairs	15,648	7,142	11,111	10,134	15,978	17,500	17,500	18,500	18,500
Professional development	29,656	30,880	37,641	44,302	49,298	46,500	46,500	53,200	50,200
Professional services	76,743	66,431	71,867	56,514	19,277	289,500	289,500	277,000	277,000
Other services	6,224	6,793	6,181	4,774	4,659	9,000	9,400	8,700	8,700
Miscellaneous expenditures	189	156	53	1,924	551	1,000	1,350	1,100	1,100
Total Supplies and Services	261,324	242,766	276,893	265,874	289,592	611,300	611,300	589,500	583,600
Grand Total	\$ 7,313,878	\$ 7,111,446	\$ 7,117,273	\$ 7,478,627	\$ 7,353,155	\$ 8,123,000	\$ 8,123,000	\$ 8,060,600	\$ 8,298,900

Police Division - Patrol Bureau (General Fund sub-department)

	2011	2012	2013	2014	2015	2016	2016	Finance Proposal	Finance Proposal
	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Adopted</u>	<u>Amended</u>	<u>2017</u>	<u>2018</u>
Salaries and wages	\$ 3,319,376	\$ 3,232,808	\$ 3,326,673	\$ 3,656,262	\$ 3,501,730	\$ 3,712,100	\$ 3,712,100	\$ 3,582,500	\$ 3,731,700
Retirement	647,649	625,662	642,406	698,357	655,915	720,800	720,800	695,500	724,500
Fringe benefits	629,075	597,608	607,078	622,772	538,890	545,500	545,500	605,900	610,200
Total Personal Services	4,596,100	4,456,078	4,576,157	4,977,391	4,696,535	4,978,400	4,978,400	4,883,900	5,066,400
Materials and supplies	16,524	13,480	10,709	12,936	55,705	41,500	35,500	35,000	35,000
Rents and leases	11,700	11,700	12,450	12,450	9,360	17,000	17,000	15,000	15,000
Maintenance and repairs	3,664	2,139	2,506	2,004	3,565	5,000	3,500	4,500	4,500
Professional development	-	-	-	3,544	2,643	500	1,500	3,000	3,000
Professional services	70,295	61,826	64,008	50,144	14,497	80,000	80,000	70,000	70,000
Other services	4,246	5,299	4,053	3,691	2,136	5,000	5,000	5,000	5,000
Miscellaneous expenditures	25	-	-	170	48	200	200	200	200
Total Supplies and Services	106,454	94,444	93,726	84,939	87,954	149,200	142,700	132,700	132,700
Grand Total	\$ 4,702,554	\$ 4,550,522	\$ 4,669,883	\$ 5,062,330	\$ 4,784,489	\$ 5,127,600	\$ 5,121,100	\$ 5,016,600	\$ 5,199,100

Notes:

Materials and supplies:

Includes a proposed increase for the purchase of the remaining needed equipment to meet the goal of each officer having their own assigned Taser (half in 2017, half in 2018). This allows better accountability and auditing of individual units.

Police Division - Support Services (General Fund sub-department)

	2011	2012	2013	2014	2015	2016	2016	Finance Proposal	Finance Proposal
	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Adopted</u>	<u>Amended</u>	<u>2017</u>	<u>2018</u>
Salaries and wages	\$ 641,644	\$ 618,681	\$ 625,341	\$ 647,915	\$ 648,820	\$ 614,000	\$ 614,000	\$ 574,703	\$ 590,203
Retirement	143,096	127,084	105,775	95,824	79,650	91,300	91,300	95,536	98,136
Fringe benefits	117,031	125,328	114,130	111,878	79,895	93,400	93,400	114,761	115,161
Total Personal Services	901,771	871,093	845,246	855,617	808,365	798,700	798,700	785,000	803,500
Materials and supplies	2,052	3,609	5,918	7,864	7,176	44,000	43,500	29,200	29,200
Uniforms and clothing	37,375	26,752	36,924	49,286	54,493	45,000	33,000	50,000	50,000
Rents and leases	32,723	27,083	33,070	33,018	31,401	40,000	40,000	40,000	40,000
Utilities	9,842	15,522	22,173	15,494	15,153	25,000	25,000	17,500	17,500
Maintenance and repairs	10,343	4,833	6,704	4,409	8,145	10,000	10,000	10,000	10,000
Professional development	2,180	2,197	1,250	579	3,097	4,000	4,000	4,000	4,000
Professional services	5,213	4,065	7,134	5,565	4,195	208,000	208,000	206,000	206,000
Other services	390	502	852	852	2,063	1,000	1,400	2,000	2,000
Miscellaneous expenditures	-	29	-	1,073	181	500	600	500	500
Total Supplies and Services	100,118	84,592	114,025	118,140	125,904	377,500	365,500	359,200	359,200
Grand Total	\$ 1,001,889	\$ 955,685	\$ 959,271	\$ 973,757	\$ 934,269	\$ 1,176,200	\$ 1,164,200	\$ 1,144,200	\$ 1,162,700

Notes:

Materials and supplies:

Includes a proposed increase for the purchase of six additional handheld radios (\$3,200/radio) to update all radios to P25 compliance (2016 budget included dollars for new weapons and radios).

Professional services:

Includes a proposed reclassification of \$200,000 from personal services to professional services in anticipation of consolidating 911 dispatching services. This is the same approach as 2016 budget.

Police Division - Criminal Investigations (General Fund sub-department)

	2011	2012	2013	2014	2015	2016	2016	Finance	Finance
	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Adopted</u>	<u>Amended</u>	<u>Proposal</u>	<u>Proposal</u>
Salaries and wages	\$ 771,781	\$ 745,695	\$ 794,380	\$ 803,447	\$ 907,703	\$ 899,800	\$ 899,800	\$ 893,000	\$ 916,900
Retirement	149,027	152,120	151,268	146,903	165,312	169,700	169,700	168,200	172,700
Fringe benefits	145,039	152,176	152,222	135,937	125,728	130,100	130,100	151,200	151,900
Total Personal Services	1,065,847	1,049,991	1,097,870	1,086,287	1,198,743	1,199,600	1,199,600	1,212,400	1,241,500
Materials and supplies	2,053	2,156	2,857	2,177	7,958	4,500	4,250	12,000	8,100
Maintenance and repairs	1,641	170	1,901	3,721	4,268	2,500	4,000	4,000	4,000
Professional development	-	-	1,200	-	51	-	1,250	1,200	1,200
Professional services	1,235	540	725	805	585	1,500	1,500	1,000	1,000
Other services	728	809	554	231	40	1,000	1,000	700	700
Miscellaneous expenditures	164	20	-	-	215	100	350	200	200
Total Supplies and Services	5,821	3,695	7,237	6,934	13,117	9,600	12,350	19,100	15,200
Grand Total	\$ 1,071,668	\$ 1,053,686	\$ 1,105,107	\$ 1,093,221	\$ 1,211,860	\$ 1,209,200	\$ 1,211,950	\$ 1,231,500	\$ 1,256,700

Notes:

Materials and supplies:

Includes a proposed increase for the payment for disposal of drugs from drug takeback box and for new surveillance equipment.

Police Division - Training (General Fund sub-department)

	2011	2012	2013	2014	2015	2016	2016	Finance Proposal	Finance Proposal
	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Adopted</u>	<u>Amended</u>	<u>2017</u>	<u>2018</u>
Salaries and wages	\$ 180,704	\$ 176,280	\$ 108,151	\$ 105,884	\$ 67,496	\$ 169,000	\$ 169,000	\$ 204,600	\$ 210,000
Retirement	34,335	34,238	20,997	20,563	13,473	33,000	33,000	39,900	40,900
Fringe benefits	16,764	16,655	9,477	8,810	5,295	34,300	34,300	41,300	41,500
Total Personal Services	231,803	227,173	138,625	135,257	86,264	236,300	236,300	285,800	292,400
Materials and supplies	17,978	23,615	21,804	9,674	18,422	27,300	33,300	28,300	29,300
Uniforms and clothing	-	4,275	-	-	-	-	12,000	-	-
Professional development	27,476	28,683	35,191	40,179	43,507	42,000	39,750	45,000	42,000
Miscellaneous expenditures	-	107	53	681	107	200	200	200	200
Total Supplies and Services	45,454	56,680	57,048	50,534	62,036	69,500	85,250	73,500	71,500
Grand Total	\$ 277,257	\$ 283,853	\$ 195,673	\$ 185,791	\$ 148,300	\$ 305,800	\$ 321,550	\$ 359,300	\$ 363,900

Notes:

Materials and supplies:

Includes a proposed increase for anticipated increases in ammunition costs.

Professional development:

Includes a proposed increase for additional costs associated with travel to the CALEA conference for re-accreditation hearing in Alabama.

Police Division - Community Relations (General Fund sub-department)

	2011 <u>Actual</u>	2012 <u>Actual</u>	2013 <u>Actual</u>	2014 <u>Actual</u>	2015 <u>Actual</u>	2016 <u>Adopted</u>	2016 <u>Amended</u>
Salaries and wages	\$ 186,336	\$ 193,189	\$ 134,274	\$ 118,123	\$ 201,294	\$ 221,300	\$ 221,300
Retirement	34,114	34,823	24,350	20,807	37,308	41,600	41,600
Fringe benefits	36,583	36,333	23,858	19,271	35,054	35,800	35,800
Total Personal Services	257,033	264,345	182,482	158,201	273,656	298,700	298,700
Materials and supplies	2,617	3,172	4,135	5,327	161	3,500	3,500
Other services	860	183	722	-	420	2,000	2,000
Total Supplies and Services	3,477	3,355	4,857	5,327	581	5,500	5,500
Grand Total	\$ 260,510	\$ 267,700	\$ 187,339	\$ 163,528	\$ 274,237	\$ 304,200	\$ 304,200

Finance Proposal	Finance Proposal
<u>2017</u>	<u>2018</u>
\$ 220,800	\$ 227,000
41,500	42,600
41,700	41,900
304,000	311,500
4,000	4,000
1,000	1,000
5,000	5,000
\$ 309,000	\$ 316,500

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Police Division - Enforcement Education Fund

	2011 <u>Actual</u>	2012 <u>Actual</u>	2013 <u>Actual</u>	2014 <u>Actual</u>	2015 <u>Actual</u>	2016 <u>Adopted</u>	2016 <u>Amended</u>
Revenues:							
Fine revenue	\$ 3,247	\$ 3,967	\$ 2,639	\$ 2,946	\$ 2,514	\$ 3,000	\$ 3,000
Total Revenues	3,247	3,967	2,639	2,946	2,514	3,000	3,000
Materials & supplies	376	439	291	678	444	2,500	2,500
Total Supplies and Services	376	439	291	678	444	2,500	2,500
Total Expenditures	\$ 376	\$ 439	\$ 291	\$ 678	\$ 444	\$ 2,500	\$ 2,500

Finance Proposal <u>2017</u>	Finance Proposal <u>2018</u>
\$ 3,000	\$ 3,000
3,000	3,000
2,500	2,500
2,500	2,500
\$ 2,500	\$ 2,500

DRAFT

Police Division - Law Enforcement Fund

	2011	2012	2013	2014	2015	2016	2016	Finance	Finance
	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Adopted</u>	<u>Amended</u>	<u>Proposal</u>	<u>Proposal</u>
								<u>2017</u>	<u>2018</u>
Revenues:									
Sale of forfeited property	\$ 173,774	\$ 267,243	\$ 113,366	\$ 256,858	\$ 196,071	\$ 100,000	\$ 100,000	\$ 100,000	\$ 100,000
Investment earnings	16,955	10,730	9,525	10,770	8,554	11,000	11,000	10,000	10,000
Reimbursements	9,228	12,010	7,376	9,897	638	7,500	7,500	5,000	5,000
Total Revenues	199,957	289,983	130,267	277,525	205,263	118,500	118,500	115,000	115,000
Salaries and wages	39,715	46,597	113,354	159,583	153,049	178,600	178,600	187,100	192,400
Retirement	7,138	8,942	20,954	30,704	29,603	34,800	34,800	36,500	37,500
Fringe benefits	4,034	12,451	24,616	34,476	26,133	34,600	34,600	40,800	41,000
Total Personal Services	50,887	67,990	158,924	224,763	208,785	248,000	248,000	264,400	270,900
Materials & supplies	9,071	534	22,090	7,621	9,574	25,000	29,300	15,000	15,000
Professional development	250	-	-	-	-	-	-	-	-
Rents and leases	4,262	7,378	10,060	12,756	12,378	15,000	10,700	15,000	15,000
Total Supplies and Services	13,583	7,912	32,150	20,377	21,952	40,000	40,000	30,000	30,000
Total Expenditures	\$ 64,470	\$ 75,902	\$ 191,074	\$ 245,140	\$ 230,737	\$ 288,000	\$ 288,000	\$ 294,400	\$ 300,900



PUBLIC SERVICE

Workload Measures

	2015	2016	Projected	
			2017	2018
Number of right-of-way permits issued	175	199*	225	230
Number of resident requests for service	379	403*	430	450

*THROUGH SEPTEMBER 2016



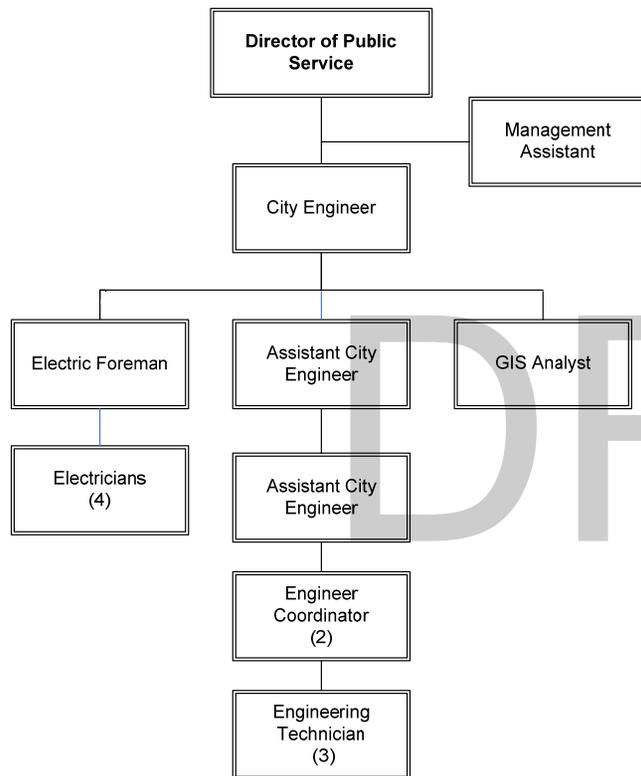
Tremont Road Phase II Roundabout

2015 – 2016 Accomplishments

- Updated the City's 10 year Capital Improvement Plan to reflect work proposed from 2015 through 2024 - valued at \$116 million.
- Sewer System Evaluation Studies (SSES) completed.
- National Pollution Discharge and Elimination System (NPDES):
 - Completed update to Stormwater Master Plan
 - Entered into Intergovernmental agreement with Franklin Soil & Water Conservation District to assist with the management of the City's NPDES program
- Administered planning, design and construction of 17 CIP projects valued in excess of \$23 million including:
 - 3.8 miles of roadway reconstruction
 - 8.3 miles of roadway maintenance
 - 1.5 miles of waterline improvements
 - 2.4 miles of sidewalk
 - 2 bridge replacements
- Completed 2016 pavement conditions ratings of all Upper Arlington streets.
- Maintained 51 traffic signals, 1491 streetlights, 99 parking lot lights, 14 school Flashers, 2 hazardous intersection flashers and 1 LED pedestrian crossing.
- Installed new video detection system at Waltham and Riverside Drive.
- Created a citywide traffic signal database and inventory.
- Replaced the traffic signal at Fishinger and Reed Rd.
- Installed 80 countdown pedestrian signals.
- Provided inspections and contract administration for the replacement of 6 signalized intersections, street light systems on Kensington and Southway Drives and Tremont Rd.
- Installed GPS preemption at 13 signalized intersections.
- Replaced 500 street light lenses.
- Completed thermal imaging on all electrical equipment at 22 City facilities.

PUBLIC SERVICE

The Public Service Administrative Department is committed to providing professional leadership and support to the various divisions of the Public Service department and other City departments through processes founded on responsible and responsive public participation and professional staffing. This department includes the administrative staff, engineers, and electricians. This department is dedicated to providing professional and cost-effective planning, design, and construction engineering services in support of the City's infrastructure systems, and to administering the operations of City owned utilities. Additionally, this department is committed to providing safe and efficient street lighting and traffic signals to assure controlled passage of vehicles and pedestrian traffic along arterial, collector, and residential streets.



On The Horizon

- Implement & manage the 10-year CIP.
- Annual street maintenance and road reconstruction projects.
- Utility infrastructure upgrades.
- Site development and traffic studies.
- Construct improvements to the sanitary sewers based on the sewer system evaluation study.

Budget Summary

	<u>Actual</u> 2015	<u>Amended</u> 2016	<u>Proposed</u> 2017	<u>2018</u>
Public Service Administration	\$813,890	\$880,600	\$881,150	\$900,350
Total General Fund	\$813,890	\$880,600	\$881,150	\$900,350
Street Lights	\$319,202	\$369,700	\$478,150	\$408,450
Traffic Signals	222,728	236,600	284,700	288,300
Total Street Maintenance Repair Fund	\$541,930	\$606,300	\$762,850	\$696,750
Neighborhood Lighting	33,441	114,600	90,300	90,900
Capital Equipment	36,384	170,200	127,900	0
Capital Improvements	240,167	358,039	351,100	360,800
Total - All Funds	\$1,665,812	\$2,129,739	\$2,213,300	\$2,048,800
<u>Expenditures by Category</u>				
Personal Services	\$1,302,037	\$1,605,389	\$1,629,200	\$1,667,600
Other Than Personal Services	327,391	354,150	456,200	381,200
Capital Equipment	36,384	170,200	127,900	0
Total	\$1,665,812	\$2,129,739	\$2,213,300	\$2,048,800
Authorized Personnel	2015	2016	2017	2018
Full-Time Budgeted	9.00	16.00	16.00	16.00
FTE	1.44	1.44	1.73	1.73
Total	10.44	17.44	17.73	17.73

Public Service Administration - Total

	2011	2012	2013	2014	2015	2016	2016	Proposed	
	Actual	Actual	Actual	Actual	Actual	Adopted	Amended	2017	2018
Salaries and wages	\$ 994,476	\$ 906,147	\$ 908,993	\$ 895,813	\$ 990,878	\$ 1,214,771	\$ 1,197,921	\$ 1,211,400	\$ 1,244,400
Retirement	206,899	170,229	153,393	132,621	140,861	170,134	185,734	169,600	174,300
Fringe benefits	263,694	242,723	241,708	216,720	170,298	221,734	221,734	248,200	248,900
Total Personal Services	1,465,069	1,319,099	1,304,094	1,245,154	1,302,037	1,606,639	1,605,389	1,629,200	1,667,600
Materials and supplies	55,460	58,159	47,417	70,070	64,638	80,000	106,750	167,000	92,000
Uniforms and clothing	4,711	2,445	3,801	4,916	3,312	4,400	5,650	5,900	5,900
Rents and leases	504	100	-	-	770	200	200	200	200
Utilities	117,612	125,808	90,597	164,776	127,565	120,000	120,000	126,000	126,000
Maintenance and repairs	14,015	30,082	39,646	25,676	6,370	47,500	47,000	110,500	110,500
Professional development	4,671	10,376	4,622	5,505	7,628	9,500	11,450	10,500	10,500
Professional services	9	4,480	7,970	28,621	38,170	48,000	60,000	32,000	32,000
Other services	1,934	524	1,762	8,759	78,193	2,100	2,100	2,100	2,100
Miscellaneous expenditures	16	12,473	3	771	745	500	1,000	2,000	2,000
Total Supplies and Services	198,932	244,447	195,818	309,094	327,391	312,200	354,150	456,200	381,200
Grand Total	\$ 1,664,001	\$ 1,563,546	\$ 1,499,912	\$ 1,554,248	\$ 1,629,428	\$ 1,918,839	\$ 1,959,539	\$ 2,085,400	\$ 2,048,800

Public Service Administration - General Fund

	2011	2012	2013	2014	2015	2016	2016	Proposed	
	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Adopted</u>	<u>Amended</u>	<u>2017</u>	<u>2018</u>
Salaries and wages	\$ 449,933	\$ 415,175	\$ 440,660	\$ 335,629	\$ 491,269	\$ 602,900	\$ 586,050	\$ 602,100	\$ 618,500
Retirement	138,220	105,953	96,441	85,418	101,537	84,400	100,000	84,300	86,600
Fringe benefits	155,339	136,940	136,587	111,435	84,811	117,200	117,200	125,600	126,100
Total Personal Services	743,492	658,068	673,688	532,482	677,617	804,500	803,250	812,000	831,200
Materials and supplies	5,930	7,708	6,723	9,275	3,264	8,000	8,000	9,000	9,000
Uniforms and clothing	2,391	932	1,973	1,311	1,762	2,400	3,650	3,650	3,650
Rents and leases	100	100	-	-	770	200	200	200	200
Utilities	3,211	6,854	8,658	6,858	6,114	8,000	8,000	7,000	7,000
Maintenance and repairs	3,321	3,524	3,348	2,369	-	4,500	4,000	3,800	3,800
Professional development	3,211	7,918	3,206	4,729	7,308	8,500	8,500	9,500	9,500
Professional services	9	4,480	7,970	28,621	38,170	30,000	42,000	32,000	32,000
Other services	1,931	524	1,739	8,743	78,140	2,000	2,000	2,000	2,000
Miscellaneous expenditures	16	12,473	3	771	745	500	1,000	2,000	2,000
Total Supplies and Services	20,120	44,513	33,620	62,677	136,273	64,100	77,350	69,150	69,150
Grand Total	\$ 763,612	\$ 702,581	\$ 707,308	\$ 595,159	\$ 813,890	\$ 868,600	\$ 880,600	\$ 881,150	\$ 900,350

Notes:

- Materials and supplies: Includes a proposed increase for costs associated with citywide plotting (plotting paper and ink).
- Clothing and uniforms: Includes a proposed increase for 8 FT (\$400/year) and 3 PT (\$150/year) 2 Eng. Coord., 4 Eng. Tech., 1 GIS/PM, 1 Assist. City Engineer, 2 Seasonal, 1 Intern.
- Professional development: Includes a proposed increase for additional training courses for staff.
- Miscellaneous expenditures: Includes a proposed increase for additional mileage reimbursement costs.

Public Service Administration Division - Street Maintenance and Repair Fund

	<u>2011</u>	<u>2012</u>	<u>2013</u>	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2016</u>	<u>Proposed</u>	
	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Adopted</u>	<u>Amended</u>	<u>2017</u>	<u>2018</u>
Salaries and wages	\$ 281,631	\$ 307,542	\$ 320,992	\$ 316,426	\$ 245,943	\$ 284,300	\$ 284,300	\$ 286,600	\$ 294,300
Retirement	68,679	61,508	56,952	47,203	37,682	39,900	39,900	40,100	41,200
Fringe benefits	101,343	95,649	101,212	98,797	76,435	81,100	81,100	96,200	96,300
Total Personal Services	451,653	464,699	479,156	462,426	360,060	405,300	405,300	422,900	431,800
Materials and supplies	37,904	48,579	37,871	43,015	52,139	55,000	53,050	141,000	66,000
Uniforms and clothing	2,320	1,513	1,828	3,605	1,550	2,000	2,000	2,250	2,250
Utilities	114,401	118,954	81,939	157,918	121,451	112,000	112,000	119,000	119,000
Maintenance and repairs	10,694	15,950	14,800	21,626	6,370	13,000	13,000	76,700	76,700
Professional development	1,460	2,458	1,416	776	320	1,000	2,950	1,000	1,000
Professional services	-	-	-	-	-	18,000	18,000	-	-
Other services	-	-	-	-	40	-	-	-	-
Total Supplies and Services	166,779	187,454	137,854	226,940	181,870	201,000	201,000	339,950	264,950
Grand Total	\$ 618,432	\$ 652,153	\$ 617,010	\$ 689,366	\$ 541,930	\$ 606,300	\$ 606,300	\$ 762,850	\$ 696,750

Public Service Administration Division - Traffic Signals (SMRF sub-department)

	2011	2012	2013	2014	2015	2016	2016	Proposed	
	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Adopted</u>	<u>Amended</u>	<u>2017</u>	<u>2018</u>
Salaries and wages	\$ 156,646	\$ 181,041	\$ 199,493	\$ 189,526	\$ 118,763	\$ 111,800	\$ 111,800	\$ 113,000	\$ 116,100
Retirement	35,325	29,742	28,838	23,637	14,691	15,700	15,700	15,800	16,300
Fringe benefits	51,309	46,138	51,850	49,802	31,950	32,600	32,600	38,700	38,700
Total Personal Services	243,280	256,921	280,181	262,965	165,404	160,100	160,100	167,500	171,100
Materials and supplies	13,869	28,035	15,610	27,094	10,289	30,000	28,050	41,000	41,000
Uniforms and clothing	911	799	519	629	767	1,000	1,000	1,000	1,000
Utilities	32,507	36,755	38,319	36,348	40,522	37,000	37,000	39,000	39,000
Maintenance and repairs	7,701	5,840	12,269	10,938	5,486	8,000	8,000	35,700	35,700
Professional development	1,400	1,968	300	370	260	500	2,450	500	500
Total Supplies and Services	56,388	73,397	67,017	75,379	57,324	76,500	76,500	117,200	117,200
Grand Total	\$ 299,668	\$ 330,318	\$ 347,198	\$ 338,344	\$ 222,728	\$ 236,600	\$ 236,600	\$ 284,700	\$ 288,300

Notes:

Materials and supplies:

Includes a proposed increase for the purchase of 80 LED countdown pedestrian signals to upgrade to current Ohio Manual of Uniform Traffic Control Device Standards.

Maintenance and repairs:

Includes a proposed increase for the purchase 10 EPAC traffic signal controllers (\$27,000) to replace existing (5/year), 18 Traffic signal conflict monitors (\$16,200) to replace existing (9/year), and 24 traffic signal UPS batteries (\$6,000).

Public Service Administration Division - Street Lights (SMRF sub-department)

	2011	2012	2013	2014	2015	2016	2016	Proposed	
	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Adopted</u>	<u>Amended</u>	<u>2017</u>	<u>2018</u>
Salaries and wages	\$ 124,985	\$ 126,501	\$ 121,499	\$ 126,900	\$ 127,180	\$ 172,500	\$ 172,500	\$ 173,600	\$ 178,200
Retirement	33,354	31,766	28,114	23,566	22,991	24,200	24,200	24,300	24,900
Fringe benefits	50,034	49,511	49,362	48,995	44,485	48,500	48,500	57,500	57,600
Total Personal Services	208,373	207,778	198,975	199,461	194,656	245,200	245,200	255,400	260,700
Materials and supplies	24,035	20,544	22,261	15,921	41,850	25,000	25,000	100,000	25,000
Uniforms and clothing	1,409	714	1,309	2,976	783	1,000	1,000	1,250	1,250
Utilities	81,894	82,199	43,620	121,570	80,929	75,000	75,000	80,000	80,000
Maintenance and repairs	2,993	10,110	2,531	10,688	884	5,000	5,000	41,000	41,000
Professional development	60	490	1,116	406	60	500	500	500	500
Professional services	-	-	-	-	-	18,000	18,000	-	-
Other services	-	-	-	-	40	-	-	-	-
Total Supplies and Services	110,391	114,057	70,837	151,561	124,546	124,500	124,500	222,750	147,750
Grand Total	\$ 318,764	\$ 321,835	\$ 269,812	\$ 351,022	\$ 319,202	\$ 369,700	\$ 369,700	\$ 478,150	\$ 408,450

Notes:

Materials and supplies: Includes a proposed increase for the purchase of 150 LED retrofit kits for street light energy efficiency improvements (\$75,000).

Maintenance and repairs: Includes a proposed increase for the painting of 200 UA street light poles per year (\$36,000).

Public Service Administration Division - Neighborhood Lighting Fund

	<u>2011</u>	<u>2012</u>	<u>2013</u>	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2016</u>	<u>Proposed</u>	
	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Adopted</u>	<u>Amended</u>	<u>2017</u>	<u>2018</u>
Revenues:									
Investment earnings	\$ 7,544	\$ 4,307	\$ 3,917	\$ 5,119	\$ 4,573	\$ 5,000	\$ 5,000	\$ 5,000	\$ 5,000
Maintenance fees	62,518	64,012	63,262	62,612	63,735	62,500	62,500	63,000	63,000
Total Revenues	70,062	68,319	67,179	67,731	68,308	67,500	67,500	68,000	68,000
Salaries and wages	16,114	12,240	12,188	17,909	19,439	20,900	20,900	22,000	22,600
Retirement	-	2,768	-	-	1,642	2,900	2,900	3,100	3,200
Fringe benefits	323	5,496	250	357	3,112	15,000	15,000	18,100	18,000
Total Personal Services	16,437	20,504	12,438	18,266	24,193	38,800	38,800	43,200	43,800
Materials and supplies	11,626	1,872	2,823	17,780	9,235	17,000	45,700	17,000	17,000
Rents and leases	404	-	-	-	-	-	-	-	-
Maintenance and repairs	-	10,608	21,498	1,681	-	30,000	30,000	30,000	30,000
Other services	3	-	23	16	13	100	100	100	100
Total Supplies and Services	12,033	12,480	24,344	19,477	9,248	47,100	75,800	47,100	47,100
Grand Total	\$ 28,470	\$ 32,984	\$ 36,782	\$ 37,743	\$ 33,441	\$ 85,900	\$ 114,600	\$ 90,300	\$ 90,900

Public Service Administration - Capital

	<u>2011 Actual</u>	<u>2012 Actual</u>	<u>2013 Actual</u>	<u>2014 Actual</u>	<u>2015 Actual</u>	<u>2016 Adopted</u>	<u>2016 Amended</u>
Salaries and wages	\$ 246,798	\$ 171,190	\$ 135,153	\$ 225,849	\$ 234,227	\$ 306,671	\$ 306,671
Retirement	-	-	-	-	-	42,934	42,934
Fringe benefits	6,689	4,638	3,659	6,131	5,940	8,434	8,434
Total Personal Services	253,487	175,828	138,812	231,980	240,167	358,039	358,039
Grand Total	\$ 253,487	\$ 175,828	\$ 138,812	\$ 231,980	\$ 240,167	\$ 358,039	\$ 358,039

<u>Proposed</u>	
<u>2017</u>	<u>2018</u>
\$ 300,700	\$ 309,000
42,100	43,300
8,300	8,500
351,100	360,800
\$ 351,100	\$ 360,800

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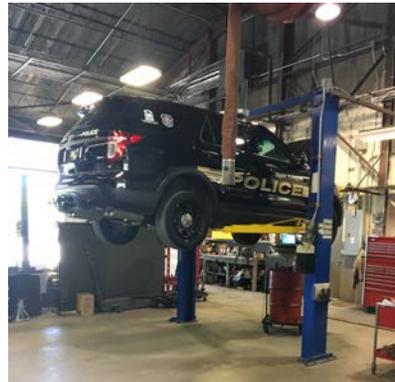
PUBLIC WORKS

Workload Measures

	Projected		
	2015/2016	2017	2018
Repairs			
Curb and gutter (linear ft.)	385	400	400
Sidewalk/chair ramps (sq. ft.)	412	400	400
Roadway – surface (sq. ft.)	29,473	20,000	20,000
Roadway –crack sealing (sq. yds.)	170,334	100,000	100,000
Street Cleaning			
Leaf collection (cu. yds.)	21,427	20,000	20,000
Snow and ice removal (hours)	49.8	28	28
Routine Maintenance			
Number of potholes patched	5,195	3,000	3,000
Number of signs installed	751	500	500
Hydrant Repair	200	100	100
Sewer Line inspection (lin. ft.)	136,915	75,000	75,000
Sewer Line Cleaning (lin. ft.)	475,591	75,000	75,000
Street Sweeping – lane miles	1,511	1384	1384

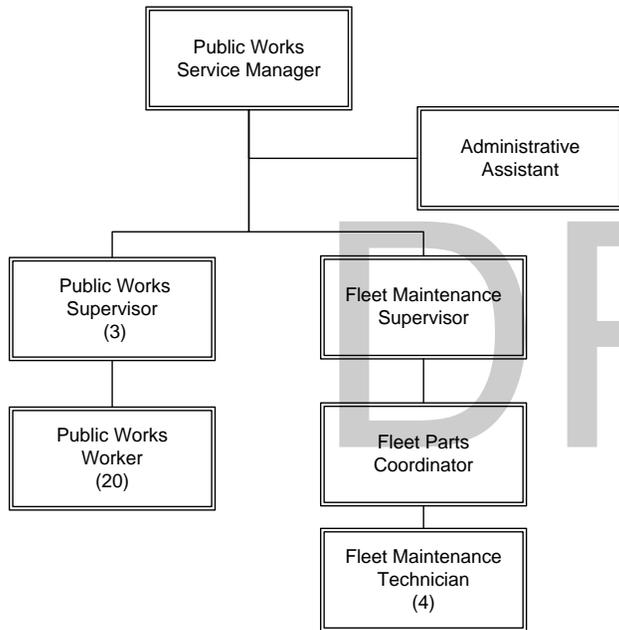
2015-2016 Accomplishments

- Transitioned into providing bio-diesel fuel at PSC to lower exhaust emissions in our fleet.
- Entered into a city-wide leasing contract to replace 11 vehicles.
- Added Franklin Township to our shared services agreements for the maintenance of their vehicles.
- Completed 113 work orders for repairing or servicing vehicles in our shared services agreement.
- Completed 1,859 work orders for maintenance or repairs of City vehicles.
- Created leaf collection plan and zone maps to enhance public communication of the collection schedule.
- Updated the snow and ice operations plan and snow routes.
- Implemented a citizen service request system and documented 447 service responses in the past 12 months.
- Responded to 93 emergency “first call” requests.
- Televised 130,813 linear of sanitary sewer lines.
- Cleaned and televised 6,102 linear feet of storm sewer lines.
- Repaired 195 storm catch basins and 200 fire hydrants.



PUBLIC WORKS

The Public Works Division is dedicated to providing quality maintenance and repair of the sanitary, storm, and potable water systems throughout the City. In addition, this Division is committed to providing safe passage for motorists and pedestrians by utilizing the most efficient manner possible.



On The Horizon

- Increased televising and cleaning of sanitary sewers.
- Focus efforts on repairs on stormwater system.
- Providing high level of services on all city vehicles.
- Continued shared services with the City of Grandview Heights, Norwich Township Fire Department, and Franklin Township.
- Focus on enhanced roadway maintenance.

Budget Summary

	Actual <u>2015</u>	Amended <u>2016</u>	Proposed <u>2017</u> <u>2018</u>	
Street Services	\$273,008	\$355,100	\$333,900	\$342,500
Fleet Maintenance	718,197	773,350	783,500	796,800
Total General Fund	\$991,205	\$1,128,450	\$1,117,400	\$1,139,300
Street Maintenance and Repair Fund	\$998,128	\$1,404,700	\$1,281,550	\$1,302,650
Water Surcharge Fund	346,932	344,700	346,000	351,000
Sewer Surcharge Fund	428,754	583,300	812,000	823,000
Stormwater Fund	266,741	392,900	435,800	417,700
Capital Equipment Fund	397,488	469,900	319,000	0
Total - All Funds	\$3,429,248	\$4,323,950	\$4,311,750	\$4,033,650
<u>Expenditures by Category</u>				
Personal Services	\$2,155,731	\$2,484,900	\$2,596,600	\$2,662,500
Other Than Personal Services	844,508	1,353,450	1,396,150	1,371,150
Capital Equipment	429,009	485,600	319,000	0
Total	\$3,429,248	\$4,323,950	\$4,311,750	\$4,033,650

Authorized Personnel	2015	2016	2017	2018
Full-Time Budgeted	23.66	30.00	31.00	31.00
FTE	1.12	1.12	1.12	1.12
Total	24.78	31.12	32.12	32.12

Public Works Division - Total

	2011	2012	2013	2014	2015	2016	2016	Proposed	
	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Adopted</u>	<u>Amended</u>	2017	2018
Salaries and wages	\$ 1,638,612	\$ 1,709,843	\$ 1,674,449	\$ 1,693,126	\$ 1,585,576	\$ 1,829,300	\$ 1,846,300	\$ 1,869,900	\$ 1,926,400
Retirement	372,317	363,113	279,887	233,329	221,116	256,100	264,100	261,700	269,700
Fringe benefits	425,723	416,754	412,476	390,024	349,039	399,500	374,500	465,000	466,400
Total Personal Services	2,436,652	2,489,710	2,366,812	2,316,479	2,155,731	2,484,900	2,484,900	2,596,600	2,662,500
Materials and supplies	557,393	458,365	495,525	694,367	556,609	839,250	834,550	719,700	694,700
Uniforms and clothing	14,320	12,422	12,498	14,894	11,652	15,450	15,950	15,100	15,100
Rents and leases	-	2,044	3,612	-	-	-	450	500	500
Utilities	11,168	11,831	10,228	8,229	9,062	12,700	11,900	11,500	11,500
Maintenance and repairs	121,465	78,956	40,064	110,660	80,255	214,050	223,500	356,500	356,500
Professional development	5,415	8,180	3,939	12,127	21,281	13,800	14,800	27,250	27,250
Professional services	275,647	40,165	293,548	94,688	79,725	185,000	154,400	185,000	185,000
Intra-city services	65,719	46,104	51,866	62,953	47,075	58,500	78,000	61,000	61,000
Other services	20,307	10,421	7,817	14,529	37,700	14,500	18,300	17,750	17,750
Miscellaneous expenditures	235	2,513	8	1,532	1,149	1,500	1,600	1,850	1,850
Total Supplies and Services	1,071,669	671,001	919,105	1,013,979	844,508	1,354,750	1,353,450	1,396,150	1,371,150
Grand Total	\$ 3,508,321	\$ 3,160,711	\$ 3,285,917	\$ 3,330,458	\$ 3,000,239	\$ 3,839,650	\$ 3,838,350	\$ 3,992,750	\$ 4,033,650

Public Works Division - General Fund

	<u>2011</u>	<u>2012</u>	<u>2013</u>	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2016</u>	<u>Proposed</u>	
	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Adopted</u>	<u>Amended</u>	<u>2017</u>	<u>2018</u>
Salaries and wages	\$ 604,126	\$ 733,476	\$ 561,823	\$ 646,041	\$ 584,957	\$ 708,300	\$ 708,300	\$ 682,700	\$ 701,400
Retirement	123,622	117,618	101,840	76,110	68,904	99,200	99,200	95,500	98,200
Fringe benefits	108,166	111,273	114,334	91,297	82,011	98,000	98,000	112,400	112,900
Total Personal Services	835,914	962,367	777,997	813,448	735,872	905,500	905,500	890,600	912,500
Materials and supplies	160,256	144,285	178,825	195,704	190,349	183,000	183,000	187,700	187,700
Uniforms and clothing	2,097	2,000	2,053	2,114	1,793	2,800	3,300	2,600	2,600
Rents and leases	-	2,044	-	-	-	-	-	-	-
Utilities	2,600	2,971	2,107	1,623	1,522	3,600	2,800	2,500	2,500
Maintenance and repairs	10,505	29,039	12,396	26,146	28,213	22,050	21,500	22,500	22,500
Professional development	3,136	5,629	2,671	11,015	7,391	9,500	9,500	9,500	9,500
Other services	419	365	614	-	24,957	1,000	1,800	750	750
Miscellaneous expenditures	-	-	8	865	1,108	1,000	1,050	1,250	1,250
Total Supplies and Services	179,013	186,333	198,674	237,467	255,333	222,950	222,950	226,800	226,800
Grand Total	\$ 1,014,927	\$ 1,148,700	\$ 976,671	\$ 1,050,915	\$ 991,205	\$ 1,128,450	\$ 1,128,450	\$ 1,117,400	\$ 1,139,300

Public Works Division - Streets (General Fund sub-department)

	2011	2012	2013	2014	2015	2016	2016	Proposed	
	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Adopted</u>	<u>Amended</u>	<u>2017</u>	<u>2018</u>
Salaries and wages	\$ 289,379	\$ 405,260	\$ 213,470	\$ 274,926	\$ 207,396	\$ 281,100	\$ 281,100	\$ 261,000	\$ 268,300
Retirement	50,772	48,942	42,098	23,356	14,678	39,400	39,400	36,500	37,600
Fringe benefits	34,967	38,245	40,828	27,190	15,349	20,800	20,800	22,600	22,800
Total Personal Services	375,118	492,447	296,396	325,472	237,423	341,300	341,300	320,100	328,700
Materials and supplies	913	1,114	4,977	2,631	2,644	2,000	2,000	2,700	2,700
Uniforms and clothing	43	184	107	131	504	800	800	600	600
Rents and leases	-	2,044	-	-	-	-	-	-	-
Utilities	1,270	1,459	990	804	718	2,000	1,200	1,500	1,500
Maintenance and repairs	-	-	4,464	2,036	2,454	2,500	2,500	2,500	2,500
Professional development	525	735	845	9,579	3,493	5,500	5,500	5,500	5,500
Other services	-	-	-	-	24,898	-	800	-	-
Miscellaneous expenditures	-	-	8	865	874	1,000	1,000	1,000	1,000
Total Supplies and Services	2,751	5,536	11,391	16,046	35,585	13,800	13,800	13,800	13,800
Grand Total	\$ 377,869	\$ 497,983	\$ 307,787	\$ 341,518	\$ 273,008	\$ 355,100	\$ 355,100	\$ 333,900	\$ 342,500

Public Works Division - Fleet Maintenance (General Fund sub-department)

	2011	2012	2013	2014	2015	2016	2016	Proposed	
	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Adopted</u>	<u>Amended</u>	<u>2017</u>	<u>2018</u>
Salaries and wages	\$ 314,747	\$ 328,216	\$ 348,353	\$ 371,115	\$ 377,561	\$ 427,200	\$ 427,200	\$ 421,700	\$ 433,100
Retirement	72,850	68,676	59,742	52,754	54,226	59,800	59,800	59,000	60,600
Fringe benefits	73,199	73,028	73,506	64,107	66,662	77,200	77,200	89,800	90,100
Total Personal Services	460,796	469,920	481,601	487,976	498,449	564,200	564,200	570,500	583,800
Materials and supplies	159,343	143,171	173,848	193,073	187,705	181,000	181,000	185,000	185,000
Uniforms and clothing	2,054	1,816	1,946	1,983	1,289	2,000	2,500	2,000	2,000
Utilities	1,330	1,512	1,117	819	804	1,600	1,600	1,000	1,000
Maintenance and repairs	10,505	29,039	7,932	24,110	25,759	19,550	19,000	20,000	20,000
Professional development	2,611	4,894	1,826	1,436	3,898	4,000	4,000	4,000	4,000
Other services	419	365	614	-	59	1,000	1,000	750	750
Miscellaneous expenditures	-	-	-	-	234	-	50	250	250
Total Supplies and Services	176,262	180,797	187,283	221,421	219,748	209,150	209,150	213,000	213,000
Grand Total	\$ 637,058	\$ 650,717	\$ 668,884	\$ 709,397	\$ 718,197	\$ 773,350	\$ 773,350	\$ 783,500	\$ 796,800

Public Works Division - Street Maintenance and Repair Fund

	2011	2012	2013	2014	2015	2016	2016	Proposed	
	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Adopted</u>	<u>Amended</u>	<u>2017</u>	<u>2018</u>
Salaries and wages	\$ 492,311	\$ 474,307	\$ 605,783	\$ 586,884	\$ 504,999	\$ 571,500	\$ 571,500	\$ 562,700	\$ 580,800
Retirement	136,761	130,690	101,992	93,900	70,169	80,000	80,000	78,800	81,300
Fringe benefits	187,597	170,463	173,292	177,650	133,902	144,700	144,700	170,300	170,800
Total Personal Services	816,669	775,460	881,067	858,434	709,070	796,200	796,200	811,800	832,900
Materials and supplies	319,541	250,524	288,212	406,973	276,202	555,000	554,000	415,000	415,000
Uniforms and clothing	6,761	5,405	6,991	9,857	6,214	8,000	8,000	8,000	8,000
Utilities	4,985	5,580	5,437	4,523	4,730	5,000	5,000	5,000	5,000
Maintenance and repairs	803	2,178	-	1,247	-	37,000	37,000	37,000	37,000
Professional development	1,445	1,095	628	467	1,361	1,500	2,500	2,750	2,750
Other services	12,626	1,050	60	2,853	551	2,000	2,000	2,000	2,000
Miscellaneous expenditures	36	-	-	-	-	-	-	-	-
Total Supplies and Services	346,197	265,832	301,328	425,920	289,058	608,500	608,500	469,750	469,750
Grand Total	\$ 1,162,866	\$ 1,041,292	\$ 1,182,395	\$ 1,284,354	\$ 998,128	\$ 1,404,700	\$ 1,404,700	\$ 1,281,550	\$ 1,302,650

Notes:

Professional development: Includes a proposed increase for continued employee development.

Public Works Division - Sanitary Sewer Surcharge Fund

	2011	2012	2013	2014	2015	2016	2016	Proposed	
	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Adopted</u>	<u>Amended</u>	<u>2017</u>	<u>2018</u>
Salaries and wages	\$ 237,437	\$ 204,668	\$ 210,907	\$ 180,529	\$ 199,922	\$ 162,900	\$ 254,900	\$ 298,100	\$ 307,500
Retirement	52,916	52,995	32,734	27,276	32,660	22,800	25,800	41,700	43,100
Fringe benefits	62,555	60,902	56,379	53,884	62,973	81,100	61,100	95,100	95,300
Total Personal Services	352,908	318,565	300,020	261,689	295,555	266,800	341,800	434,900	445,900
Materials and supplies	44,569	36,674	17,529	81,835	22,256	65,000	65,000	60,000	60,000
Uniforms and clothing	3,869	3,644	1,465	739	2,408	2,500	2,500	2,500	2,500
Rents and leases	-	-	3,612	-	-	-	450	500	500
Utilities	2,773	2,250	1,935	1,678	2,426	3,000	3,000	3,000	3,000
Maintenance and repairs	14,205	29,542	23,418	23,778	37,906	128,000	108,000	270,000	270,000
Professional development	495	616	5	-	12,529	1,500	1,500	14,000	14,000
Professional services	-	-	-	-	20,000	-	-	-	-
Intra-city services	29,200	18,692	21,618	25,837	19,583	25,000	44,500	26,000	26,000
Other services	1,717	514	448	894	40	1,500	1,500	1,000	1,000
Miscellaneous expenditures	-	2,250	-	-	41	-	50	100	100
Total Supplies and Services	96,828	94,182	70,030	134,761	117,189	226,500	226,500	377,100	377,100
Grand Total	\$ 449,736	\$ 412,747	\$ 370,050	\$ 396,450	\$ 412,744	\$ 493,300	\$ 568,300	\$ 812,000	\$ 823,000

Notes:

Personal services: Includes a proposed increase to hire a public works supervisor to manage crews working throughout the City.

Maintenance and repairs: Includes a proposed increase to contract services to "clean, inspect and televise sanitary sewer systems" to achieve annual Capacity, Management, Operations and Maintenance (CMOM) goals. Contract estimation at \$150,000 to inspect 10% of the system. Also, includes proposal to continue the root control program for sanitary sewers systems (estimated at \$20,000 per year) and additional sanitary sewers repairs (\$100,000).

Professional development: Includes a proposed increase to include Pipeline Assessment and Certification Program(PACP) training. This will continue our efforts to train the Public Works staff on pipe analysis.

Public Works Division - Stormwater Management Fund

	2011	2012	2013	2014	2015	2016	2016	Proposed	
	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Adopted</u>	<u>Amended</u>	<u>2017</u>	<u>2018</u>
Salaries and wages	\$ 216,530	\$ 213,364	\$ 176,590	\$ 189,215	\$ 154,275	\$ 251,300	\$ 176,300	\$ 198,500	\$ 204,500
Retirement	40,777	40,650	25,368	21,855	18,813	35,200	35,200	27,800	28,600
Fringe benefits	38,091	40,720	34,134	33,958	27,429	31,600	31,600	35,500	35,600
Total Personal Services	295,398	294,734	236,092	245,028	200,517	318,100	243,100	261,800	268,700
Materials and supplies	8,860	7,320	4,315	3,839	7,380	14,250	11,250	35,000	10,000
Uniforms and clothing	1,150	1,041	1,477	812	786	1,650	1,650	1,500	1,500
Utilities	591	504	284	202	163	600	600	500	500
Maintenance and repairs	992	1,697	12	223	3,079	2,000	2,000	2,000	2,000
Professional development	274	840	635	645	-	1,300	1,300	1,000	1,000
Professional services	245,727	18,725	290,888	34,188	29,325	100,000	100,000	100,000	100,000
Intra-city services	24,867	18,520	17,193	23,506	13,659	20,000	20,000	20,000	20,000
Other services	5,545	8,492	6,695	10,303	11,832	10,000	13,000	14,000	14,000
Total Supplies and Services	288,006	57,139	321,499	73,718	66,224	149,800	149,800	174,000	149,000
Grand Total	\$ 583,404	\$ 351,873	\$ 557,591	\$ 318,746	\$ 266,741	\$ 467,900	\$ 392,900	\$ 435,800	\$ 417,700

Notes:

Materials and supplies:

Includes a proposed increase to construct a tank containment system for the anti-icing liquids stored at the Public Service Center (estimated cost of \$25,000).

Professional services:

Includes a proposal for the continuation of the street sweeping contract for 2017 (estimated at \$50,000) and the bridge inspection contract (estimated at \$40,000).

Public Works Division - Water Surcharge Fund

	2011	2012	2013	2014	2015	2016	2016	Proposed	
	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Adopted</u>	<u>Amended</u>	<u>2017</u>	<u>2018</u>
Salaries and wages	\$ 88,208	\$ 84,028	\$ 119,346	\$ 90,457	\$ 141,423	\$ 135,300	\$ 135,300	\$ 127,900	\$ 132,200
Retirement	18,241	21,160	17,953	14,188	30,570	18,900	23,900	17,900	18,500
Fringe benefits	29,314	33,396	34,337	33,235	42,724	44,100	39,100	51,700	51,800
Total Personal Services	135,763	138,584	171,636	137,880	214,717	198,300	198,300	197,500	202,500
Materials and supplies	24,167	19,562	6,644	6,016	60,422	22,000	21,300	22,000	22,000
Uniforms and clothing	443	332	512	1,372	451	500	500	500	500
Utilities	219	526	465	203	221	500	500	500	500
Maintenance and repairs	94,960	16,500	4,238	59,266	11,057	25,000	55,000	25,000	25,000
Professional development	65	-	-	-	-	-	-	-	-
Professional services	29,920	21,440	2,660	60,500	30,400	85,000	54,400	85,000	85,000
Intra-city services	11,652	8,892	13,055	13,610	13,833	13,500	13,500	15,000	15,000
Other services	-	-	-	479	320	-	-	-	-
Miscellaneous expenditures	199	263	-	667	-	500	500	500	500
Total Supplies and Services	161,625	67,515	27,574	142,113	116,704	147,000	145,700	148,500	148,500
Grand Total	\$ 297,388	\$ 206,099	\$ 199,210	\$ 279,993	\$ 331,421	\$ 345,300	\$ 344,000	\$ 346,000	\$ 351,000

Notes:

Professional services:

Includes a proposed increase for the continuation of the hydrant painting contract to continue second phase of the project. Painting 396 hydrants for approximately \$34,000. Also, includes a proposal to contract the repair of the Zollinger Water Tank vault by contract services (estimated cost to be \$30,000). The vault top is deteriorated and should be replaced.

DRAFT

Capital

CAPITAL EQUIPMENT

Capital Equipment

The capital equipment budget is adopted annually. The budget is comprised of additional or replacement equipment needed in the City's fleet, office, and technology areas, along with other miscellaneous tools and equipment. The City dedicated the issuance of a ½ mill permanent property tax to fund the majority of the current and future capital equipment purchases. Currently, ½ mill generates approximately \$856,000 for the City. Additionally, all cellular tower lease fees are used exclusively for technology or related equipment purchases. The dedications of different revenue sources enable the City to maintain a steady level of funding for capital equipment and therefore no burden is created on the ongoing operations of the City. The enterprise funds are responsible for paying for the capital equipment used in the enterprise activities.

The Fleet Maintenance Department uses a software program (FASTER) to schedule preventative maintenance and monitor repair costs of all City vehicles. This program allows the City to monitor the usage and the repair cost of the vehicle, indicating the need for a vehicle to be replaced earlier or later than anticipated in the vehicle replacement schedule. A rating of "15" or higher indicates that replacement should be evaluated due to the high maintenance of the vehicle.

The City also reviews the office and technology areas to ensure the necessity of purchasing equipment items and has developed a technology replacement schedule. The computers and printers are assessed and scheduled so the most dated machines are retired from the City first. Office equipment is reviewed for usefulness, reliability, and length of life. The option of leasing equipment where feasible is considered.

The 2017 capital equipment list is presented by department and gives a brief description of the item, quantity, replacement or new, account number, Council designation, and capital equipment funds or other funding source. Most fleet and equipment requests are replacement in nature. There are a few exceptions for new technology upgrades and public works equipment.

Replacement or Routine –

- Purchase of a current fleet vehicle that qualifies under the City's vehicle review process.
- Planned routine cycle of replacing technology equipment so the City can sustain current technology needs.
- Equipment life has been exhausted.

New or Non-routine –

- Equipment is not being replaced with same type of equipment.
- Adding a vehicle or equipment to the City.

The City's Procurement Code allows the City Manager to enter into contracts involving the expenditure of not more than Forty thousand dollars (\$40,000) without City Council approval, provided that sufficient funds have been appropriated. The Procurement Code also allows the City to enter into a contract with another political subdivision or a governmental cooperative purchasing group without City Council approval. Several items, such as vehicles and IT equipment, in the 2017 equipment list meet the criteria.

CAPITAL EQUIPMENT

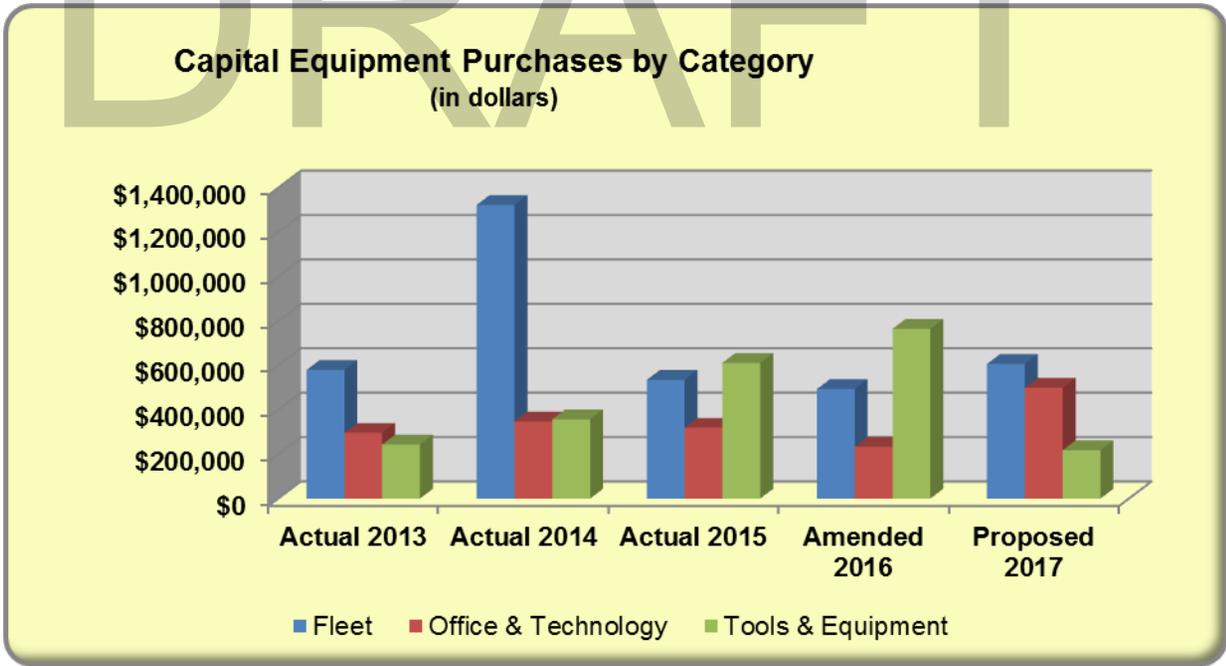
The following tables provide a summary of the proposed 2017 capital equipment budget by funding source and then by division.

Capital Equipment Summary by Funding Source

Capital Equipment Fund	\$ 1,215,300
Swimming Pool Fund	50,000
Law Enforcement Fund	28,000
Clerk of Court Fund	15,000
Mayor's Court Computer Fund	15,000
Totals	\$ 1,323,300

Capital Equipment Summary by Division

Public Service Administration	\$ 127,900
Information Technology	498,900
Parks and Recreation	249,500
Police Division	128,000
Public Works	319,000
Totals	\$ 1,323,300



CAPITAL EQUIPMENT

Information Technology

Item Number	Description	Quantity	New (N) or Replacement (R)	Amount	Funding Source
1	Mayor's Court/Probation software	1	R	\$ 30,000	Clerk of Courts Fund/ Mayor's Court Computer Fund
2	Parks and Recreation software upgrade	1	R	17,800	Capital Equipment Fund
3	Tremont pool technology	1	N	50,000	Swimming Pool Fund
4	Cradle points	13	N	15,600	Capital Equipment Fund
5	Police in-car video camera recorder system	15	R	100,000	Capital Equipment Fund
6	Security camera replacement	14	R	42,000	Capital Equipment Fund
7	Backup replacement appliance	1	R	63,500	Capital Equipment Fund
8	Various software upgrades	1	N/R	50,000	Capital Equipment Fund
9	Asset/infrastructure management system software	1	N	70,000	Capital Equipment Fund
10	Development software	1	N	60,000	Capital Equipment Fund
Information Technology Total				\$ 498,900	

Rationale:

- 1 The Clerk of Courts software is at "end of life" and no longer supported. This amount also includes related servers for storage.
- 2 The Parks and Recreation software requires an upgrade along with a server replacement, training, and interface cost so it can communicate with finance software.
- 3 Updated technology for the new Tremont pool will include a PA system, security cameras, building intrusion detection system, four computers with bar code readers, two receipt printers, one desktop printer and WIFI access points.
- 4 The installation of cradle points in police and public safety vehicles will provide a more reliable cellular connection for data transmission over the current cellular access cards.
- 5 The in-car video recording camera systems for Police cruisers are at "end of life" and no longer serviceable.
- 6 Security camera replacement at Roberts Rd., Station 71, Devon Pool, and the MSC.
- 7 Backup appliance replacement for virtual machines and databases disaster recovery.
- 8 The software budget is used for purchasing software products and licenses.
- 9 Engineering has requested a potential asset infrastructure management system application.
- 10 Development has requested new software to replace its existing software which is no longer effective or efficient.

CAPITAL EQUIPMENT

Parks and Recreation

Item Number	Description	Quantity	New (N) or Replacement (R)	Amount	Funding Source
1	Pick-up truck	1	N	\$ -	Capital Equipment Fund
2	Tennis roller	1	R	11,000	Capital Equipment Fund
3	Forestry bucket truck	1	R	135,000	Capital Equipment Fund
4	Large area mower	1	R	89,000	Capital Equipment Fund
5	Trim mower	1	R	14,500	Capital Equipment Fund
Parks and Recreation Total				\$ 249,500	

Rationale:

- 1 New truck needed for barn & shelter based at MSC - current old second line vehicle is inadequate. This truck will be added into the fleet lease program, therefore no amount is listed.
- 2 Clay courts at Northam require daily rolling. The current roller is 17 years old and worn out.
- 3 The forestry bucket truck is a unique and indispensable piece of equipment to protect staff and public safety. The current truck #2370 is now 22 years old and is not safe.
- 4 Parks & forestry plans to double the frequency of field mowing in spring 2017. The current mower is eight years old - moved to second line status. This purchase is being evaluated for financing through a lease-purchase agreement.
- 5 Trim mowers are used daily for 8 months per year and the current John Deere #40711 is six years old and worn out.

Police Division

Item Number	Description	Quantity	New (N) or Replacement (R)	Amount	Funding Source
1	Marked police cruiser	3	R	\$ 100,000	Capital Equipment Fund
2	Unmarked police vehicle	1	R	28,000	Law Enforcement Fund
Police Total				\$ 128,000	

Rationale:

- 1 FASTER program has identified vehicles #4092, #4091, and #4011 as due for replacement.
- 2 FASTER program has identified this vehicle (#4051) as due for replacement and body of vehicle is rusting.

CAPITAL EQUIPMENT

Public Service Administration

Item Number	Description	Quantity	New (N) or Replacement (R)	Amount	Funding Source
1	GPS pre-emption signal equipment	7	N	\$ 43,400	Capital Equipment Fund
2	Video detection equipment	5	R	39,500	Capital Equipment Fund
3	Rectangular rapid flash beacon equipment	1	N	20,000	Capital Equipment Fund
4	Global navigation satellite system receiver & controller	1	R	25,000	Capital Equipment Fund
Public Service Administration Total				\$ 127,900	

Rationale:

- 1 This is the second of three phases to implement the new GPS pre-emption equipment. This equipment will help to increase efficiency of the Fire Dept. while traveling through seven signalized intersections.
- 2 This equipment is needed to maintain the aging video detection equipment already in place.
- 3 Rectangular Rapid Flash Beacons (RRFB) at North Star and Guilford to enhance safety by increasing driver awareness of potential bike/pedestrian conflicts.
- 4 Global Navigation Satellite System (GNSS) receiver and controller to replace the 10-15 years old survey equipment used for the design of the residential street reconstruction program. Upgrading this equipment will improve efficiencies and reduce human error.

Public Works

Item Number	Description	Quantity	New (N) or Replacement (R)	Amount	Funding Source
1	Single axle dump truck	1	R	\$ 150,000	Capital Equipment Fund
2	Leaf collection machine	1	R	40,000	Capital Equipment Fund
3	Leaf collection boxes	3	R	16,000	Capital Equipment Fund
4	Anti-icing slide in tank	1	N	12,000	Capital Equipment Fund
5	Retrofit 2008 dump trucks to current specifications	2	R	90,000	Capital Equipment Fund
6	Floor sweeper and scrubber	1	N	11,000	Capital Equipment Fund
Public Works Total				\$ 319,000	

Rationale:

- 1 Replacement of unit #2476 - 2003 International single axle dump truck, with a FASTER rating of 15.
- 2 The existing units were purchased in 2010 and considered in fair condition. The new models are equipped with the hydraulics to enhance employee efforts in the collection process. The additional horsepower on the new machines will increase production.
- 3 Replacing the in-house manufactured boxes currently being used. The new boxes will be custom fit to new dump trucks for leaf storage and transport.
- 4 Purchase of an anti-icing liquid application spray system tank for a single axle dump truck to enhance our snow and ice operations. The City currently has two slide-in tanks and a third unit will allow us to complete all required roads.
- 5 There are currently two single axle dump trucks that are showing excessive amount of rust and corrosion on the common steel dump beds. They are both 2008 single axle dump trucks (#4020 and #4021) with approximately 30,000 miles. Upon inspection of the cab and mechanical components of the trucks we propose to install new stainless steel dump beds, new salt spreaders and upgrade the hydraulic systems. These modifications will extend the life of these units by five additional years.
- 6 These items will be used to clean all concrete floor surfaces in the PSC. We currently use a hose and push broom for this task and takes many labor hours to accomplish. This purchase will enhance the cleanliness of the facility.

CAPITAL IMPROVEMENTS

Capital Improvement

The City, founded as a village in 1918, is a fully-developed, bedroom community. Infrastructure is comprised primarily of residential streets, street lights and signals, and underlying water and sewer lines. City parks include recreational land improvements, buildings and facilities. In addition, the Municipal Service Center (MSC) and Public Service Center (PSC) buildings house the operations of the City departments. The vast majority of the Capital Improvement Program (CIP) represents the cost of replacing and reconstructing the existing infrastructure of roads, lighting, waterlines, and sanitary and storm sewers. Thus, the CIP typically does not impact annual operating expenditures, and neither eliminates nor creates additional infrastructure.

The City's financial policies include guidance for maintaining a ten-year CIP plan with the goal of achieving the annual replacement cost of the infrastructure based upon service life of each component. This CIP plan was developed and managed in several different ways until 2013, when the City created a CIP Committee consisting of representatives from all the major divisions of the City. This committee is responsible for evaluating potential projects, adding and removing projects from the CIP, and prioritizing the projects included within the CIP. Additionally, in 2014, the City took a new approach in preparing the CIP plan by adding an additional three years to make it a ten-year plan (previous policy was a seven-year plan) and extending the costs to show what it will take to adequately maintain the City's infrastructure. This new approach was implemented to show a more comprehensive view of all the components of the City's CIP and to be used as a tool to further analyze the funding of the program. The ten-year CIP plan has now been updated twice since its inception and includes years 2017 through 2026. The most current version the CIP totals \$104.5 million or an average of \$10.5 million annually.

<u>Detail</u>	<u>Total 10-year CIP 2017-2026</u>	<u>Percent of CIP</u>
Streets, etc.	\$58.3	56%
<i>Streets, sidewalks, streetlights, signals, bridges</i>		
Utilities	\$31.7	30%
<i>Waterlines, stormwater, sewer lines</i>		
Parks	\$7.9	8%
Other	\$6.6	6%
<i>Safe Routes to School & economic development projects</i>		
Total	\$104.5	100%

The most significant portion of the CIP is street maintenance and reconstruction. Every two years, a physical inspection of all streets in Upper Arlington is completed. In this endeavor, the roads are inspected for pavement cracking, pavement defects, curb and gutter conditions, and cracking seal conditions and a score is assigned for each category. Curb and gutters are also inspected (where present) with the pavement and their condition is included with the overall rating. From these individual scores, a Pavement Condition Rating (PCR) is generated. The PCR ranges from 0 to 100, with 100 being a road with no distress. The lower the score, the worse the rated condition and the higher priority it is given. Roads with scores above 75 are generally in fair to good condition and are candidates for crack sealing maintenance activities. Roads with scores 75 and less are candidates for maintenance and reconstruction, depending on the severity and nature of the defects.

Curbs and gutters are rated based upon the level of deterioration. The condition of the curb is considered with the pavement condition when prioritizing streets for reconstruction.

Also considered are the type of street (state route, arterial, collector) and the proximity to a school, public safety facility, public activity center, or economic development area.

CAPITAL IMPROVEMENTS

Financing

The CIP financing plan provides City Council the ability to determine the fiscal viability of the plan and the opportunity to monitor the fiscal impact on the operating budget long before the improvements take place. Using the adopted financial and debt policies for capital investments, the plan consists of a combination of cash and debt financing.

General obligation bonds are the primary source of debt issued for capital improvements. The City maintains an AAA bond rating from both Moody's and S & P Global, the best bond rating granted to a City. This credit rating allows the City to borrow money at very favorable interest rates.

Historically, the cash funding has included two reoccurring revenue streams in the form of general tax revenues that are transferred from the General Fund to the Estate Tax Capital Improvement Fund and the Infrastructure Improvement Fund. In any year the estate tax revenue exceeded \$2.1 million (\$1 million for 2013), the excess was set aside and deposited into the Estate Tax Capital Improvement Fund for capital improvements. This revenue stream effectively ended when state legislation eliminated the Ohio estate tax effective January 1, 2013. The remaining funds in the Estate Tax Capital Improvement Fund are dedicated to the current CIP. Once the funds are depleted, the Estate Tax Capital Improvement Fund will be closed.

Since 1997, City Council has annually designated \$750,000 from the General Fund revenue to supplement the available cash for capital improvements. This \$750,000 designation is incorporated into the ongoing operating budget in future years for continued support of the plan. These funds are transferred to the Infrastructure Fund.

A new reoccurring revenue stream began when, in November 2014, the citizenry approved an additional .5% income tax restricted to funding capital improvements. The .5% increase or 20% of the 2.5% income tax collected is deposited into the Capital Asset Management Fund (CAM). City Council also commits an additional 8% of the income tax collection to the CAM to pay for capital improvements or the principal and interest payments on debt issued for capital improvements.

For the 2017 and 2018 budget years, the CIP financing plan proposes a continuation of the use of a combination of cash and debt financing. The proposal also includes the continuation of the designation of the \$750,000 from the General Fund and the 28% allocation of income taxes to be used to pay off existing capital-related debt, newly issued capital-related debt, and a portion will be transferred to the Infrastructure Fund for cash payment of capital projects. Furthermore, the City will continue the practice of paying a portion of capital-related debt from the Water Surcharge, Sanitary Sewer Surcharge, and Stormwater Funds based on the respective projects that are paid for out of debt proceeds.

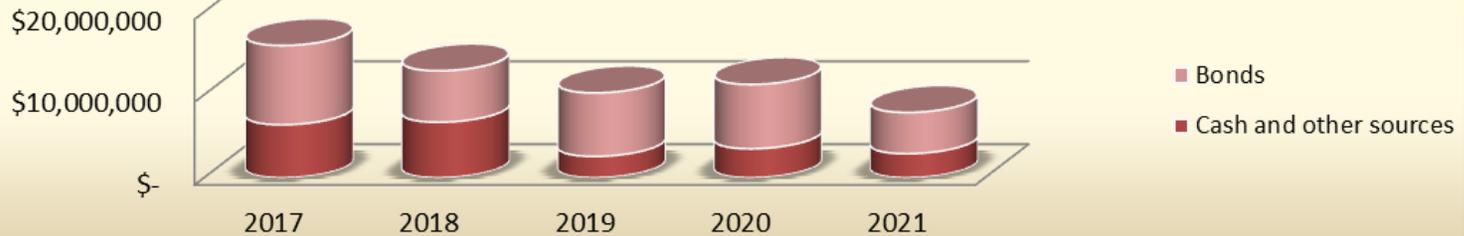
CAPITAL IMPROVEMENTS

Capital Improvement Program (CIP) Funding

Proposed Funding of CIP Projects (by financing source):

	<u>2017</u>	<u>2018</u>	<u>2019</u>	<u>2020</u>	<u>2021</u>
Cash and other sources	\$ 6,393,935	\$ 6,697,221	\$ 2,571,300	\$ 3,494,600	\$ 2,896,100
Bonds	9,562,265	6,205,679	7,664,100	7,763,600	4,976,700
	<u>\$ 15,956,200</u>	<u>\$ 12,902,900</u>	<u>\$ 10,235,400</u>	<u>\$ 11,258,200</u>	<u>\$ 7,872,800</u>

Proposed Funding of CIP (by financing source)



CAPITAL IMPROVEMENTS

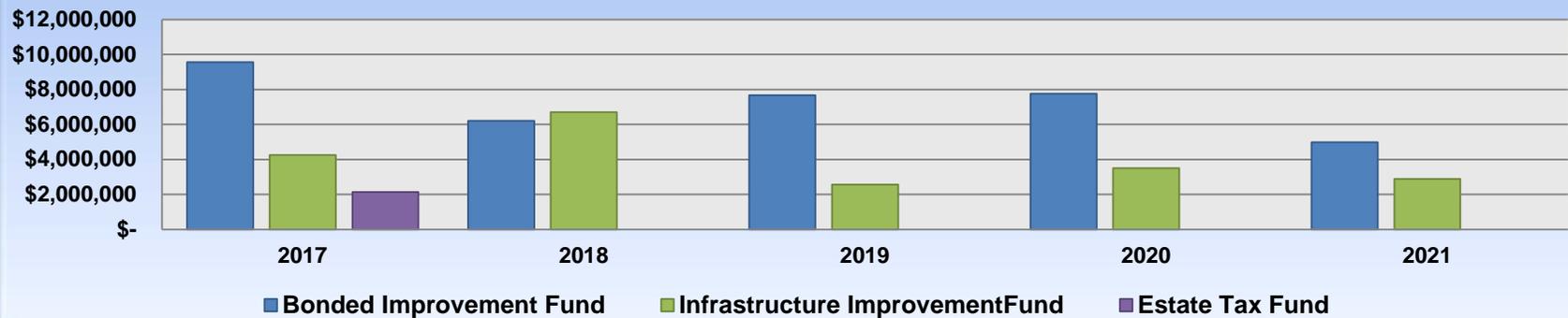
Capital Improvement Program (CIP) Funding

Proposed Funding of CIP Projects (by fund):

	<u>2017</u>	<u>2018</u>	<u>2019</u>	<u>2020</u>	<u>2021</u>
Bonded Improvement Fund	\$ 9,562,265	\$ 6,205,679	\$ 7,664,100	\$ 7,763,600	\$ 4,976,700
Infrastructure Improvement Fund	4,258,033	6,697,221	2,571,300	3,494,600	2,896,100
Estate Tax Fund	2,135,902	-	-	-	-
	<u>\$ 15,956,200</u>	<u>\$ 12,902,900</u>	<u>\$ 10,235,400</u>	<u>\$ 11,258,200</u>	<u>\$ 7,872,800</u>

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Proposed Fund of CIP (by fund)



CAPITAL EQUIPMENT

Capital Equipment

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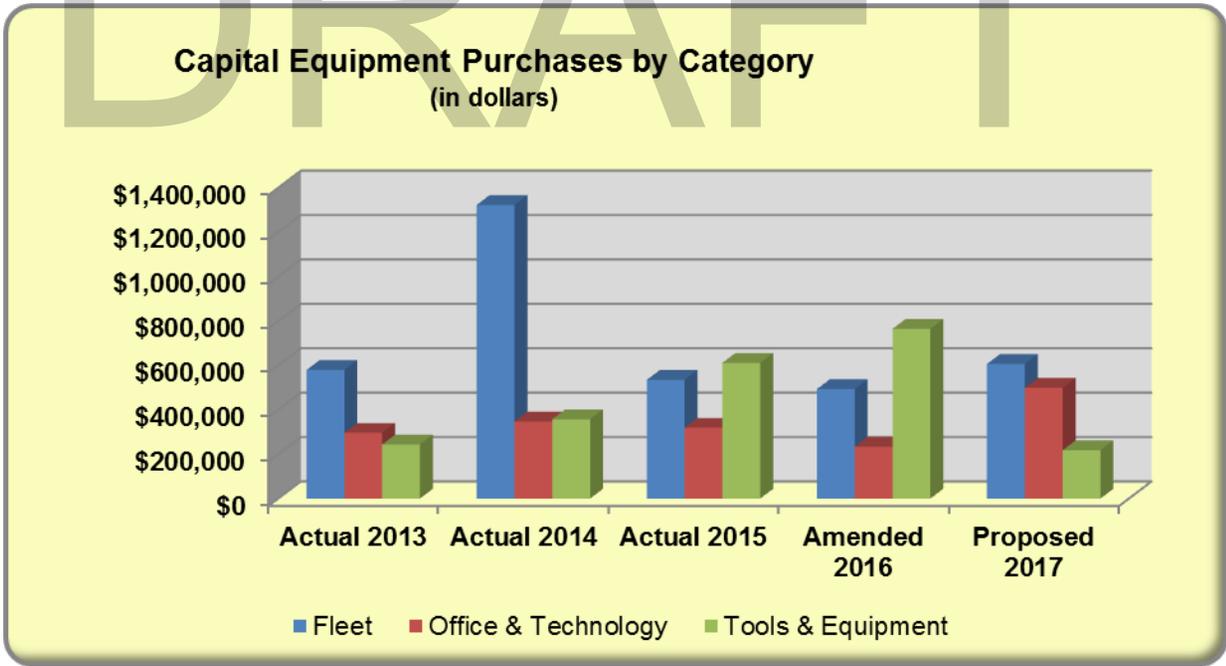
The following tables provide a summary of the proposed 2017 capital equipment budget by funding source and then by division.

Capital Equipment Summary by Funding Source

Capital Equipment Fund	\$ 1,215,300
Swimming Pool Fund	50,000
Law Enforcement Fund	28,000
Clerk of Court Fund	15,000
Mayor's Court Computer Fund	15,000
Totals	\$ 1,323,300

Capital Equipment Summary by Division

Public Service Administration	\$ 127,900
Information Technology	498,900
Parks and Recreation	249,500
Police Division	128,000
Public Works	319,000
Totals	\$ 1,323,300



CAPITAL EQUIPMENT

Information Technology

Item Number	Description	Quantity	New (N) or Replacement (R)	Amount	Funding Source
1	Mayor's Court/Probation software	1	R	\$ 30,000	Clerk of Courts Fund/ Mayor's Court Computer Fund
2	Parks and Recreation software upgrade	1	R	17,800	Capital Equipment Fund
3	Tremont pool technology	1	N	50,000	Swimming Pool Fund
4	Cradle points	13	N	15,600	Capital Equipment Fund
5	Police in-car video camera recorder system	15	R	100,000	Capital Equipment Fund
6	Security camera replacement	14	R	42,000	Capital Equipment Fund
7	Backup replacement appliance	1	R	63,500	Capital Equipment Fund
8	Various software upgrades.	1	N/R	50,000	Capital Equipment Fund
9	Asset/infrastructure management system software	1	N	70,000	Capital Equipment Fund
10	Development software	1	N	60,000	Capital Equipment Fund
Information Technology Total				\$ 498,900	

Rationale:

- 1 The Clerk of Courts software is at "end of life" and no longer supported. This amount also includes related servers for storage.
- 2 The Parks and Recreation software requires an upgrade along with a server replacement, training, and interface cost so it can communicate with finance software.
- 3 Updated technology for the new Tremont pool will include a PA system, security cameras, building intrusion detection system, four computers with bar code readers, two receipt printers, one desktop printer and WIFI access points.
- 4 The installation of cradle points in police and public safety vehicles will provide a more reliable cellular connection for data transmission over the current cellular access cards.
- 5 The in-car video recording camera systems for Police cruisers are at "end of life" and no longer serviceable.
- 6 Security camera replacement at Roberts Rd., Station 71, Devon Pool, and the MSC.
- 7 Backup appliance replacement for virtual machines and databases disaster recovery.
- 8 The software budget is used for purchasing software products and licenses.
- 9 Engineering has requested a potential asset infrastructure management system application.
- 10 Development has requested new software to replace its existing software which is no longer effective or efficient.

CAPITAL EQUIPMENT

Parks and Recreation

Item Number	Description	Quantity	New (N) or Replacement (R)	Amount	Funding Source
1	Pick-up truck	1	N	\$ -	Capital Equipment Fund
2	Tennis roller	1	R	11,000	Capital Equipment Fund
3	Forestry bucket truck	1	R	135,000	Capital Equipment Fund
4	Large area mower	1	R	89,000	Capital Equipment Fund
5	Trim mower	1	R	14,500	Capital Equipment Fund
Parks and Recreation Total				\$ 249,500	

Rationale:

- 1 New truck needed for barn & shelter based at MSC - current old second line vehicle is inadequate. This truck will be added into the fleet lease program, therefore no amount is listed.
- 2 Clay courts at Northam require daily rolling. The current roller is 17 years old and worn out.
- 3 The forestry bucket truck is a unique and indispensable piece of equipment to protect staff and public safety. The current truck #2370 is now 22 years old and is not safe.
- 4 Parks & forestry plans to double the frequency of field mowing in spring 2017. The current mower is eight years old - moved to second line status. This purchase is being evaluated for financing through a lease-purchase agreement.
- 5 Trim mowers are used daily for 8 months per year and the current John Deere #40711 is six years old and worn out.

Police Division

Item Number	Description	Quantity	New (N) or Replacement (R)	Amount	Funding Source
1	Marked police cruiser	3	R	\$ 100,000	Capital Equipment Fund
2	Unmarked police vehicle	1	R	28,000	Law Enforcement Fund
Police Total				\$ 128,000	

Rationale:

- 1 FASTER program has identified vehicles #4092, #4091, and #4011 as due for replacement.
- 2 FASTER program has identified this vehicle (#4051) as due for replacement and body of vehicle is rusting.

CAPITAL EQUIPMENT

Public Service Administration

Item Number	Description	Quantity	New (N) or Replacement (R)	Amount	Funding Source
1	GPS pre-emption signal equipment	7	N	\$ 43,400	Capital Equipment Fund
2	Video detection equipment	5	R	39,500	Capital Equipment Fund
3	Rectangular rapid flash beacon equipment	1	N	20,000	Capital Equipment Fund
4	Global navigation satellite system receiver & controller	1	R	25,000	Capital Equipment Fund
Public Service Administration Total				\$ 127,900	

Rationale:

- 1 This is the second of three phases to implement the new GPS pre-emption equipment. This equipment will help to increase efficiency of the Fire Dept. while traveling through seven signalized intersections.
- 2 This equipment is needed to maintain the aging video detection equipment already in place.
- 3 Rectangular Rapid Flash Beacons (RRFB) at North Star and Guilford to enhance safety by increasing driver awareness of potential bike/pedestrian conflicts.
- 4 Global Navigation Satellite System (GNSS) receiver and controller to replace the 10-15 years old survey equipment used for the design of the residential street reconstruction program. Upgrading this equipment will improve efficiencies and reduce human error.

Public Works

Item Number	Description	Quantity	New (N) or Replacement (R)	Amount	Funding Source
1	Single axle dump truck	1	R	\$ 150,000	Capital Equipment Fund
2	Leaf collection machine	1	R	40,000	Capital Equipment Fund
3	Leaf collection boxes	3	R	16,000	Capital Equipment Fund
4	Anti-icing slide in tank	1	N	12,000	Capital Equipment Fund
5	Retrofit 2008 dump trucks to current specifications	2	R	90,000	Capital Equipment Fund
6	Floor sweeper and scrubber	1	N	11,000	Capital Equipment Fund
Public Works Total				\$ 319,000	

Rationale:

- 1 Replacement of unit #2476 - 2003 International single axle dump truck, with a FASTER rating of 15.
- 2 The existing units were purchased in 2010 and considered in fair condition. The new models are equipped with the hydraulics to enhance employee efforts in the collection process. The additional horsepower on the new machines will increase production.
- 3 Replacing the in-house manufactured boxes currently being used. The new boxes will be custom fit to new dump trucks for leaf storage and transport.
- 4 Purchase of an anti-icing liquid application spray system tank for a single axle dump truck to enhance our snow and ice operations. The City currently has two slide-in tanks and a third unit will allow us to complete all required roads.
- 5 There are currently two single axle dump trucks that are showing excessive amount of rust and corrosion on the common steel dump beds. They are both 2008 single axle dump trucks (#4020 and #4021) with approximately 30,000 miles. Upon inspection of the cab and mechanical component of the trucks we propose to install a new stainless steel dump beds, new salt spreaders and up grade the hydraulic systems. These modifications will extend the life of these units by five additional years.
- 6 These items will be used to clean all concrete floor surfaces in the PSC. We currently use a hose and push broom for this task and takes many labor hours to accomplish. This purchase will enhance the cleanliness of the facility.

CAPITAL IMPROVEMENTS

Capital Improvement

The City, founded as a village in 1918, is a fully-developed, bedroom community. Infrastructure is comprised primarily of residential streets, street lights and signals, and underlying water and sewer lines. City parks include recreational land improvements, buildings and facilities. In addition, the Municipal Service Center (MSC) and Public Service Center (PSC) buildings house the operations of the City departments. The vast majority of the Capital Improvement Program (CIP) represents the cost of replacing and reconstructing the existing infrastructure of roads, lighting, waterlines, and sanitary and storm sewers. Thus, the CIP typically does not impact annual operating expenditures, and neither eliminates nor creates additional infrastructure.

The City's financial policies include guidance for maintaining a ten-year CIP plan with the goal of achieving the annual replacement cost of the infrastructure based upon service life of each component. This CIP plan was developed and managed in several different ways until 2013, when the City created a CIP Committee consisting of representatives from all the major divisions of the City. This committee is responsible for evaluating potential projects, adding and removing projects from the CIP, and prioritizing the projects included within the CIP. Additionally, in 2014, the City took a new approach in preparing the CIP plan by adding an additional three years to make it a ten-year plan (previous policy was a seven-year plan) and extending the costs to show what it will take to adequately maintain the City's infrastructure. This new approach was implemented to show a more comprehensive view of all the components of the City's CIP and to be used as a tool to further analyze the funding of the program. The ten-year CIP plan has now been updated twice since its inception and includes years 2017 through 2026. The most current version the CIP totals \$104.5 million or an average of \$10.5 million annually.

<u>Detail</u>	<u>Total 10-year CIP 2017-2026</u>	<u>Percent of CIP</u>
Streets, etc.	\$58.3	56%
<i>Streets, sidewalks, streetlights, signals, bridges</i>		
Utilities	\$31.7	30%
<i>Waterlines, stormwater, sewer lines</i>		
Parks	\$7.9	8%
Other	\$6.6	6%
<i>Safe Routes to School & economic development projects</i>		
Total	\$104.5	100%

The most significant portion of the CIP is street maintenance and reconstruction. Every two years, a physical inspection of all streets in Upper Arlington is completed. In this endeavor, the roads are inspected for pavement cracking, pavement defects, curb and gutter conditions, and cracking seal conditions and a score is assigned for each category. Curb and gutters are also inspected (where present) with the pavement and their condition is included with the overall rating. From these individual scores, a Pavement Condition Rating (PCR) is generated. The PCR ranges from 0 to 100, with 100 being a road with no distress. The lower the score, the worse the rated condition and the higher priority it is given. Roads with scores above 75 are generally in fair to good condition and are candidates for crack sealing maintenance activities. Roads with scores 75 and less are candidates for maintenance and reconstruction, depending on the severity and nature of the defects.

Curbs and gutters are rated based upon the level of deterioration. The condition of the curb is considered with the pavement condition when prioritizing streets for reconstruction.

Also, considered are the type of street (state route, arterial, collector) and the proximity to a school, public safety facility, public activity center, or economic development area.

CAPITAL IMPROVEMENTS

Financing

The CIP financing plan provides City Council the ability to determine the fiscal viability of the plan and the opportunity to monitor the fiscal impact on the operating budget long before the improvements take place. Using the adopted financial and debt policies for capital investments, the plan consists of a combination of cash and debt financing.

General obligation bonds are the primary source of debt issued for capital improvements. The City maintains an AAA bond rating from both Moody's and S & P Global, the best bond rating granted to a City. This credit rating allows the City to borrow money at very favorable interest rates.

Historically, the cash funding has included two reoccurring revenue streams in the form of general tax revenues that are transferred from the General Fund to the Estate Tax Capital Improvement Fund and the Infrastructure Improvement Fund. In any year the estate tax revenue exceeded \$2.1 million (\$1 million for 2013); the excess was set aside and deposited into the Estate Tax Capital Improvement Fund for capital improvements. This revenue stream effectively ended when state legislation eliminated the Ohio estate tax effective January 1, 2013. The remaining funds in the Estate Tax Capital Improvement Fund are dedicated to the current CIP. Once the funds are depleted, the Estate Tax Capital Improvement Fund will be closed.

Since 1997, City Council has annually designated \$750,000 from the General Fund revenue to supplement the available cash for capital improvements. This \$750,000 designation is incorporated into the ongoing operating budget in future years for continued support of the plan. These funds are transferred to the Infrastructure Fund.

A new reoccurring revenue stream began when, in November 2014, the citizenry approved an additional .5% income tax restricted to funding capital improvements. The .5% increase or 20% of the 2.5% income tax collected is deposited into the Capital Asset Management Fund (CAM). City Council also commits an additional 8% of the income tax collection to the CAM pay for capital improvements or the principal and interest payments on debt issued for capital improvements.

For the 2017 and 2018 budget years, the CIP financing plan proposes a continuation of the use of a combination of cash and debt financing. The proposal also includes the continuation of the designation of the \$750,000 from the General Fund and the 28% allocation of income taxes to be used to pay off existing capital-related debt, newly issued capital-related debt, and a portion will be transferred to the Infrastructure Fund for cash payment of capital projects. Furthermore, the City will continue the practice paying a portion of capital-related debt from the Water Surcharge, Sanitary Sewer Surcharge, and Stormwater Funds based on the respective projects that are paid for out of debt proceeds.

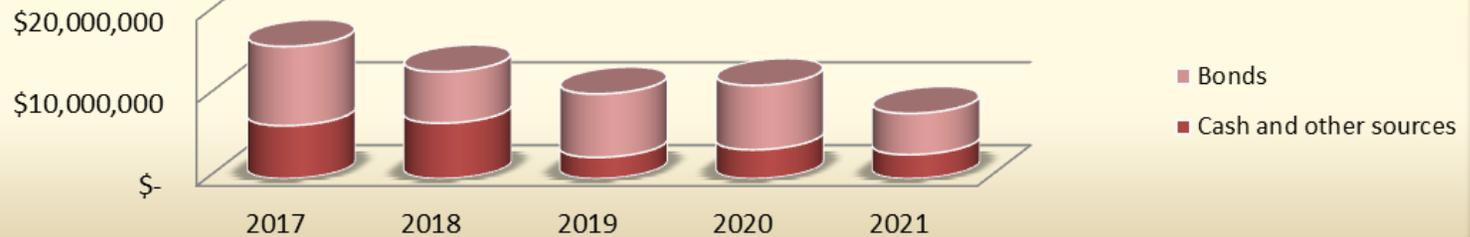
CAPITAL IMPROVEMENTS

Capital Improvement Program (CIP) Funding

Proposed Funding of CIP Projects (by financing source):

	<u>2017</u>	<u>2018</u>	<u>2019</u>	<u>2020</u>	<u>2021</u>
Cash and other sources	\$ 6,393,935	\$ 6,697,221	\$ 2,571,300	\$ 3,494,600	\$ 2,896,100
Bonds	9,562,265	6,205,679	7,664,100	7,763,600	4,976,700
	<u>\$ 15,956,200</u>	<u>\$ 12,902,900</u>	<u>\$ 10,235,400</u>	<u>\$ 11,258,200</u>	<u>\$ 7,872,800</u>

Proposed Funding of CIP (by financing source)



CAPITAL IMPROVEMENTS

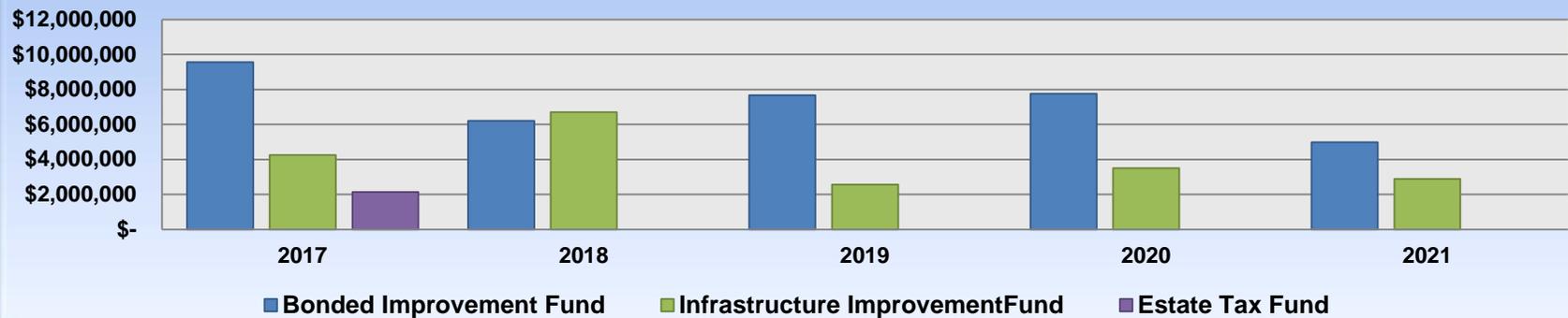
Capital Improvement Program (CIP) Funding

Proposed Funding of CIP Projects (by fund):

	<u>2017</u>	<u>2018</u>	<u>2019</u>	<u>2020</u>	<u>2021</u>
Bonded Improvement Fund	\$ 9,562,265	\$ 6,205,679	\$ 7,664,100	\$ 7,763,600	\$ 4,976,700
Infrastructure Improvement Fund	4,258,033	6,697,221	2,571,300	3,494,600	2,896,100
Estate Tax Fund	2,135,902	-	-	-	-
	<u>\$ 15,956,200</u>	<u>\$ 12,902,900</u>	<u>\$ 10,235,400</u>	<u>\$ 11,258,200</u>	<u>\$ 7,872,800</u>

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Proposed Fund of CIP (by fund)



CAPITAL IMPROVEMENTS

2017

Project Year	Project Name	Location		Project Type	Total Cost
		From:	To:		
2017	Street Maintenance Program	-	-	Streets	\$ 1,419,800
2017	Street Reconstruction Program	-	-	Streets	3,853,300
2017	Reed Road Reconstruction	Lane Road	Henderson Road	Streets	1,262,100
2017	West Fifth Avenue Bike Path and Road Improvement	-	-	Streets	413,000
2017	Wooden Street Light Replacement	-	-	Street Lights	780,000
2017	Traffic Signal Replacement	Ridgeview Road and Mt. Holyoke Road		Traffic Signals	141,300
2017	Waterline Replacements	Asbury, Reed, and Wyandotte		Waterlines	1,265,700
2017	Bridge #1 Replacement	Abington Road over Evans Run		Bridge/Stormwater	244,300
2017	Bridge #15 Lining	Kenny Road over Turkey Run		Bridge/Stormwater	250,000
2017	Sanitary Sewer Improvement Projects	-	-	Sanitary Sewers	2,510,000
2017	Sanitary Sewer Repairs from Inspection and Emergency	-	-	Sanitary Sewers	150,000
2017	Park Improvements	-	-	Parks	2,550,000
2018	Street Maintenance Program ~ Design/Engineering	-	-	Streets	6,700
2018	Street Repair Program ~ Design/Engineering	-	-	Streets	587,100
2018	Reed Road Resurfacing ~ Design/Engineering	McCoy Road	Fishinger Road	Streets	26,400
2018	Sidewalks with Street Projects ~ Design/Engineering	-	-	Sidewalks	56,900
2018	Traffic Signal Replacement ~ Design/Engineering	-	Various	Traffic Signals	100,000
2018	Charing Waterline ~ Design/Engineering	Charing, Dorset, Cheshire, Osborn, Inchcliff, Canterbury, Grace		Waterlines	289,600
2018	Bridge #8 Replacement ~ Design/Engineering	Dorset Road over Evans Run		Bridge/Stormwater	50,000
TOTALS:					\$ 15,956,200

CAPITAL IMPROVEMENTS

2018

Project Year	Project Name	Location		Project Type	Total Cost
		From:	To:		
2018	Street Maintenance Program	-	-	Streets	\$ 750,400
2018	Street Reconstruction Program	-	-	Streets	4,618,600
2018	Reed Road Resurfacing	McCoy Road	Fishinger Road	Streets	311,100
2018	Sidewalks with Street Projects	-	-	Sidewalks	447,400
2018	Safe Routes to School	-	-	Sidewalks	228,200
2018	Wooden Street Light Replacement	-	-	Street Lights	152,200
2018	Traffic Signal Replacement	Various		Traffic Signals	593,300
2018	Waterline Replacements	Charing, Dorset, Cheshire, Osborn, Inchcliff, Canterbury, Grace		Waterlines	2,276,300
2018	Bridge #8 Replacement	Dorset Road over Evans Run		Bridge/Stormwater	165,200
2018	Stream Assessment Study	-	-	Stormwater	50,000
2018	Sanitary Sewer Improvement Projects	-	-	Sanitary Sewers	1,330,000
2018	Sanitary Sewer Repairs from Inspection and Emergency	-	-	Sanitary Sewers	150,000
2018	Park Improvements	-	-	Parks	1,007,400
2019	Street Maintenance Program ~ Design/Engineering	-	-	Streets	8,900
2019	Street Repair Program ~ Design/Engineering	-	-	Streets	469,500
2019	Sidewalks with Street Projects ~ Design/Engineering	-	-	Sidewalks	28,800
2019	Traffic Signal Replacement ~ Design/Engineering	Various		Traffic Signals	60,000
2019	Waterline Replacements ~ Design/Engineering	Ainwick, Haviland, Northstar		Waterlines	165,100
2019	Bridge Projects ~ Design/Engineering	-	-	Bridge/Stormwater	23,000
2019	Park Improvements ~ Design/Engineering	-	-	Parks	67,500
TOTALS:					\$ 12,902,900

CAPITAL IMPROVEMENTS

2019

Project Year	Project Name	Location		Project Type	Total Cost
		From:	To:		
2019	Street Maintenance Program	-	-	Streets	\$ 996,800
2019	Street Reconstruction Program	-	-	Streets	3,693,400
2019	Sidewalks with Street Projects	-	-	Sidewalks	226,100
2019	Safe Routes to School	-	-	Sidewalks	303,900
2019	Traffic Signal Replacement	Various		Traffic Signals	427,500
2019	Waterline Replacements	Ainwick, Haviland, Northstar		Waterlines	1,298,000
2019	Bridge Projects	-	-	Bridge/Stormwater	200,000
2019	Stormwater Projects	-	-	Stormwater	44,400
2019	Sanitary Sewer Improvement Projects	-	-	Sanitary Sewers	1,330,000
2019	Sanitary Sewer Repairs from Inspection and Emergency	-	-	Sanitary Sewers	150,000
2019	Park Improvements	-	-	Parks	556,000
2020	Street Maintenance Program ~ Design/Engineering	-	-	Streets	7,500
2020	Street Repair Program ~ Design/Engineering	-	-	Streets	299,100
2020	McCoy Road Resurfacing ~ Design/Engineering	Reed Road	Kenny Road	Streets	33,200
2020	Sidewalks with Street Projects ~ Design/Engineering	-	-	Sidewalks	3,500
2020	McCoy Road Lighting Improvement Project ~ Design/Engineering	Riverside Drive	Reed Road	Street Lights	96,400
2020	Traffic Signal Replacement ~ Design/Engineering	Various		Traffic Signals	60,000
2020	Waterline Replacements ~ Design/Engineering	McCoy - Phase 1+2		Waterlines	419,600
2020	Park Improvements ~ Design/Engineering	-	-	Parks	90,000
				TOTALS:	\$ 10,235,400

CAPITAL IMPROVEMENTS

2020					
Project		Location		Project	Total
Year	Project Name	From:	To:	Type	Cost
2020	Street Maintenance Program	-	-	Streets	\$ 838,000
2020	Street Reconstruction Program	-	-	Streets	2,352,700
2020	McCoy Road Resurfacing	Reed Road	Kenny Road	Streets	391,600
2020	Sidewalks with Street Projects	-	-	Sidewalks	27,100
2020	Safe Routes to School	-	-	Sidewalks	246,500
2020	McCoy Road Lighting Improvement Project	Riverside Drive	Reed Road	Street Lights	549,300
2020	Traffic Signal Replacement	Various		Traffic Signals	423,800
2020	Waterline Replacements	McCoy (Phase 1 +2)		Waterlines	3,300,500
2020	Bridge Projects	-	-	Bridge/Stormwater	200,000
2020	Stormwater Projects	-	-	Stormwater	44,400
2020	Sanitary Sewer Improvement Projects	-	-	Sanitary Sewers	1,330,000
2020	Sanitary Sewer Repairs from Inspection and Emergency	-	-	Sanitary Sewers	150,000
2020	Park Improvements	-	-	Parks	838,000
2021	Street Maintenance Program ~ Design/Engineering	-	-	Streets	7,500
2021	Street Repair Program ~ Design/Engineering	-	-	Streets	303,700
2021	Redding Road Resurfacing ~ Design/Engineering	Fishinger Road	Zollinger Road	Streets	26,700
2021	Zollinger Road Lighting Improvement Project ~ Design/Engineering	Riverside Drive	Tremont Road	Street Lights	98,200
2021	Waterline Replacements ~ Design/Engineering	Baldrige, Bramford, Chester		Waterlines	55,200
2021	Park Improvements ~ Design/Engineering	-	-	Parks	75,000
TOTALS:					\$ 11,258,200

CAPITAL IMPROVEMENTS

2021

Project Year	Project Name	Location		Project Type	Total Cost
		From:	To:		
2021	Street Maintenance Program	-	-	Streets	\$ 836,300
2021	Street Reconstruction Program	-	-	Streets	2,388,600
2021	Redding Road Resurfacing	Fishinger Road	Zollinger Road	Streets	314,300
2021	Safe Routes to School	-	-	Sidewalks	246,500
2021	Zollinger Road Lighting Improvement Project	Riverside Drive	Tremont Road	Street Lights	559,300
2021	Waterline Replacements	Baldrige, Bramford, Chester		Waterlines	433,700
2021	Bridge Projects	-	-	Bridge/Stormwater	200,000
2021	Stormwater Projects	-	-	Stormwater	44,400
2021	Sanitary Sewer Improvement Projects	-	-	Sanitary Sewers	1,330,000
2021	Park Improvements	-	-	Parks	815,000
2022	Street Maintenance Program ~ Design/Engineering	-	-	Streets	7,500
2022	Street Repair Program ~ Design/Engineering	-	-	Streets	305,400
2022	Fishinger Road Resurfacing ~ Design/Engineering	Redding Road	Tremont Road	Streets	28,200
2022	Sidewalks with Street Projects ~ Design/Engineering	-	-	Sidewalks	14,200
2022	North Star Road Lighting Improvement Project ~ Design/Engineering	Lane Avenue	Northwest Boulevard	Street Lights	44,000
2022	Waterline Replacements ~ Design/Engineering	Fairlington and Overdale		Waterlines	110,400
2022	Sanitary Sewer Repairs from Inspection and Emergency	-	-	Sanitary Sewers	150,000
2022	Park Improvements ~ Design/Engineering	-	-	Parks	45,000
TOTALS:					\$ 7,872,800

CAPITAL IMPROVEMENTS

2022

Project Year	Project Name	Location		Project Type	Total Cost
		From:	To:		
2022	Street Maintenance Program	-	-	Streets	\$ 840,500
2022	Street Reconstruction Program	-	-	Streets	2,402,300
2022	Fishinger Road Resurfacing ~ Design/Engineering	Redding Road	Tremont Road	Streets	332,000
2022	Sidewalks with Street Projects	-	-	Sidewalks	111,500
2022	Safe Routes to School	-	-	Sidewalks	246,500
2022	North Star Road Lighting Improvement Project	Lane Avenue	Northwest Boulevard	Street Lights	250,400
2022	Waterline Replacements	Fairlington and Overdale		Waterlines	867,400
2022	Bridge Projects	-	-	Bridge/Stormwater	200,000
2022	Stormwater Projects	-	-	Stormwater	44,400
2022	Sanitary Sewer Improvement Projects	-	-	Sanitary Sewers	1,330,000
2022	Sanitary Sewer Repairs from Inspection and Emergency	-	-	Sanitary Sewers	150,000
2022	Park Improvements	-	-	Parks	669,000
2023	Street Maintenance Program ~ Design/Engineering	-	-	Streets	7,500
2023	Street Repair Program ~ Design/Engineering	-	-	Streets	130,100
2023	Northwest Boulevard Resurfacing ~ Design/Engineering	North Star Road	Zollinger Road	Streets	68,200
2023	Fishinger Road Resurfacing ~ Design/Engineering	Riverside Drive	Redding Road	Streets	17,300
2023	Northwest Boulevard Resurfacing ~ Design/Engineering	Riverside Drive	Reed Road	Streets	39,900
2023	Waterline Replacements~ Design/Engineering	Shrewsbury and Bristol		Waterlines	126,000
2023	Lane Avenue Parking Garage ~ Design/Engineering	-	-	Miscellaneous	1,375,000
TOTALS:					\$ 9,208,000

CAPITAL IMPROVEMENTS

2023

Project Year	Project Name	Location		Project Type	Total Cost
		From:	To:		
2023	Street Maintenance Program ~ Design/Engineering	-	-	Streets	\$ 839,300
2023	Street Reconstruction Program ~ Design/Engineering	-	-	Streets	1,023,600
2023	Northwest Boulevard Resurfacing	North Star Road	Zollinger Road	Streets	804,300
2023	Fishinger Road Resurfacing	Riverside Drive	Redding Road	Streets	203,600
2023	Northwest Boulevard Resurfacing	Riverside Drive	Reed Road	Streets	470,900
2023	Safe Routes to School	-	-	Sidewalks	246,500
2023	Waterline Replacements	Shrewsbury and Bristol		Waterlines	991,200
2023	Bridge Projects	-	-	Bridge/Stormwater	200,000
2023	Stormwater Projects	-	-	Stormwater	44,400
2023	Sanitary Sewer Improvement Projects	-	-	Sanitary Sewers	1,330,000
2023	Sanitary Sewer Repairs from Inspection and Emergency	-	-	Sanitary Sewers	150,000
2023	Park Improvements	-	-	Parks	315,000
2023	Lane Avenue Parking Garage	-	-	Miscellaneous	2,950,000
2024	Street Maintenance Program ~ Design/Engineering	-	-	Streets	7,500
2024	Street Repair Program ~ Design/Engineering	-	-	Streets	300,000
2024	McCoy Road Boulevard Resurfacing ~ Design/Engineering	Riverside Drive	Reed Road	Streets	51,200
2024	Zollinger Road Boulevard Resurfacing ~ Design/Engineering	Riverside Drive	Redding Road	Streets	37,400
2024	Waterline Replacement ~ Design/Engineering	Kioka, Woodstock, Nayland, Sunset, Greensview		Waterlines	195,000
TOTALS:					\$ 10,159,900

CAPITAL IMPROVEMENTS

2024

Project Year	Project Name	Location		Project Type	Total Cost
		From:	To:		
2024	Street Maintenance Program	-	-	Streets	\$ 838,600
2024	Street Reconstruction Program	-	-	Streets	2,360,000
2024	McCoy Road Boulevard Resurfacing	Riverside Drive	Reed Road	Streets	604,300
2024	Zollinger Road Boulevard Resurfacing	Riverside Drive	Redding Road	Streets	441,600
2023	Safe Routes to School	-	-	Sidewalks	246,500
2024	Waterline Replacements	Kioka, Woodstock, Nayland, Sunset, Greensview		Waterlines	1,534,000
2024	Bridge Projects	-	-	Bridge/Stormwater	200,000
2024	Stormwater Projects	-	-	Stormwater	44,400
2024	Park Improvements	-	-	Parks	230,000
2024	Sanitary Sewer Improvement Projects	-	-	Sanitary Sewers	1,330,000
2024	Sanitary Sewer Repairs from Inspection and Emergency	-	-	Sanitary Sewers	150,000
2025	Design/Engineering	-	-		1,151,500
				TOTALS:	\$ 9,130,900

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CAPITAL IMPROVEMENTS

2025

Project Year	Project Name	Location		Project Type	Total Cost
		From:	To:		
2025	Street Maintenance Program	-	-	Streets	\$ 1,500,000
2025	Street Reconstruction Program	-	-	Streets	2,500,000
2025	Sidewalks with Street Projects	-	-	Sidewalks	100,000
2025	Safe Routes to School	-	-	Sidewalks	247,000
2025	Street Lighting Improvements	-	-	Street Lights	300,000
2025	Waterline Replacements	-	-	Waterlines	1,000,000
2025	Bridge Projects	-	-	Bridge/Stormwater	200,000
2025	Stormwater Projects	-	-	Stormwater	50,000
2025	Sanitary Sewer Improvement Projects	-	-	Sanitary Sewers	1,330,000
2025	Sanitary Sewer Repairs from Inspection and Emergency	-	-	Sanitary Sewers	150,000
2025	Park Improvements	-	-	Parks	300,000
2026	Design/Engineering	-	-	Various	1,159,000
				TOTALS:	\$ 8,836,000

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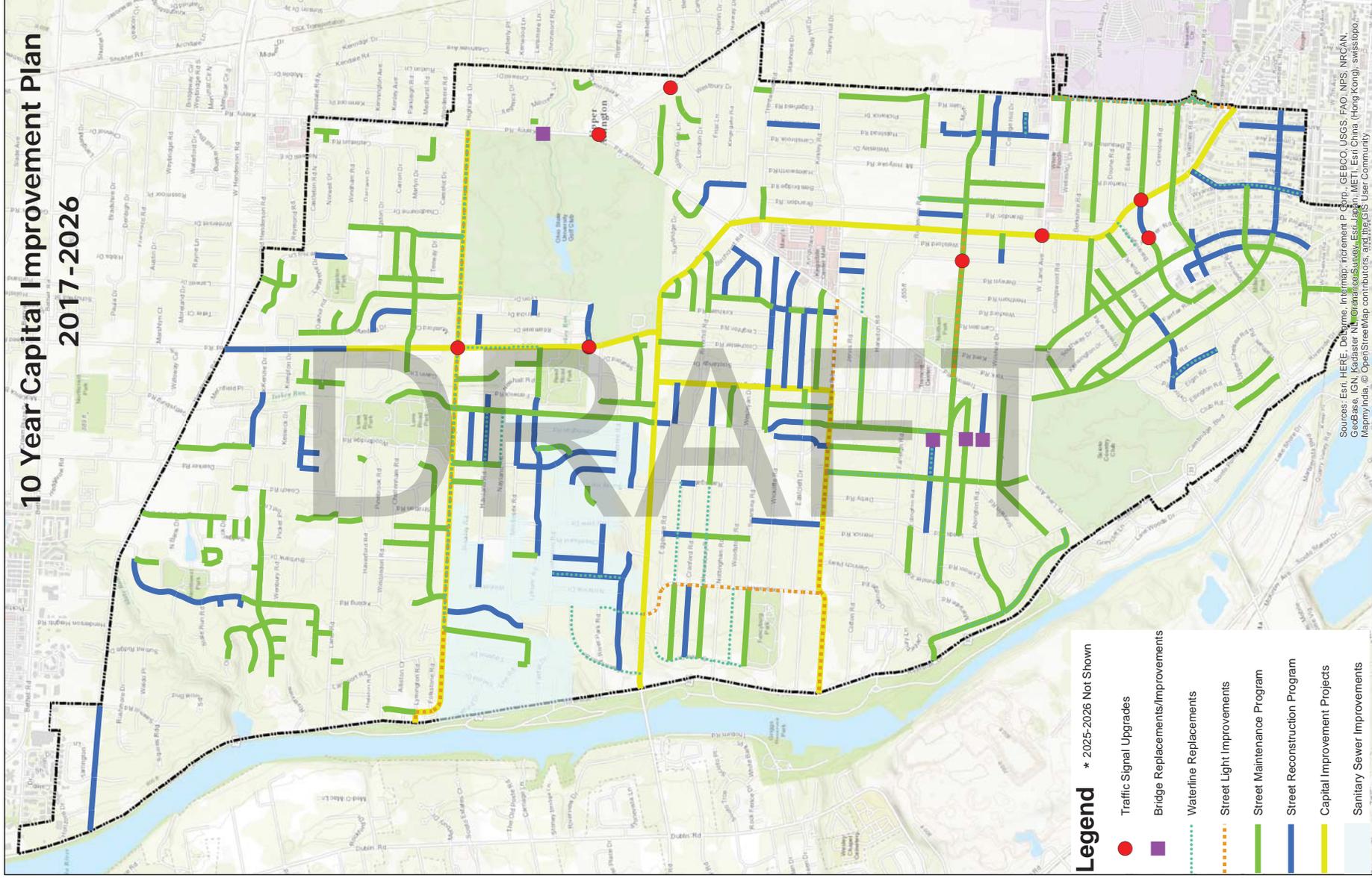
CAPITAL IMPROVEMENTS

2026

Project Year	Project Name	Location		Project Type	Total Cost
		From:	To:		
2026	Street Maintenance Program	-	-	Streets	\$ 1,500,000
2026	Street Reconstruction Program	-	-	Streets	2,500,000
2026	Sidewalks with Street Projects	-	-	Sidewalks	100,000
2026	Safe Routes to School	-	-	Sidewalks	247,000
2026	Street Lighting Improvements	-	-	Street Lights	300,000
2026	Waterline Replacements	-	-	Waterlines	1,000,000
2026	Bridge Projects	-	-	Bridge/Stormwater	250,000
2026	Stormwater Projects	-	-	Stormwater	50,000
2026	Sanitary Sewer Improvement Projects	-	-	Sanitary Sewers	1,330,000
2026	Sanitary Sewer Repairs from Inspection and Emergency	-	-	Sanitary Sewers	150,000
2026	Park Improvements	-	-	Parks	300,000
2027	Design/Engineering	-	-	Various	1,159,000
TOTALS:					\$ 8,886,000
Total Capial Improvements					\$ 104,446,300

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10 Year Capital Improvement Plan 2017-2026



Legend * 2025-2026 Not Shown

- Traffic Signal Upgrades
- Bridge Replacements/Improvements
- Waterline Replacements
- Street Light Improvements
- Street Maintenance Program
- Street Reconstruction Program
- Capital Improvement Projects
- Sanitary Sewer Improvements

Sources: Esri, HERE, DeLorme, Intermap, increment P Corp., GEBCO, USGS, FAO, NPS, NRCAN, GeBCo, IGN, Kadaster NL, Ordnance Survey, Esri, InRoads, Swire, Swire, Esri, China (Hong Kong), Swisstopo, Mapbox, © OpenStreetMap contributors, and the GIS User Community



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LINE ITEM EXPENDITURE SUMMARY

Grand Total of Operating Expenditures Line Items

	2011 <u>Actual</u>	2012 <u>Actual</u>	2013 <u>Actual</u>	2014 <u>Actual</u>	2015 <u>Actual</u>	2016 <u>Projected</u>	2017 <u>Proposed</u>	2018 <u>Proposed</u>
Personal service:								
Salaries and wages	\$ 17,852,432	\$ 17,795,266	\$ 17,456,595	\$ 18,168,016	\$ 17,967,758	\$ 20,500,811	\$ 20,368,803	\$ 20,767,003
Retirement	4,141,045	3,987,867	3,723,032	3,549,570	3,413,763	3,871,739	3,694,136	3,840,436
Fringe benefits	3,656,068	3,576,633	3,447,040	3,322,365	3,022,954	3,304,439	3,772,461	3,786,161
Total personal services	25,649,545	25,359,766	24,626,667	25,039,951	24,404,475	27,676,989	27,835,400	28,393,600
Other than personal service:								
Materials and supplies	1,523,687	1,401,931	1,371,633	1,630,226	1,442,302	2,177,200	2,064,800	1,943,000
Uniforms and clothing	101,889	92,386	84,743	106,700	114,324	113,950	129,050	129,050
Rents and leases	115,768	107,449	116,543	111,855	109,015	132,350	161,850	161,850
Utilities	717,895	775,621	618,785	821,997	761,778	836,299	784,750	784,750
Maintenance and repairs	898,057	962,156	972,489	1,186,208	1,241,778	1,826,300	2,222,950	1,905,950
Professional development	213,908	208,279	176,878	218,103	240,283	267,550	325,750	322,750
Professional services	2,984,920	2,681,044	3,093,091	2,838,250	3,282,616	3,655,222	3,675,600	3,614,100
Other services	1,313,447	1,328,951	2,283,003	1,542,799	2,864,516	2,776,135	2,264,950	2,464,950
Miscellaneous expenditures	804,141	850,403	1,099,767	797,647	1,099,731	1,902,723	1,319,350	1,319,350
Total other than personal service	8,673,712	8,408,220	9,816,932	9,253,785	11,156,343	13,687,729	12,949,050	12,645,750
Less: Capital-related personal services	(253,487)	(175,828)	(138,812)	(231,980)	(240,167)	(358,039)	(436,500)	(448,600)
Total operating expenditures	\$ 34,069,770	\$ 33,592,158	\$ 34,304,787	\$ 34,061,756	\$ 35,320,651	\$ 41,006,679	\$ 40,347,950	\$ 40,590,750

EMPLOYMENT SUMMARY

The employment summary presentation is comprised of a historical breakdown of authorized positions by department along with adopted staffing changes for the 2017-2018 Biennial Budget. The pay grades are adopted by the Civil Service Commission and are for those employees not represented by a labor contract.

The presentation also includes charts illustrating the expenditure history of personal services, including salaries, pensions, and fringe benefits, which account for approximately 70% of the operating budget. The 2017 pay scales follow the employment summary. The pay scales were adopted by City Council with the passage of the Budget.

Pay Grade	Department/Position	Actual 2013		Actual 2014		Actual 2015		Actual 2016		Proposed 2017		Proposed 2018	
		Full Time	FTE	Full Time	FTE	Full Time	FTE						
	Office of the City Attorney												
	City Attorney	1.00		1.00		1.00		1.00		1.00		1.00	
30	First Assistant City Attorney	1.00		1.00		1.00		1.00		1.00		1.00	
25	Assistant City Attorney	1.00		1.00		1.00		1.00		1.00		1.00	
23	Criminal Justice Program Administrator	1.00		1.00		1.00		1.00		1.00		1.00	
19	Legal Management Assistant	1.00		1.00		1.00		1.00		1.00		1.00	
	Part-time Law Clerk / Intern		0.70		0.70		0.58		0.58		0.58		0.58
	Total	5.00	0.70	5.00	0.70	5.00	0.58	5.00	0.58	5.00	0.58	5.00	0.58
	Office of the City Clerk												
	City Clerk	1.00		1.00		1.00		1.00		1.00		1.00	
21	Deputy City Clerk	1.00		1.00		1.00		1.00		1.00		1.00	
18	Assistant Deputy City Clerk Records	1.00		1.00		1.00		1.00		1.00		1.00	
17	Assistant Deputy City Clerk		0.63		0.63		0.63		0.63		0.63		0.63
	Total	3.00	0.63	3.00	0.63	3.00	0.63	3.00	0.63	3.00	0.63	3.00	0.63
	Office of the City Manager												
	City Manager	1.00		1.00		1.00		1.00		1.00		1.00	
31	Assistant City Manager	1.00		1.00		1.00		1.00		1.00		1.00	
28	Economic Development Director							1.00		1.00		1.00	
25	Community Affairs Director	1.00		1.00		1.00		1.00		1.00		1.00	
20	Community Affairs Manager					1.00		1.00		1.00		1.00	
19	Executive Secretary	1.00		1.00		1.00		1.00		1.00		1.00	
	Intern		0.88		0.88		0.58		0.58		0.29		0.29
	Total	4.00	0.88	4.00	0.88	5.00	0.58	6.00	0.58	6.00	0.29	6.00	0.29
	Clerk of Court												
21	Clerk of Court	1.00		1.00		1.00		1.00		1.00		1.00	
18	Deputy Clerk of Court	1.00		1.00		1.00		1.00		1.00		1.00	
	Intern									0.63		0.63	
	Total	2.00	0.00	2.00	0.00	2.00	0.00	2.00	0.00	2.00	0.63	2.00	0.63

EMPLOYMENT SUMMARY

Pay Grade	Department/Position	Actual 2013		Actual 2014		Actual 2015		Actual 2016		Proposed 2017		Proposed 2018	
		Full Time	FTE	Full Time	FTE	Full Time	FTE						
Community & Economic Development													
29	Community & Economic Development Director	1.00		1.00									
28	Community & Economic Development Manager	1.00		1.00		1.00							
26	Senior Planner	1.00		1.00		1.00		1.00		1.00		1.00	
24	Chief Building Official	1.00		1.00		1.00		1.00		1.00		1.00	
22	Planning Officer	1.00		1.00		1.00		1.00		1.00		1.00	
22	Code Compliance Officer	1.00		1.00		1.00		1.00		1.00		1.00	
21	Building Inspector/Plans Examiner	1.00		1.00		1.00		1.00		1.00		1.00	
20	Assistant Code Compliance Officer	1.00											
17	Administrative Assistant Intern	1.00	0.75	1.00	0.75	2.00	0.75	2.00	0.75	2.00	0.75	2.00	0.75
	Total	9.00	0.75	8.00	0.75	8.00	0.75	7.00	0.75	7.00	0.75	7.00	0.75
Finance & Administrative Services													
31	Director of Finance & Administrative Services	1.00		1.00		1.00		1.00		1.00		1.00	
28	Assistant Finance Director			1.00		1.00		1.00		1.00		1.00	
26	Finance Manager	1.00		1.00		1.00		1.00		1.00		1.00	
25	Human Resources Manager	1.00		1.00		1.00		1.00		1.00		1.00	
25	Tax Administrator	1.00											
23	Purchasing Administrator	1.00		1.00		1.00		1.00		1.00		1.00	
22	Examiner	1.00			0.50		0.60		0.60		0.60		0.60
20	Payroll Administrator	1.00		1.00		1.00		1.00		1.00		1.00	
19	Management Assistant	1.00		1.00		1.00		1.00		1.00		1.00	
19	HR/Finance Specialist							1.00		1.00		1.00	
18	Accounting Assistant	1.00		1.00		1.00		1.00		1.00		1.00	
17	Fiscal Technician Intern	1.00	0.50	1.00		1.00					0.60		0.60
	Total	10.00	0.50	9.00	0.50	9.00	0.60	9.00	0.60	9.00	1.20	9.00	1.20
Fire Division													
29	Fire Chief	1.00		1.00		1.00		1.00		1.00		1.00	
27	Deputy Fire Chief					1.00		1.00		1.00		1.00	
19	Management Assistant	1.00		1.00		1.00		1.00		1.00		1.00	
17	Administrative Assistant	1.00		1.00		1.00		1.00		1.00		1.00	
	Battalion Chief	3.00		3.00									
	Captain	5.00		5.00		5.00		5.00		5.00		5.00	
	Lieutenant	5.00		5.00		7.00		6.00		6.00		6.00	
	Firefighter	44.00		44.00		41.00		42.00		42.00		42.00	
	Total	60.00	0.00	60.00	0.00	57.00	0.00	57.00	0.00	57.00	0.00	57.00	0.00

EMPLOYMENT SUMMARY

Pay Grade	Department/Position	Actual 2013		Actual 2014		Actual 2015		Actual 2016		Proposed 2017		Proposed 2018	
		Full Time	FTE	Full Time	FTE	Full Time	FTE						
Fleet Management (Note 1)													
24	Public Works Service Manager	0.34		0.34		0.34							
20	Fleet Maintenance Foreman	1.00		1.00		1.00							
19	Fleet Maintenance Technician	4.00		4.00		4.00							
18	Parts Coordinator	1.00		1.00		1.00							
	Total	6.34	0.00	6.34	0.00	6.34	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Information Technology													
27	Director of Information Technology	1.00		1.00		1.00		1.00		1.00		1.00	
24	IT Systems Engineer	2.00		2.00		2.00		2.00		2.00		2.00	
22	Web Designer									1.00		1.00	
20	Help Desk Technician Intern		0.72		0.72		0.72		1.00		1.00		1.00
	Total	3.00	0.72	3.00	0.72	3.00	0.72	4.00	0.00	5.00	0.00	5.00	0.00
Parks and Recreation													
29	Parks & Recreation Director	1.00		1.00		1.00		1.00		1.00		1.00	
24	Parks & Forestry Superintendent	1.00		1.00		1.00		1.00		1.00		1.00	
23	Recreation Superintendent	1.00		1.00		1.00		1.00		1.00		1.00	
22	Arts Manager	1.00		1.00		1.00		1.00		1.00		1.00	
22	Senior Center Administrator	1.00		1.00		1.00		1.00		1.00		1.00	
22	Aquatic/Park Facilities Manager		0.75		0.75		0.84		0.84		0.84		0.84
22	Parks & Forestry Supervisor	1.00		1.00		1.00		1.00		1.00		1.00	
21	Recreation Supervisor - Senior Center	1.00		1.00		1.00		1.00		2.00		2.00	
21	Recreation Supervisor - Youth	1.00		1.00		1.00		1.00		2.00		2.00	
21	Recreation Supervisor - Shelter Barn							1.00		1.00		1.00	
21	Horticulturist	1.00		1.00		1.00		1.00		1.00		1.00	
20	Community Arts Coordinator		0.66		0.66		0.66		0.66		0.66		0.66
20	Recreation Program Assistant	1.00	0.80	1.00	0.80	1.00	0.80	1.00	0.80		0.87		0.87
20	Recreation Program Coordinator	2.00		2.00		2.00		1.00					
18	Recreation Program Leader		0.63		0.63		0.73		0.73		0.73		0.73
17	Administrative Assistant	3.00		2.00		2.00		2.00		2.00		2.00	
17	Parks Maintenance Specialist	3.00		4.00		4.00		4.00		4.00		4.00	
15	Parks Maintenance Worker	1.00	3.23	1.00	3.23	1.00	3.98	3.00	2.88	3.00	3.73	3.00	3.73
	Parks Capital Project Manager								0.73		0.73		0.73
Seasonal	Summer Program Workers		30.03		30.03		28.39		27.44		26.84		26.84
	Total	19.00	36.10	19.00	36.10	19.00	35.40	21.00	34.08	21.00	34.40	21.00	34.40

note 1 - As of 2016, the Fleet Management division was incorporated into the Public Works division.

EMPLOYMENT SUMMARY

Pay Grade	Department/Position	Actual 2013		Actual 2014		Actual 2015		Actual 2016		Proposed 2017		Proposed 2018	
		Full Time	FTE	Full Time	FTE	Full Time	FTE						
Police Division													
29	Police Chief	1.00		1.00		1.00		1.00		1.00		1.00	
19	Management Assistant												
18	Police Records Specialist	1.00		1.00		1.00		1.00		1.00		1.00	
17	Administrative Assistant	3.00		3.00		3.00		3.00		3.00		3.00	
	Lieutenant	3.00		3.00		3.00		3.00		3.00		3.00	
	Sergeant	8.00		8.00		8.00		8.00		8.00		8.00	
	Police Officer	36.00		37.00		37.00		37.00		37.00		37.00	
	Communications Technician	7.00	1.92	7.00	1.92	7.00	1.92	7.00	1.92	7.00	1.92	7.00	1.92
Seasonal	Safety Town		1.06		1.06		1.06		1.06		1.06		1.06
	Total	59.00	2.98	60.00	2.98	60.00	2.98	60.00	2.98	60.00	2.98	60.00	2.98
Public Services													
29	Public Service Director	1.00		1.00		1.00		1.00		1.00		1.00	
27	City Engineer	1.00		1.00		1.00		1.00		1.00		1.00	
25	Assistant City Engineer	1.00		1.00		1.00		1.00		1.00		1.00	
22	Engineering Coordinator	1.00		1.00		1.00		2.00		2.00		2.00	
21	Electrical Foreman							1.00		1.00		1.00	
20	Engineering Technician	5.00	2.16	3.00	2.16	4.00	1.44	4.00	1.44	4.00	1.44	4.00	1.44
20	GIS Analyst/Project Manager							1.00		1.00		1.00	
19	Management Assistant	1.00		1.00		1.00		1.00		1.00		1.00	
19	Electrician/Traffic Technician							4.00		4.00		4.00	
	Intern										0.29		0.29
	Total	10.00	2.16	8.00	2.16	9.00	1.44	16.00	1.44	16.00	1.73	16.00	1.73
Street Division (note 1)													
23	Public Service Superintendent												
24	Public Service Manager	0.33											
22	Public Service Supervisor	2.00											
	Street Maintenance Worker	13.00	1.12										
17	Administrative Assistant (shared position)	0.50											
	Total	15.83	1.12	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00

note 1 - The Streets and Utility divisions merged in 2014. The Street Maintenance Worker and the Utility Service Worker are now named Public Works Workers.

EMPLOYMENT SUMMARY

Pay Grade	Department/Position	Actual 2013		Actual 2014		Actual 2015		Actual 2016		Proposed 2017		Proposed 2018	
		Full Time	FTE	Full Time	FTE	Full Time	FTE						
	Electrical Division (note 1)												
23	Electrical Superintendent	1.00		1.00		1.00							
19	Electrician/Traffic Technician	5.00		5.00		5.00							
	Total	6.00	0.00	6.00	0.00	6.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	Utility Division (note 2)												
24	Public Service Manager	0.33											
22	Public Service Supervisor	2.00											
17	Administrative Assistant (shared position)	0.50											
	Utility Service Worker	7.00	0.46										
	Total	9.83	0.46	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	Public Works Division (note 2)												
24	Public Works Service Manager			0.66		0.66		1.00		1.00		1.00	
22	Public Works Supervisor			3.00		2.00		2.00		3.00		3.00	
22	Fleet Maintenance Supervisor							1.00		1.00		1.00	
19	Fleet Maintenance Technician							4.00		4.00		4.00	
18	Parts Coordinator							1.00		1.00		1.00	
17	Administrative Assistant			1.00		1.00		1.00		1.00		1.00	
	Public Works Workers			20.00	1.12	20.00	1.12	20.00	1.12	20.00	1.12	20.00	1.12
	Total	0.00	0.00	24.66	1.12	23.66	1.12	30.00	1.12	31.00	1.12	31.00	1.12
	Grand Total	222.00	47.00	218.00	46.54	216.00	44.80	220.00	42.76	222.00	44.29	222.00	44.29

note 1 - As of 2016, the Electrical Division was incorporated into the Public Services Division.

note 2 - The Streets and Utility divisions merged in 2014. The Street Maintenance Worker and the Utility Service Worker are now named Public Works Workers.

EMPLOYMENT SUMMARY

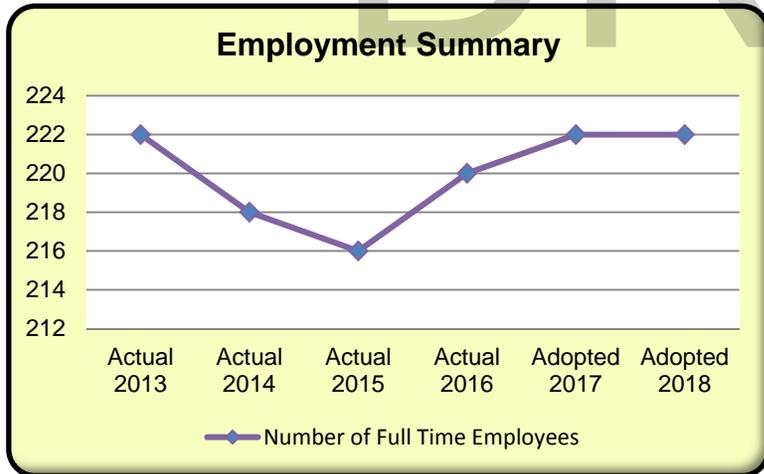
2017 Pay Grades									
<u>Pay Grade</u>		<u>Minimum</u>	<u>Mid point</u>	<u>Maximum</u>	<u>Pay Grade</u>		<u>Minimum</u>	<u>Mid point</u>	<u>Maximum</u>
14	Annualized	35,013	42,015	49,018	23	Annualized	58,721	70,465	82,210
	Hourly	16.8331	20.1998	23.5664		Hourly	28.2313	33.8776	39.5238
15	Annualized	36,842	44,210	51,578	24	Annualized	62,244	74,693	87,142
	Hourly	17.7123	21.2547	24.7972		Hourly	29.9252	35.9103	41.8953
16	Annualized	39,053	46,864	54,674	25	Annualized	65,980	79,175	92,371
	Hourly	18.7755	22.5306	26.2857		Hourly	31.7209	38.0651	44.4093
17	Annualized	41,395	49,674	57,953	26	Annualized	70,597	84,716	98,836
	Hourly	19.9015	23.8818	27.8621		Hourly	33.9409	40.7290	47.5172
18	Annualized	43,879	52,655	61,431	27	Annualized	75,540	90,648	105,756
	Hourly	21.0957	25.3148	29.5339		Hourly	36.3172	43.5806	50.8440
19	Annualized	46,512	55,815	65,117	28	Annualized	80,828	96,993	113,159
	Hourly	22.3616	26.8339	31.3063		Hourly	38.8596	46.6315	54.4034
20	Annualized	49,304	59,165	69,026	29	Annualized	86,485	103,782	121,079
	Hourly	23.7039	28.4447	33.1855		Hourly	41.5793	49.8951	58.2110
21	Annualized	52,261	62,713	73,165	30	Annualized	88,295	105,954	123,613
	Hourly	25.1255	30.1506	35.1757		Hourly	42.4495	50.9393	59.4292
22	Annualized	55,397	66,476	77,556	31	Annualized	90,061	108,073	126,085
	Hourly	26.6331	31.9598	37.2864		Hourly	43.2984	51.9581	60.6178

EMPLOYMENT SUMMARY

The adopted strength table is 222 full time employees for the 2017-2018 Biennial Budget. This is a proposed increase of two positions from the previous budget. As the graph below depicts, staff reductions, which began in 2007-2008, reached an all-time low in 2015. The reductions began as the Country moved into recession and revenues were lagging at both the State and Local levels. The City continued these reduction efforts by analyzing alternative service delivery methods when positions became vacated due to resignations and retirements in previous years.

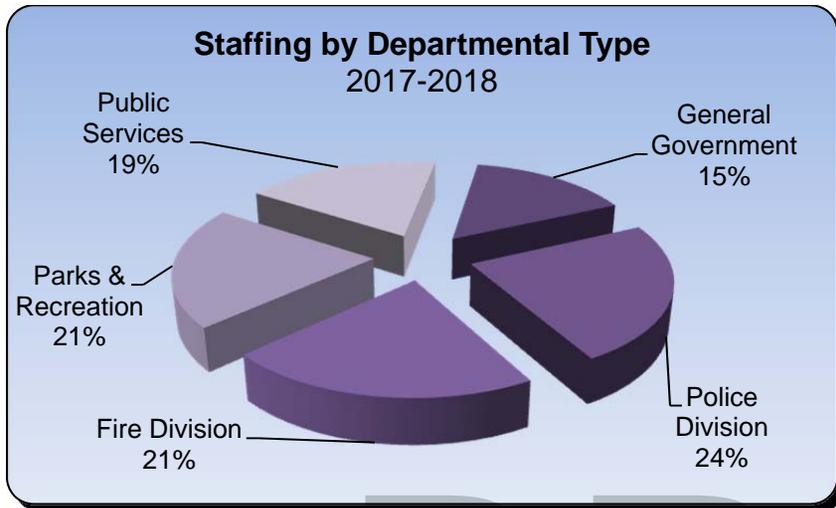
The adopted 2017-2018 Biennial Budget includes the restoration of one of two supervisors in the Public Works Division to help the department better manage long-term planning and day-to-day oversight of various in-field operations. Additionally, the 2017-2018 Biennial Budget includes the addition of a Web Designer to carry out the pending overhaul of the City's website and to keep pace with emerging online technologies and service opportunities.

The City Staff is comprised of both union-represented and non-represented Staff. The City Manager, City Attorney and City Clerk are contractual employees of the City Council. The non-union represented Staff is compensated according to a merit based classification and compensation plan. Any increase in pay is based upon the performance of the individual within the guidelines set forth in the UACO 155.02. There are 4 unions representing the majority of Staff. The individual unions and the Staff they represent are listed in the chart below. In late 2016, the City is still in negotiation with the International Association of Firefighters (IAFF), therefore, there are no increases in pay represented in this budget.



Organization	Number of Employees	Employees Represented	Current Contract Expiration Date
Fraternal Order of Police	48	Police Officers	December 31, 2018
Fraternal Order of Police – Ohio Labor Council	7	Communications Technicians	December 31, 2017
International Association of Firefighters	53	Firefighters	December 31, 2016
Teamsters	20	Public Works Workers	December 31, 2017

EMPLOYMENT SUMMARY



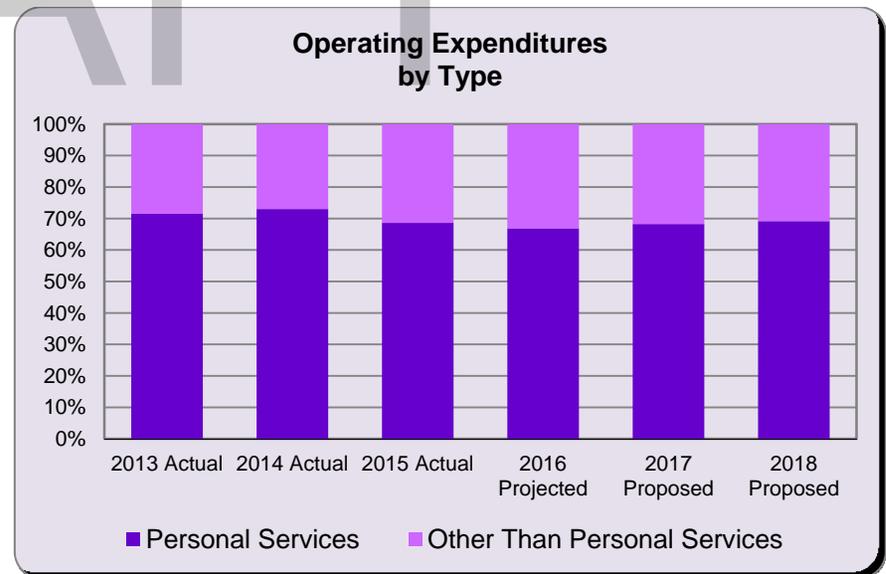
General Government includes the following departments:

- Office of the City Attorney
- Office of the City Clerk
- Office of the City Manager
- Clerk of Court
- Community Development
- Finance & Administrative Services
- Information Technology

Public Service includes the following departments:

- Public Service
- Public Works Division

As illustrated in the chart on the right, personnel costs comprise approximately 70% of the operating budget. This percentage has been relatively consistent in each year since 2008 and is expected to continue with the 2017-2018 Biennial Budget. The remaining 30% of the operating budget consists of materials and supplies, fuel and vehicle maintenance, utilities, and professional services.



GLOSSARY OF TERMS

Accrual basis of accounting - A method of accounting that recognizes the financial effect of transactions, events, and interfund activities when they occur, regardless of the timing of the related cash flows.

Activity - An organizational grouping of similar sub-activities or tasks within a program or organizational unit.

Agency Fund - A fund that is used to account for assets held by the City for other funds, governments, or individuals. Agency funds are custodial in nature and do not involve measurement of operations.

Annex - to incorporate or add territory into an existing political unit.

Appropriation - The amount of expenditure authorized by City Council in an ordinance. Appropriations are specific as to fund, for operating funds, and as to department. An appropriation line item is a specific purpose of which spending is authorized. The appropriation line items are: personal services, other than personal services, capital outlay, and fund transfers.

Assessed Valuation - For real estate tax purposes, the assessed valuation is 35% of the total valuation of a parcel of property. For example, if the parcel has a value of \$100,000, the assessed valuation would be \$35,000. The appropriate millage would be levied on the assessed valuation.

Authorized Personnel - The number of staff employed by a Department for which funds have been budgeted.

Basis of accounting - The timing of recognition, that is, when the effects of transactions or events should be recognized for financial reporting purposes. Basis of accounting is an essential part of measurement focus because a particular timing of recognition is necessary to accomplish a particular measurement focus.

Build America Bonds (BABs) – Part of the Federal Stimulus program, the taxable general obligation bonds include an interest subsidy equal to 35% of the annual interest payments over the life of the bonds.

BMV - Bureau of Motor Vehicles.

Bond - A promise to repay a specified amount of money (the face amount of the bond) on a particular date (maturity date). Bonds are primarily used to finance capital projects.

Bond anticipation note – Short-term, interest-bearing note issued in anticipation of bond proceeds to be received at a later date.

Budgetary Basis - This refers to the basis of accounting used to estimate financing sources and uses in the budget.

Cash basis of accounting - Basis of accounting that recognizes transactions or events when related cash amounts are received or disbursed.

Capital Asset - Assets of long-term character that are intended to continue to be held or used, such as land, buildings, machinery, furniture, and other equipment.

Capital Budget - The section of the budget that contains the capital improvement program along with the associated funding.

Capital Equipment - An item that has a useful life of more than one year and a unit cost of \$2,500 or more. Capital equipment is maintained on the fixed asset inventory of the City.

Capital Fund - A fund that has been established for the purpose of accounting for capital expenditures, including projects and equipment.

GLOSSARY OF TERMS

Capital Improvements Program (CIP) - A plan for capital outlay to be incurred each year over a fixed number of years to meet capital needs arising from the government's long term needs.

Capital Outlay - An appropriation line item for capital expenditures, including buildings, equipment, and infrastructure.

Certificate of Achievement for Excellence in Financial Reporting Program - Program sponsored by the Government Finance Officers Association to encourage and assist state and local governments to prepare high-quality comprehensive annual financial reports.

City Charter - The governing document of the City, containing provisions that establish the form of government. The residents must vote on any amendments to the Charter.

Codified Ordinance - The body of permanent laws enacted by past and present City Councils.

Commission on Accreditation of Law Enforcement Agencies (CALEA) - The organization that oversees the accreditation of law enforcement agencies throughout the United States.

Comprehensive Annual Financial Report (CAFR) - A financial report that encompasses all funds and component units of the government. The CAFR is the governmental unit official annual report.

County Budget Commission - A three-member body, consisting of the County Auditor, County Treasurer, and County Prosecutor, that sets limitations on the amounts available for appropriation from any fund. The Commission also sets the property tax rates that will be enacted by City Council, and provides estimates of amounts available for distribution to the City from the Local Government Funds.

Debt Service - The amount needed to retire bonds issued by the City and loans made to the City by the Ohio Public Works Commission. Debt service includes both principal and interest.

Department - A major organizational unit, headed by a Director that provides services to customers.

Encumbrance - A commitment to purchase goods or services, as evidenced by a purchase order or contract. The establishment of an encumbrance results in a reduction of the amount available for future expenditures from an appropriation line item.

Enterprise Fund - A fund that is accounted for on a basis similar to that used for a commercial business, where the determination of net income becomes the measure of performance.

Expenditure - The amount paid for goods and services. Expenditure also includes the portion of an encumbrance that has not been executed by the end of the calendar year.

Estate Tax - A former tax imposed by the State of Ohio on estates valued in excess of \$338,333. The State retains 20% of the tax and shares 80% with the municipality of origin. The Estate Tax was eliminated January 1, 2013.

FASTER program - A fleet maintenance software program that tracks expenses related to the upkeep of a fleet including inventory of parts and gasoline usage for each vehicle.

Financial resources - Resources that are or will become available for spending. Financial resources include cash and resources ordinarily converted to cash (e.g., receivables, investments).

Fiscal Year - The twelve-month period, beginning January 1, and ending December 31, for which an appropriation line item is available for expenditure.

GLOSSARY OF TERMS

Fringe Benefits - A budget account that reflects expenditures for benefits provided by the City to its employees, including retirement contributions, health and dental coverage, life insurance, and others.

Full-time Employee or Full-time Budgeted Employee - An employee who occupies a position and who is employed for an entire fiscal year.

Full-Time Equivalent (FTE) - A position or group of positions that are budgeted for an entire fiscal year. An FTE is budgeted for 2,080 hours.

Fund - A fiscal and accounting entity, which has a self-balancing group of accounts including: recording cash, and other assets, liabilities, fund equities, revenues, expenditures, or expenses. Funds are established to carry on specific functions or objectives in accordance with the Ohio Revised Code and the Codified Ordinances of the City.

Fund balance - The difference between revenues and expenditures of a fund.

GAAP - Generally Accepted Accounting Principles. Uniform minimum standards for financial accounting and recording, which encompasses the conventions, rules, and procedures that define accepted accounting principles.

GASB - Governmental Accounting Standards Board. The ultimate authoritative accounting and financial reporting standard-setting body for state and local governments.

General Fund - The main operating fund for the City. The General Fund is used to account for all financial resources except those required to be accounted for in another fund.

General Obligation Bond - A type of municipal bond that is secured by a government's pledge to use legally available resources to repay bond holders.

GFOA - Government Finance Officers Association – An association of public finance professionals. The GFOA has played a major role in the development and promotion of GAAP for state and local governments.

Governmental Funds - Funds that account for the accumulation and spending of resources that provide the public with day-to-day operating services such as safety services, leisure time activities and highway maintenance. The General Fund, Special Revenue Funds, Capital Project Funds and Debt Service Funds of the City are classified as Governmental Funds.

Grant - A contribution by a government or other organization to support a particular function. Grants may be classified as either operational or capital, depending upon the grantee.

HVAC - Heating, Ventilation, and Air Conditioning.

Improvement - An addition or change made to capital assets, other than maintenance, to prolong its life or to increase the efficiency or capacity.

Income Tax - The tax on earned income of residents, non-residents, and net profits of companies doing business in the City, as provided in the Codified Ordinances. The current rate is 2.5 percent. Residents who work in another community are given a credit of up to two percent on the income taxes paid to the other community.

Infrastructure - Assets that are considered to be immovable in nature (i.e., roads, sidewalks, water and sewer lines, lighting systems, etc.) and are of value only to the government.

GLOSSARY OF TERMS

Internal Service Fund - A fund that is used to report any activity that provides goods or services to other funds, departments or agencies of the primary government on a cost reimbursement basis.

Investment Earnings - Money paid to the City from the investment of idle funds. Investments are governed by the Codified Ordinances as to type and amount and are generally limited to securities of the U.S. government and high-grade commercial enterprises.

Master Plan - Official policy document for City Council. Excerpts of the Master Plan are found in the Appendix.

Materials and Supplies - Expendable materials and operating supplies necessary to conduct departmental operations.

Mid-Ohio Regional Planning Commission (MORPC) – An association of Central Ohio governments providing planning, programming, and brokerage services for housing, transportation, water, land use, and zoning.

Mill - One-tenth of a cent. A one-mill levy on property taxes would mean that a Resident would pay one dollar of tax for each \$1,000 of assessed valuation on the parcel.

Modified accrual basis of accounting - Basis of accounting according to which (a) revenues are recognized in the accounting period in which they become available and measurable and (b) expenditures are recognized in the accounting period in which the fund liability is incurred, if measurable, except for unmatured interest on general long-term debt and certain similar accrued obligations, which should be recognized when due.

NPDES - National Pollutant Discharge Elimination System.

OVI - Operating a vehicle under the influence of alcohol.

Objective - As used in the budget, the desired outcomes of a program or activity.

Ohio Revised Code (ORC) - The permanent body of laws enacted by the General Assembly.

Operating Fund - A fund that is primarily used to provide goods and services to customers.

Ordinance or Resolution - A specific piece of legislation enacted by City Council.

Other than Personal Services - An appropriation line item covering expenditures for all accounts not involving payroll or capital.

Performance Measure - A description of a desired outcome for a particular element of service delivery for which a Department can be held accountable.

Personal Services - An appropriation line item covering expenditure for all accounts involving pay to employees and associated fringe benefits.

Procurement - Purchasing, leasing, or renting of materials, services, equipment, or construction for a government agency.

Property Tax - A tax levied on all real estate and personal property in the City.

Proprietary fund - A fund that focuses on the determination of operating income, financial position and cash flows. There are two types of proprietary funds: enterprise funds and internal service funds.

Revised Code - See *Ohio Revised Code*.

Roundabout - A road junction where traffic travels in a circle around a central island.

GLOSSARY OF TERMS

SSES – Sewer System Evaluation Survey.

Solid Waste Sticker Fee - A fee required to be paid for the disposal of refuse. Residents purchase stickers and affix them to a bag or container. The current fee is \$2.90.

Special Assessment - A charge for public improvements that is placed on properties that benefit from the improvement.

Special revenue fund - A fund used to account for the proceeds of specific revenue sources that are legally restricted for specified purposes.

Surcharge - As used in the budget, an additional charge levied by the City on water and sewer consumption.

Tangible Property - See *Personal Property*.

Tax Budget - The budget submitted to the County Budget Commission that sets forth the funding requested from property taxes and other information as requested by the Commission.

Tax-Increment Financing (TIF) – financing secured by the anticipated incremental increase in tax revenues, resulting from the redevelopment of an area.

Transportation Plan - Long-range planning tool for the transportation needs of the City.

Transfers In/Transfers Out - Money transferred into or out of a fund from another fund.

Unified Development Ordinance - One-source ordinance for all Development related topics.

Unit - An organizational unit that is responsible for delivering services to customers.

DRAFT

2013 Master Plan (Excerpts Taken From Original Document)

Introduction

In early 2011, the City began updating the community's 2001 Master Plan. Presented here is the result of the work of seven Council-led committees that included multiple public meetings and community outreach to provide opportunity for public involvement and input.

The Master Plan serves as the principal guiding document for the community and its leaders when addressing long-term goals, growth and development issues. It is the broadest and most comprehensive policy document for the City, designed to set goals and implementation strategies that will ultimately protect and preserve the community's makeup and quality of life. Similarly, the Master Plan establishes a framework for making decisions about the City's future and helps set priorities for appropriate investments, expenditures and the provision of municipal services.

A master plan is an important tool that communities use to establish a framework for making decisions about their future. A master plan is expansive in nature, addressing community needs and resources across a broad range of city functions. It guides a community's long-term development with specific goals, objectives and strategies. The most successful master planning efforts are those that are inclusive in every aspect.

The Upper Arlington Master Plan addresses important issues related to land use, economy, community appearance, community facilities and services, housing, transportation, technology and sustainability. These elements express the community's interest in developing a plan that supports a high quality of life and improved provision of services and facilities through sound land use, revenue enhancement and targeted redevelopment efforts.

A. 2001 Master Plan

Adopted in March of 2001, the Master Plan update process was a significant undertaking, primarily because the community's previous comprehensive planning document was clearly outdated, having been adopted in 1962.

Begun in 1998, a broad-based, three-year update process was led by a City Council appointed 35-member steering committee—the Community Vision Partnership. A planning consultant, ACP – Visioning & Planning, Ltd., was hired to assist in the facilitation of the review and update process. An extensive community outreach effort accompanied the update with multiple opportunities for citizen input, from idea generation to goal setting and a series of workshops at which various conceptual plan components were presented.

Landlocked and fully developed, with less than five percent of the City's land dedicated to commercial activities—and less than 1.1 percent in office use—City service demands and infrastructure maintenance were outpacing the City's funding capacity. Wishing to address these issues, eight goals were established: Land Use, Economy, Community Appearance, Community Facilities, Community Services, Housing, Transportation, Implementation.

From these goals, 184 specific strategies for implementation were developed falling within four key actions the City must undertake to realize the overall goals of the Plan:

- Undertake redevelopment using the Study Areas as the framework.
- Create land use tools.
- Provide incentives to encourage desirable change.
- Improve the City's fiscal capacity.

B. A Decade of Implementation

Immediately following adoption of the 2001 Master Plan, work was begun to create the Unified Development Ordinance. Adopted in May of 2002, this document combined zoning, subdivision and related development provisions into a single ordinance, simultaneously simplifying the approval process and streamlining administrative procedures to provide a more user-friendly process for developers. Since its adoption, components of the Unified Development Ordinance have been reviewed as they have been used and amended as necessary to ensure that they meet implementation goals.

A second significant initiative was undertaken following adoption of the 2001 Master Plan—updating the community’s Transportation Plan. Accepted by Council in 2002, the Transportation Plan addressed key desires arising from community meetings such as slowing traffic, diverting through traffic, building safe crosswalks, sidewalks and bike paths. Due primarily to cost, to date many of the recommendations outlined by the Transportation Plan have not been realized.

Ten years on, thanks to the combination of redevelopment strategies evolving from the Master Plan, much has been accomplished in several of the community’s commercial districts, including:

- The revitalization of the Kingsdale Shopping Center, with the primary phase complete in 2010—including Central Ohio’s first Giant Eagle Market District grocery store—and efforts in progress for achieving office space on a remaining parcel along Zollinger Road.
- The Lane Avenue Corridor has been experiencing significant redevelopment in recent years, with completion of a major renovation at the Shops on Lane Avenue, The Ohio State University’s Development Building, Arlington Commons, and now the community’s first true mixed-use project and the significant upgrade of the Whole Foods grocery store.

- Numerous new professional and medical office projects along the western portion of the Henderson Road corridor, including Horizons Companies, the Orthopedic Center for Excellence, the Arlington Falls office condominiums and the Central Ohio Medicine Group.

While opportunities for creating new, more diverse housing stock is limited, market forces—facilitated by City policies and procedures—have resulted in a number of significant projects. Redevelopment at First Community Village has created new independent living opportunities for older adults. A number of condominium projects have been completed, including on Tremont Road. Most recently, the mixed-use project under construction on Lane Avenue will include more than 100 apartment units. A number of new single-family home projects have been completed or are in the planning stages, as larger plots of residential land have come available. Most notably, the citizens of Upper Arlington have consistently been reinvesting in their homes with significant upgrades and expansions that continue to enhance our quality neighborhoods and support strong property values.

Recreational opportunities have been greatly expanded, supported in no small part through creation and adoption of a Parks & Recreation Strategic Plan. Accomplishments include completion of the Reed Road Water Park, replacing a facility that had outlived its useful life. The City’s first new park for many years was opened for public use—Sunny 95 Park—becoming home to a unique all season facility, the Amelita Mirolo Barn, as well as sports fields, roller hockey, basketball and tennis courts, walking paths and a pond. What is now called the Northwest Kiwanis Park became connected with Burbank Park, providing additional sports fields for enjoyment by the community. The Parks & Recreation Department continued to provide and expand upon its programming for all ages, and added a series of seasonally themed free family events. The City continues to be recognized as a Tree City USA for its extensive urban forest and has made great strides in further beautifying the community with

landscaped entry features and public plantings, oftentimes made possible through community partnerships.

Other accomplishments related to the provision of public facilities and services include the replacement of the old Fire Station 72 on Reed Road, interior refurbishments at the Municipal Services Center and the temporary repurposing of former Fire Station 73 on Coach Road as a site for recreation programming. The City privatized its solid waste services to contain costs, while continuing to provide free recycling collection. New support programs tailored to the needs of older adults have been launched, such as the STAY UA service coordinator program, and facility upgrades at the Senior Center to expand fitness facilities and update the computer lab.

From a financial perspective, exceptional fiscal policies and oversight have enabled the City to attain the highest possible financial rating from two national ratings agencies for five consecutive years. Efforts to diversify the City's revenue stream have included proactive economic development programming, creation of the Emergency Medical Services (EMS) Billing Program and expanded cost recovery efforts for permits, programs and other services with associated fees.

For much of the decade following adoption of the 2001 Master Plan, the City's financial picture remained strong, enabling it to slowly build upon its reserve funds. However, a national economic downturn beginning in 2008 was more severe and has lasted much longer than originally anticipated, with Upper Arlington feeling the effects in a number of areas. Most recently, reductions in the Local Government Fund and the elimination of the estate tax, effective January 2013 represent a significant reduction in revenues to the City, upwards of 15% annually. In response to these challenges, the organization has been taking steps to streamline operations, reduce its workforce through attrition, and to seek out shared services and partnership opportunities with other municipalities and local entities. To date, the influences on service delivery have been negligible, but it will be a challenge for the City to continue operating at its current level if resources continue to dwindle.

C. 2012 Master Plan Update Process

Just 10 years on from the comprehensive update and subsequent adoption of the 2001 Master Plan, the Master Plan Update process was designed to review, tune-up and build upon the work that had gone before.

Seven committees were established by City Council, with members of Council represented on each, along with members of City Staff and, in some instances, City Board and Commission representatives or community members with expertise in the appropriate goal area. Each committee held a series of public meetings, supported by extensive community outreach to provide opportunity for public involvement and input.

The 2013 Master Plan specifically focuses on Volume 1 of the 2001 Master Plan, with the understanding that volumes 2 and 3 have not significantly changed since their adoption. This new document continues to emphasize key priorities, such as the facilitation of focused redevelopment in the City's commercial districts to enhance City revenues and quality of life for the community. It does so in a way that intends to focus on quality of life and be more reflective of market realities, while being mindful of the effects redevelopment can have in adjoining neighborhoods.

1. Implementation Strategies

The 2013 Master Plan contains specific strategies for implementation—key actions the City should undertake to realize the vision of the Master Plan. However, it should be clear that these recommendations represent an integrated approach to creating an enhanced community.

For the most part, these actions do not represent new initiatives. Likewise, it is assumed that change is to be encouraged in existing commercial areas and some adjacent multi-family areas. The City's residential character is important and is to be respected during the implementation of the Master Plan.

- **Update land-use tools**—In order to better manage the character of the City's physical environment, updated tools are needed to enhance and protect existing areas, as well as provide specific guidance and control for redevelopment. Throughout the document, strategies call for monitoring and updating the Unified Development Ordinance. These tools will continue to provide guidance for mixed-use development and require new construction and site development to be responsive to the community's character. Particular emphasis has been placed on parking regulations, density, development constraints and market demand.
- **Evaluate incentives and the Community Improvement Corporation's role in encouraging desirable change**—In order to be competitive in the region and to attract desirable development, the City needs to make use of a variety of appropriate incentive tools. Targeted incentives are envisioned for both new and existing office development. Likewise, incentives are desirable for specific kinds of residential uses, such as live/work units and housing that is attractive to seniors.
- **Improve the City's fiscal capacity**—Perhaps the primary reason for the Master Plan's update was to revisit ways to optimize land use to improve the City's tax base to support municipal services and critical infrastructure needs. The redevelopment strategies included in the Master Plan aim to encourage greater land-use density in existing commercial areas and to emphasize more office development.

2. Considerations of the Plan

The 2013 Master Plan was developed with six important considerations in mind. These considerations were pulled from the 2001 Master Plan and derived from an analysis of existing conditions, and they take into account the essential qualities of Upper Arlington that helped shape the Plan's primary objectives and strategies.

- **Development constraints**—Upper Arlington is a mature community that is largely residential with a small amount of

commercial uses. Since it is fully developed and lacks significant opportunities to annex additional land, new development will most likely occur in the form of redevelopment and intensification of the land in existing areas.

- **Fiscal needs**—The baseline fiscal analysis shows the City is in a good fiscal position. The City has a strong fund balance and is rated Triple A by both Moody's Investors Service and Standard & Poor's. However, with reductions in the Local Government Fund and the elimination of the estate tax, the City will face a decrease of \$4-6 million in annual revenues. Similarly, the City needs an additional \$8-10 million per year over the next 10 years if it is to successfully reduce the backlog of infrastructure maintenance projects. The implication for the Master Plan is clear: increasing office use offers a way to enhance revenues to the City so that services and facilities can be maintained and enhanced. The City also needs to closely monitor budgets, examine reductions in spending and prioritize all municipal services.
- **Redevelopment and revenue potential**—One of the most critical aspects of the Master Plan is to identify potential revenues to the City by changing and intensifying uses of the current retail dominated commercial corridors. In general, the larger the redevelopment program, the larger the return to the City. However, large programs also generate demand for more structured parking and redeveloped infrastructure and therefore increase upfront capital costs. City Council has targeted the Lane Avenue and SR 33 corridors for future investment. Each development proposal for any of the Study Areas will have to be evaluated to determine a reasonable City investment. The amount of investment must be based upon an anticipated benefit—fiscal and otherwise.
- **Market demand**—From the standpoint of fiscal benefit to the City, office use is most desirable. The potential to increase office use in the City is in large part dependent upon market demand. In terms of retail, the City is significantly over-retailed. Even though retail has a negative fiscal effect, it is an essential community land-use. In the next 20 years, as

opportunities present themselves, the amount of retail should be reduced, with the balance containing a more desirable mix of retailers.

- **Residential character**—Upper Arlington has a high-quality residential environment. Over the last 10 years, non-residential land-use design treatments have improved to meet the quality of the surrounding residential environment. Residents continued to show interest in more traditionally configured places with pedestrian oriented places, parks and open space. The City currently lacks a traditional Main Street or town center that could be such a place. This Plan reflects a strong desire to protect and enhance residential character.
- **Demand for Services and Facilities**—Upper Arlington provides a full range of community services and facilities. The community enjoys low crime rates, an excellent school system and a high quality residential environment. However, demands for services continue to increase (i.e., emergency medical services, Parks & Recreation activities and services for senior citizens). The School District's fiscal health should be of interest to the City. The school system is a critical part of the City's quality of life; changes due to redevelopment should not create negative fiscal effects on the school district.
- **Transportation network**—Most roads within Upper Arlington operate at acceptable levels of service. As communities around Upper Arlington have grown, commuter traffic has also increased. At peak hours, perimeter road locations are significantly congested (US 33 and Henderson Road). To deal with congestion, some drivers resort to speeding and cut through residential streets at the expense of safety in these neighborhoods. In addition, many neighborhoods lack access to transportation alternatives in the form of bus service and sidewalks. Overall, most roads within Upper Arlington operate at acceptable levels of service. This plan reflects a desire to provide a comprehensive transportation network that is safe, convenient and accessible to the entire community.

3. Policy Foundation of the Plan

The Master Plan has three key layers of policies: goals, objectives and strategies. Goals are the broadest policy statements that state a desired outcome in general terms. Objectives indicate a more specific policy direction and help organize strategies. Strategies are detailed actions necessary to initiate or complete an objective – such as a project or a program. There are multiple objectives for each goal and multiple strategies for each objective. The recommendations for each element contained all three-policy layers. Technology and Sustainability were new goals added since 2001. Note that the City is in a condition of declining revenues; although these goals are attainable and move the City to the quality of life desired by residents, fiscal evaluation is required as they are implemented. The continued exercise of setting priorities and obtaining resident feedback is necessary.

a. Goals

Two new goal sections have been incorporated into the 2013 Master Plan, to be reflective of new or expanding community priorities and needs not previously incorporated with the Master Plan. They are:

- **Technology**—Maintain and develop technology-related facilities, services and infrastructures that are high quality, cost effective and accessible to the entire community.
- **Sustainability**—Maintain and develop a more sustainable, walkable Upper Arlington through innovation, efficiency, wellness and environmental stewardship.

Performance Measures

Performance measurements are no longer a fad or a buzzword, but a system to aid in decision-making and measure whether the most important is being achieved. As Upper Arlington makes a concerted effort to improve services and continue to reduce costs, performance measurements will assist the City in benchmarking where we want to go. As City Council has agreed on the short and long-term goals to be implemented in the Master Plan, performance measurements will be established to determine progress in meeting or maintaining actions required within the Master Plan.

Within the City's performance measurement program, the vast majority of the goals and objectives are quantifiable. As the City develops performance measures individual employees, department heads, the City Manager and City Council can observe and measure progress. Performance measures become a vital tool as the organization moves as a coherent whole towards achieving community goals and/or maintaining community standards. If specific goals or targets are not achieved, Council, the City Manager and employees will work together to determine why such targets were not achieved. They may then redirect resources and redouble efforts to achieve these targets. Management and employees can analyze operations and work together to find ways of improving services. Performance measures provide an additional decision-making tool that employees throughout the organization can utilize to make informed decisions.

b. Principles

The Land Use element was based upon 10 principles. These principles are fundamental to shaping the land-use commendations for the Study Areas. These principles are summarized below:

1. Redevelopment and reinvestment will be encouraged in order to strengthen the City's tax base.
2. Key commercial centers will be redeveloped at a higher density and with a mix of uses.
3. Market realities must inform the City's land-use preferences.
4. Community appearance will be enhanced in commercial and residential areas.
5. Outstanding residential neighborhoods will continue to be a hallmark of the community.
6. Pedestrian, bicycle and non-vehicular access will be improved.
7. Physical environment will encourage community gathering.
8. Changes in the physical environment will consider needs of an aging population – including housing and community facilities.
9. Opportunities to enhance park and recreation amenities will be sought.

10. A balanced and stable population across demographics will be maintained.

c. Implementation

Each goal has a related set of objectives and strategies to support implementation. The text for each chapter describes the goal, objectives and strategies. In each chapter there is a summary table that identifies responsible parties and timelines for implementation of each strategy. Outlined below are the timeframes used for implementation recommendation.

Immediate: 2013

Short-term: 2013 – 2015

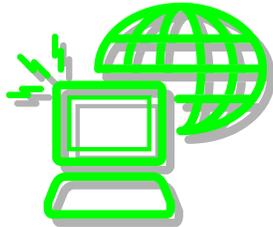
Mid-term: 2016 – 2020

Long-term: 2021 – 2030

Ongoing: Currently in progress and/or to be continued once initiated.

In cases where strategies have both "Immediate" and, for example, "Short Term" timeframes for implementation, this indicates that the strategy will be initiated in 2013 but may not be completed until the 2013 – 2015 timeframe.

CONTACT INFORMATION



**City of Upper Arlington
Internet address:**

www.uaoh.net



**City of Upper Arlington main telephone
numbers:**

City Manager	(614) 583-5040
City Attorney	(614) 583-5020
City Clerk	(614) 583-5030
Clerk of Courts	(614) 583-5060
Finance	(614) 583-5280
Other Departments	(614) 583-5000

General Mailing Address:

City of Upper Arlington
3600 Tremont Road
Upper Arlington, Ohio 43221-1595



Other contact information:

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